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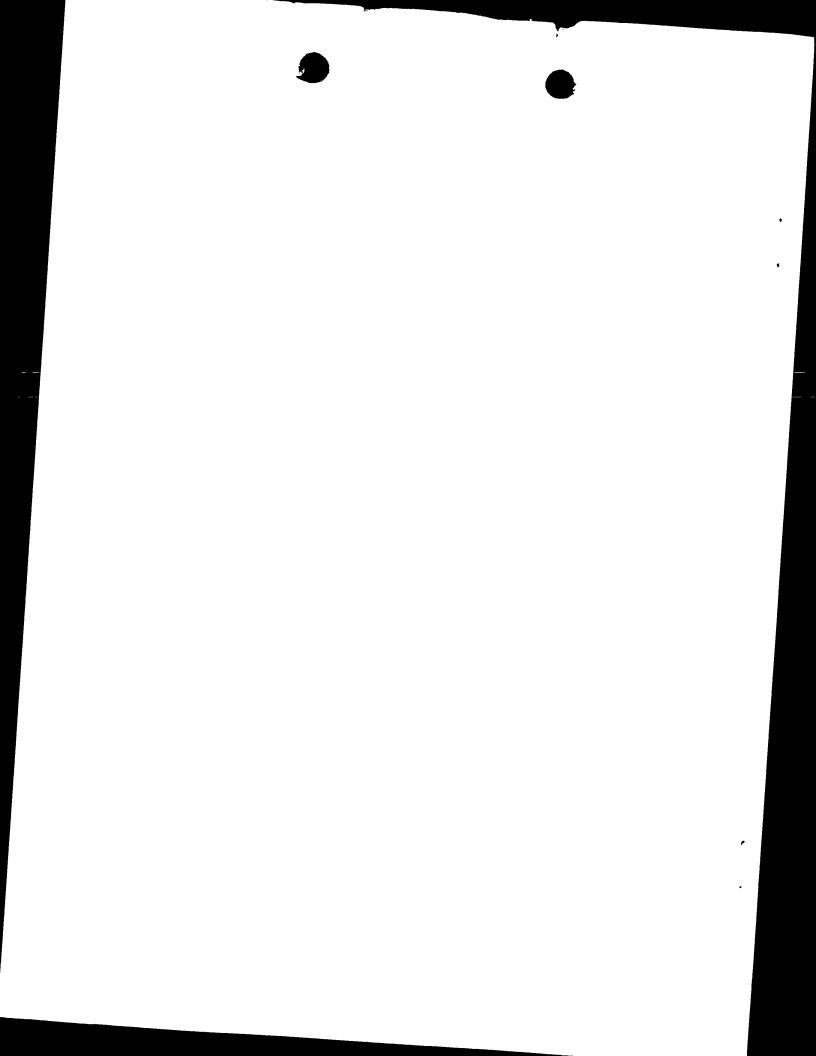
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(54) Title: RADIATION-CURABLE, CYANOACRYLATE-CONTAINING COMPOSITIONS



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# RADIATION-CURABLE, CYANOACRYLATE-CONTAINING COMPOSITIONS

### BACKGROUND OF THE INVENTION

### Field of the Invention

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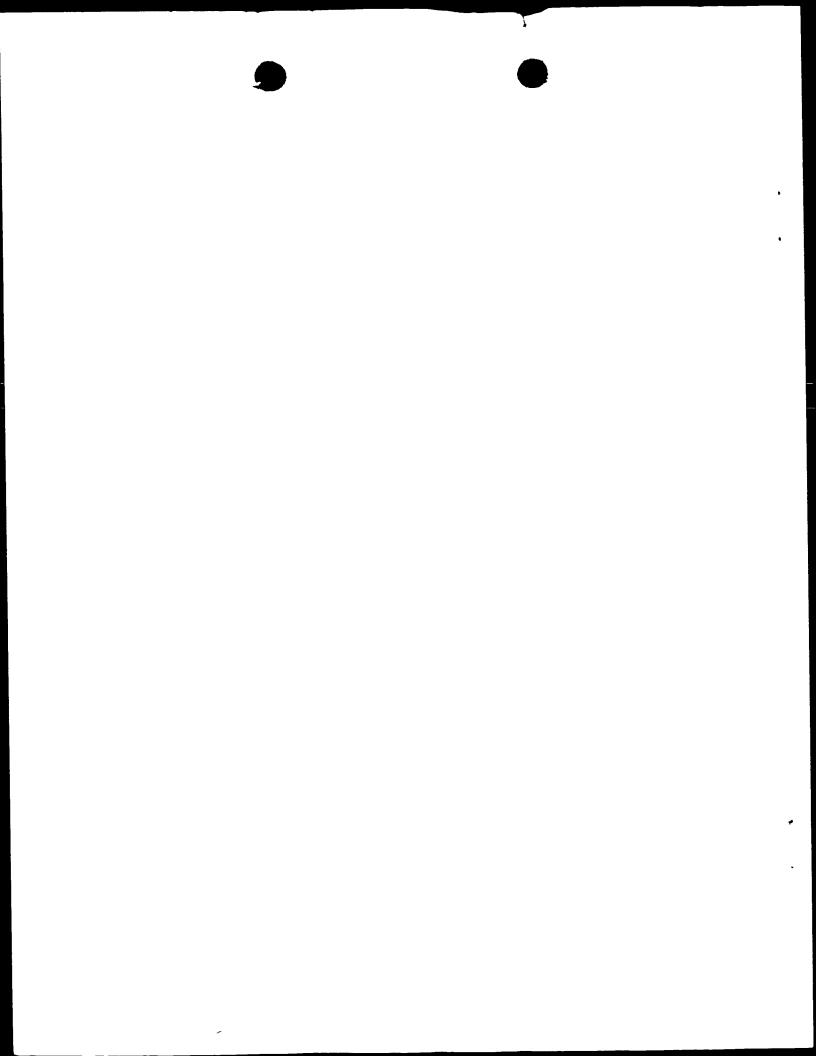
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The present invention relates to radiation-curable compositions, which include a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoinitiated radical generating component and a photoinitiator component.

## Brief Description of Related Technology

Cyanoacrylates generally are quick setting materials which cure to clear, hard glassy resins, useful as sealants, coatings, and particularly adhesives for bonding together a variety of substrates [see e.g., H.V. Coover, D.W. Dreifus and J.T. O'Connor, "Cyanoacrylate Adhesives" in Handbook of Adhesives, 27, 463-77, I. Skeist, ed., Van Nostrand Reinhold, New York, 3rd ed. (1990)].

Ordinarily, upon contact with substrate materials possessing a surface nucleophile, cyanoacrylate-containing compositions spontaneously polymerize to form a cured material. The cured material exhibits excellent adhesive properties to materials such as metals, plastics, elastomers, fabrics, woods, ceramics and the like.



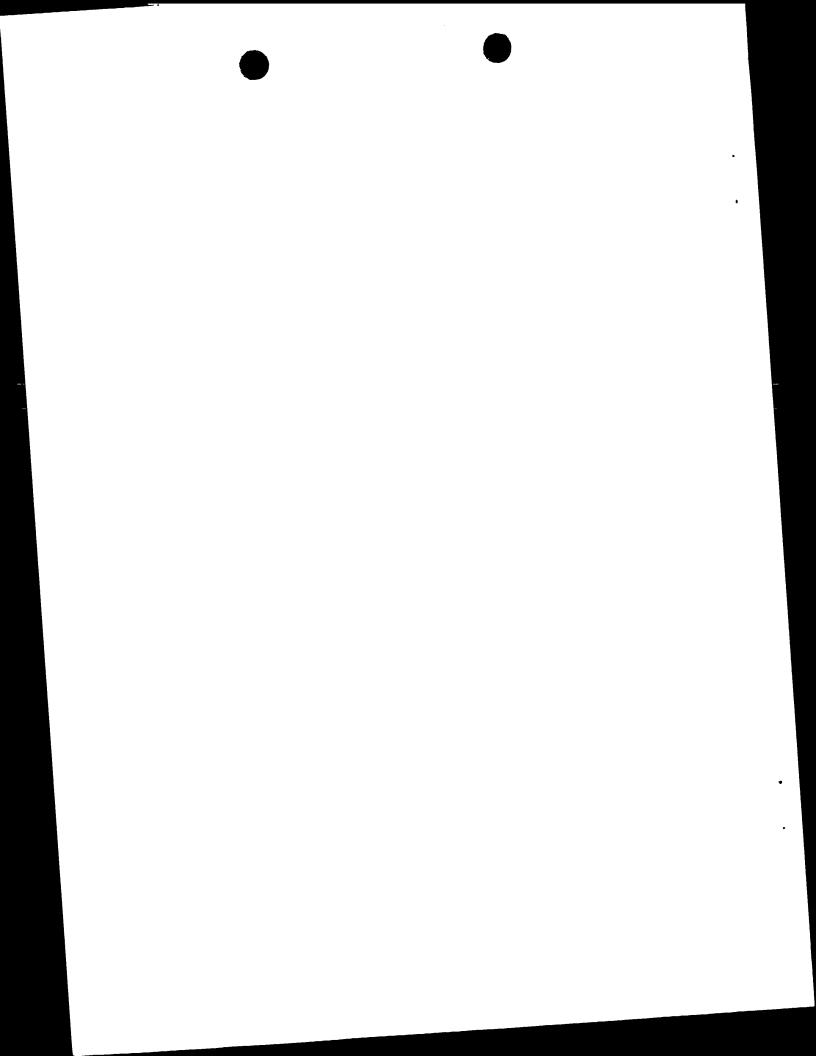
Cyanoacrylate-containing compositions are thus seen as a versatile class of single-component, ambient temperature curing adhesives.

With conventional polymerizable compositions other than those containing cyanoacrylate monomers, radiation cure generally presents certain advantages over other known cure methods. Those advantages include reduced cure time, solvent elimination (which thereby reduces environmental pollution, and conserves raw materials and energy) and inducement of low thermal stressing of substrate material. Also, room temperature radiation cure prevents degradation of certain heat sensitive polymers, which may occur during a thermal cure procedure.

Radiation-curable, resin-based compositions are legion for a variety of uses in diverse industries, such as coatings, printing, electronic, medical and general engineering. Commonly, radiation-curable compositions are used for adhesives, and certain of the compositions are acrylate-based compositions.

Well-known examples of radiation-curable, acrylate-based resins include those having structural backbones of urethanes, amides, imides, ethers, hydrocarbons, esters and siloxanes. [See e.g., J.G. Woods, "Radiation-Curable Adhesives" in Radiation Curing: Science and Technology, 333-98, 371, S.P. Pappas, ed., Plenum Press, New York (1992).] The common cure mechanism for such radiation-curable, acrylate-based compositions is reported to be free-radical polymerization.

European Patent Publication EP 393 407 describes a radiation-curable composition which includes a slow cure cationic polymerizable epoxide, a fast cure free radical polymerizable acrylic component and a photoinitiator. Upon exposure to radiation, the photoinitiator is said to be capable of generating a cationic species which is capable of initiating polymerization of the epoxide and a free radical species which is capable of initiating polymerization of the acrylic component. The polymerizable acrylic component



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includes monofunctional acrylates and acrylate esters, such as cyano-functionalized acrylates and acrylate esters, examples of which are expressed as 2-cyanoethyl acrylate (CH<sub>2</sub>=CHCOOCH<sub>2</sub>CH<sub>2</sub>CN) and 3-cyanopropyl acrylate (CH<sub>2</sub>=CHCOOCH<sub>2</sub>CH<sub>2</sub>CN). (See page 5, lines 19-26.)

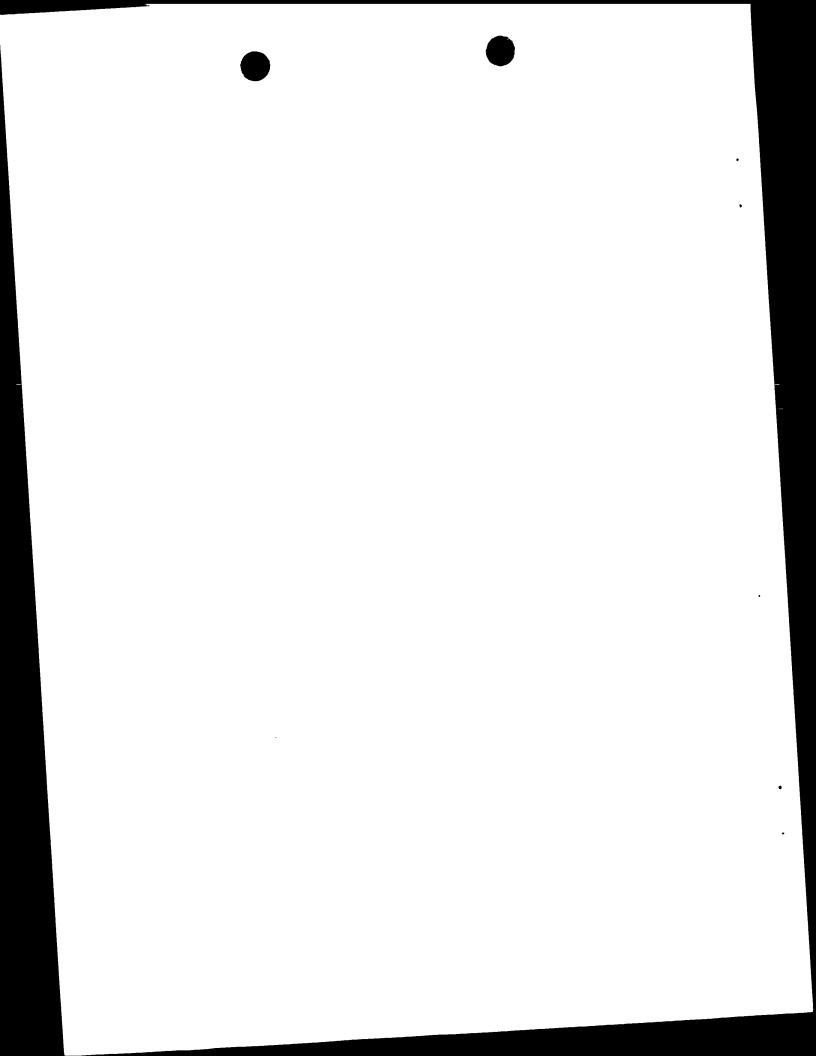
U.S. Patent No. 4,707,432 (Gatechair) speaks to a free radical polymerizable composition which includes (a) polymerizable partial esters of epoxy resins and acrylic and/or methacrylic, and partial esters of polyols and acrylic acid and/or methacrylic acid, and (b) a photoinitiator blend of a cyclopentadienyl iron complex and a sensitizer or photoinitiator, such as an acetophenone.

In C. Kutal, P.A. Grutsch and D.B. Yang, "A Novel Strategy for Photoinitiated Anionic Polymerization",

Macromolecules, 24, 6872-73 (1991), the authors note that ethyl cyanoacrylate is "unaffected by prolonged (24-h) irradiation with light of wavelength >350 nm" whereas in the presence of NCS, cyanoacrylate is observed to solidify immediately, generating heat in the process. Though the NCS was not in that case generated as a result of irradiation, it was generated from the Reineckate anion upon ligand field excitation thereof with near-ultraviolet/visible light. See also U.S. Patent Nos. 5,652,280 (Kutal) 5,691,113 (Kutal) and 5,877,230 (Kutal).

International Patent Application PCT/US98/03819 describes photocurable compositions including a cyanoacrylate component, a metallocene component and a photoinitiator component.

European Patent Publication No. EP 769 721 Al describes a photocurable compositions of (a) an α-cyanoacrylate and (b) a metallocene compound comprising a transition metal of group VII of the periodic table and aromatic electron system ligands selected from π-arenes, indenyl, and η-cyclopentadienyl. The photocurable composition may further include (c) a cleavage-type photoinitiator. U.S. Patent No. 5,814,180 (Mikuni)



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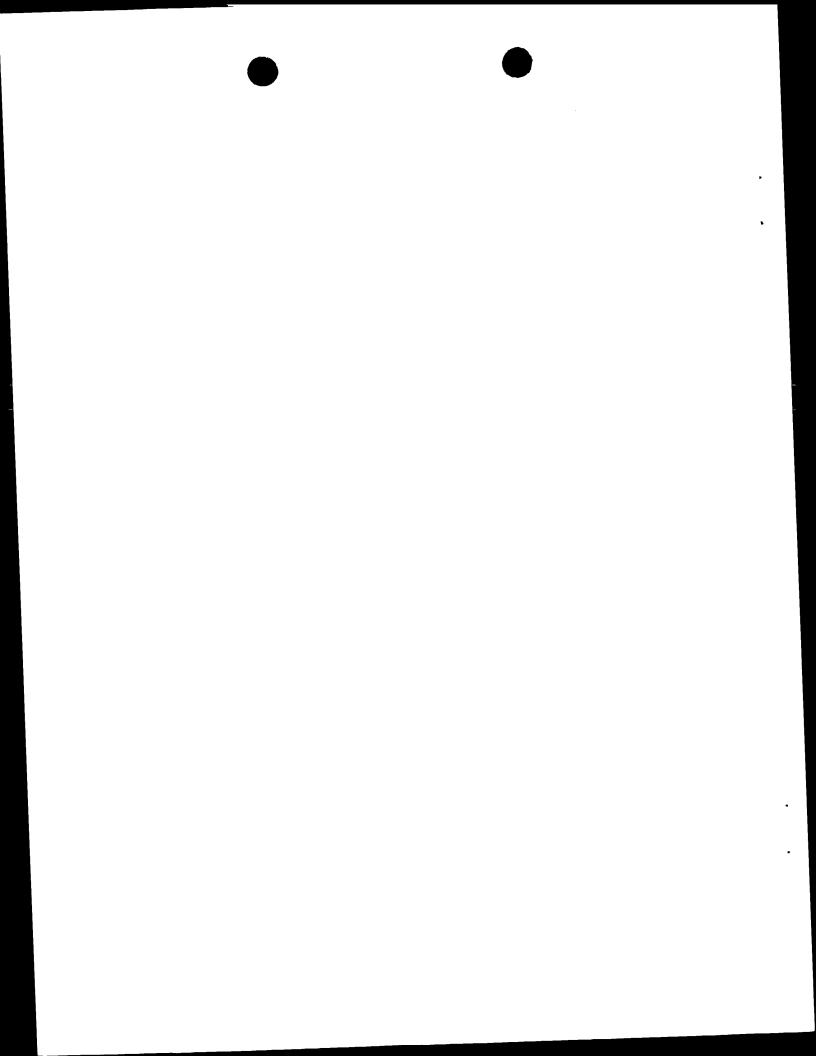
describes such compositions in the context of a method of bonding artificial nails.

Although the predominant mechanism by which cyanoacrylate monomers undergo polymerization is an anionic one (which as noted above is typically initiated using a nucleophile), free-radical polymerization is also known to occur. Such free radical polymerization is however seen as troublesome since it tends to reduce shelf-life stability under prolonged exposure to heat or light of an appropriate wavelength. See e.g., Coover et al., supra. Ordinarily, free-radical stabilizers, such as quinones or hindered phenols, are included in cyanoacrylate-containing adhesive compositions to consume free radicals that are generated by light and under typical non-airtight storage conditions, thereby extending the adhesive's shelf life. extent of any free-radical polymerization of commercial cyanoacrylate-containing adhesive compositions is especially undesirable for at least the reason stated and in practice is typically minimal due to the inclusion of such freeradical stabilizers.

It is not believed to date that a cyanoacrylate-based adhesive composition has been developed to rapidly cure through a photoinitiated free radical mechanism, while retaining commercially acceptable shelf life stability. Such a composition component would be desirable as possessing the benefits and advantages of cyanoacrylate-containing compositions while curing through at least a photo-induced free radical polymerization mechanism.

SUMMARY OF THE INVENTION

The present invention provides compositions which include a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoinitiated radical generating component and a photoinitiator component. Such compositions cure after exposure to radiation in the electromagnetic spectrum.



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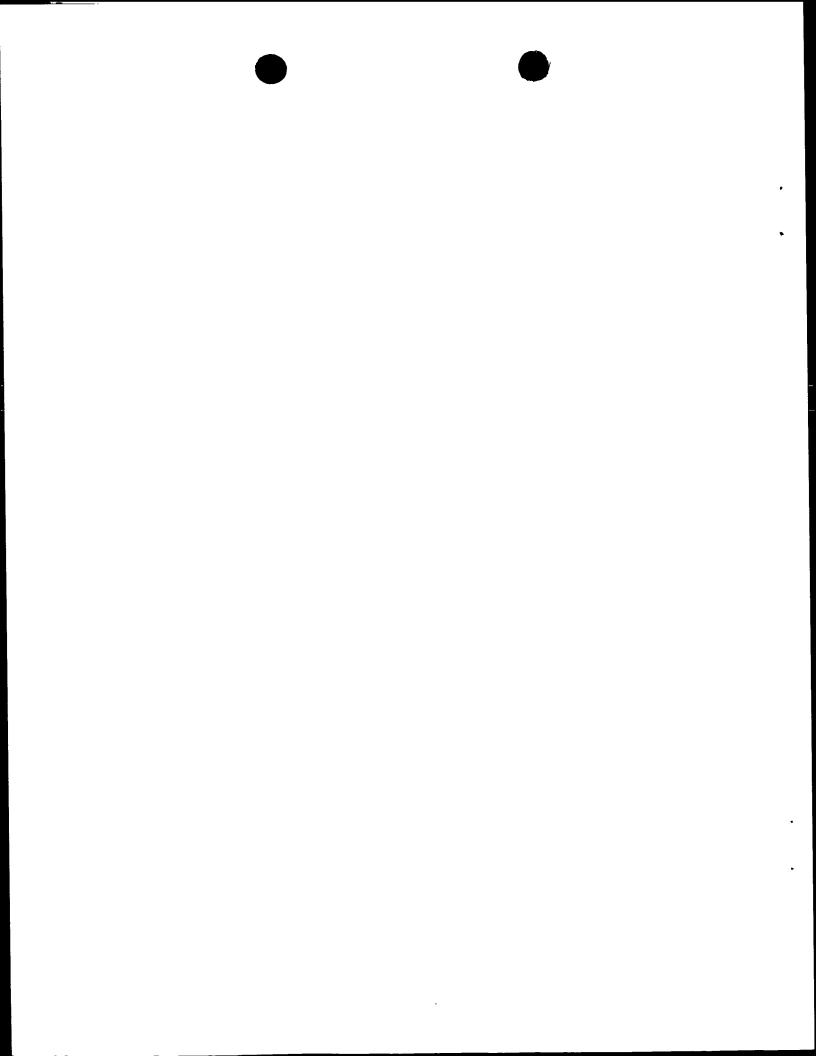
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The photocurable compositions of this invention retain those benefits and advantages of traditional cyanoacrylate-containing compositions while curing through at least a photo-induced free radical polymerization mechanism, thereby providing to the compositions (and cured reaction products formed therefrom) the benefits and advantages of curing through such a mechanism. specifically, photocurable compositions of this invention cure rapidly when used, and in so doing minimize the opportunity for undesirable blooming or crazing formation in the cured reaction product. In addition, the inventive photocurable compositions are capable of curing through larger gaps between substrate surfaces than conventional cyanoacrylates, or known photocurable cyanoacrylates. Moreover, as set forth in greater detail below, in one aspect of the invention the photocurable compositions include a non-cyanoacrylate-based radical curable component. The precense of such a component in the inventive compositions allows for the generation of copolymers and reaction products, which would not otherwise be accesible through typical anionic polymerization mechsanisms -- the predominant polymerization of cyanoacrylates.

In another aspect of the present invention, there is provided a method of polymerizing a photocurable composition by providing an amount of the composition to a desired surface and exposing the composition to radiation in an amount sufficient to effect cure thereof.

In yet another aspect of the present invention, there is provided the cured reaction product formed from a photocurable composition after exposure thereof to a curingly effective amount of radiation.

The present invention will be more readily appreciated by those persons of skill in the art based on a reading of the detailed description of the invention which follows and the examples presented thereafter for illustrative purposes.



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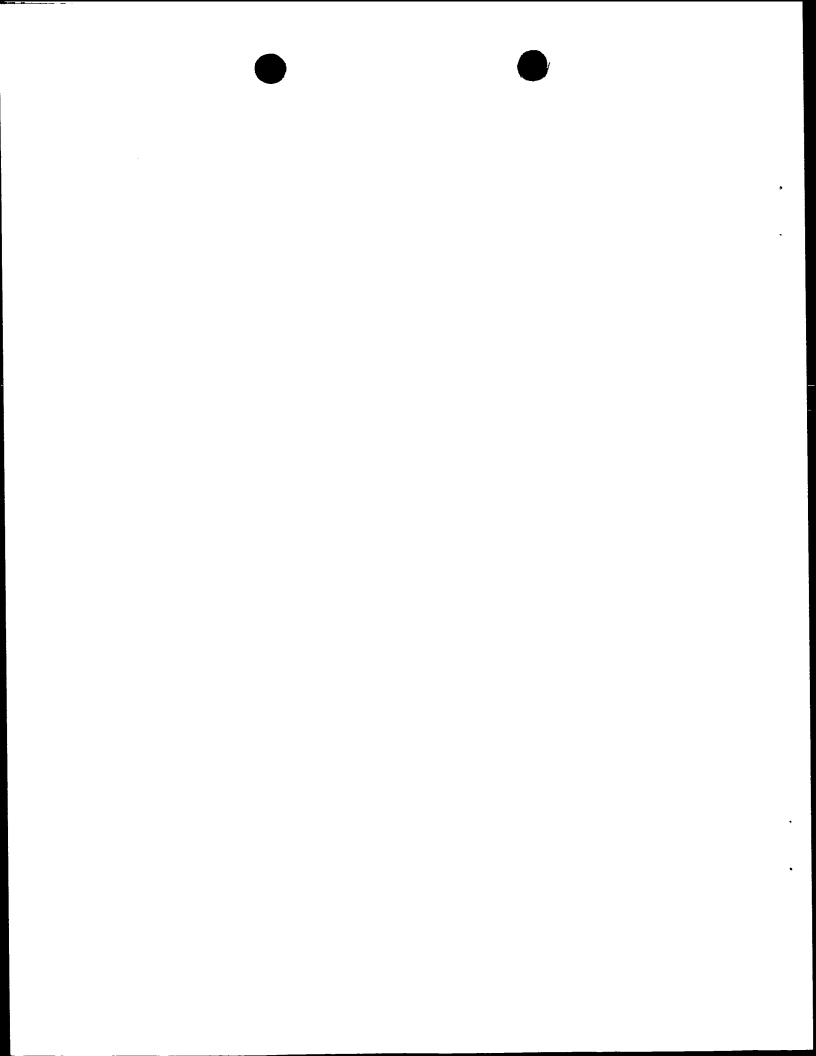
### DETAILED DESCRIPTION OF THE INVENTION

This invention relates to photocurable compositions, which include a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoiniated radical generating component and a photoinitiator component.

The cyanoacrylate component or cyanoacrylate-containing formulation includes cyanoacrylate monomers which may be chosen with a raft of substituents, such as those represented by  $H_2C=C(CN)-COOR$ , where R is selected from  $C_{1-15}$  alkyl, alkoxyalkyl, cycloalkyl, alkenyl, aralkyl, aryl, allyl and haloalkyl groups. Desirably, the cyanoacrylate monomer is selected from methyl cyanoacrylate, ethyl-2-cyanoacrylate, propyl-2-cyanoacrylates, such as n-propyl- or i-propyl-2-cyanoacrylate, butyl-2-cyanoacrylates, such as n-butyl- or i-butyl-2-cyanoacrylate, octyl-2-cyanoacrylates, such as n-alkyl-, 2-alkyl-2-cyanoacrylate, and the like, allyl cyanoacrylate, ß-methoxyethyl cyanoacrylate and combinations thereof. A particularly desirable cyanoacrylate monomer for use herein is ethyl-2-cyanoacrylate.

Among the different types of materials appropriate for use as the photoiniated radical generating component desirable ones share at least these common features: they possess electron withdrawing substituents present at at least one portion of the molecule, thereby rendering the portion(s) electron deficient. And when placed in contact with a photoexcitable material (such as a dye), which when exposed to radiation of an appropriate wavelength, absorbs energy sufficient to cause the radical initiator to cleave at the electron deficient portion(s) of the molecule, thereby generating free radicals.

A variety of photoiniated radical generating components are suitable for use herein, such as  $\alpha$ -haloacetophenones, azo compounds, aromatic carbonyl compounds, peroxides, hydroperoxides, and peresters. Of course, combinations of these compounds may also be used.



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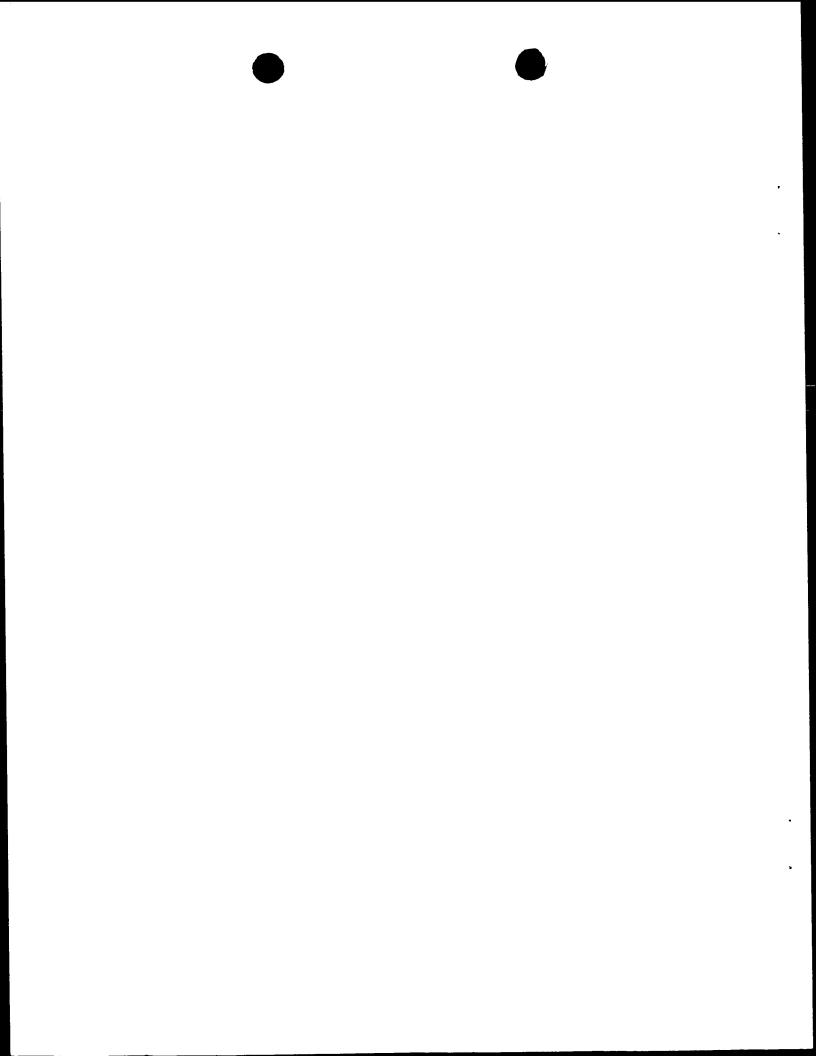
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More specific examples of such materials include azoisobutyronitrile ("AIBN"), 1,1'-azo-bis(cyclohexane carbonitrile) ("ABCH"), 4,4'-azo-bis(4-cyanovaleric acid) ("ABCV"), 1,1'-(azodicarbonyl)-dipiperidine ("ADCDP"), 1,1-bis(t-butylperoxy)cyclohexane ("BBPH"), 2,5-bis(t-butylperoxy)2,5-dimethylhexane ("BBPDMH"), bis[1-(t-butylperoxy)-1-methyl-ethyl]benzene ("BBPMEB"), benzoin methylether ("BME"), cumylhydroperoxide ("CHPO"), dibenzoylperoxide ("DBPO"), di-t-butylperoxide ("DTBPO"), 2,2-diethoxyacetophenone ("DEAP"), 2,2-dimethoxy-phenylacetophenone ("DMPAP"), dicumylperoxide ("DCPO"), diphenyl(2,4,6-trimethylbenzoyl)-phosphine oxide ("DPTPO"), desylchloride ("DC"), lauroylperoxide ("LPO"), t-butylperoxybenzoate ("TBPB"), and t-butylhydroperoxide ("TBPB").

Other examples include those available commercially from Ciba Specialty Chemicals Corp., Tarrytown, New York under the "IRGACURE" and "DAROCUR" tradenames, specifically "IRGACURE" 184 (1-hydroxycyclohexyl phenyl ketone), 907 (2-methyl-1-[4-(methylthio)phenyl]-2-morpholino propan-1-one), 369 (2-benzyl-2-N,N-dimethylamino-1-(4-morpholinophenyl)-1-butanone), 500 (the combination of 1hydroxy cyclohexyl phenyl ketone and benzophenone), 651 (2,2-dimethoxy-2-phenyl acetophenone), 1700 (the combination of bis(2,6-dimethoxybenzoyl-2,4-,4-trimethyl pentyl) phosphine oxide and 2-hydroxy-2-methyl-1-phenylpropan-1-one), and 819 [bis(2,4,6-trimethyl benzoyl) phenyl phosphine oxide] and "DAROCUR" 1173 (2-hydroxy-2-methyl-1phenyl-1-propane) and 4265 (the combination of 2,4,6trimethylbenzoyldiphenyl-phosphine oxide and 2-hydroxy-2methyl-1-phenyl-propan-1-one); and the visible light [blue] photoinitiators, dl-camphorquinone and "IRGACURE" 784DC (bis  $(\eta^5-2,4$ -cyclopentadien-1-yl)-bis[2,6-difluoro-3-(1H-pyrrol-1-yl)phenyl]titanium).

Of course, combinations of these materials may also be employed herein.



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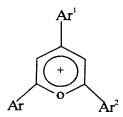
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Photoinitiators enhance the rapidity of the curing process when the radiation compositions are exposed to electromagnetic radiation. A number of photoinitiators may be employed herein, examples of which include, but are not limited to,

Photoinitiators useful herein include pyrylium-based materials having a core structure of



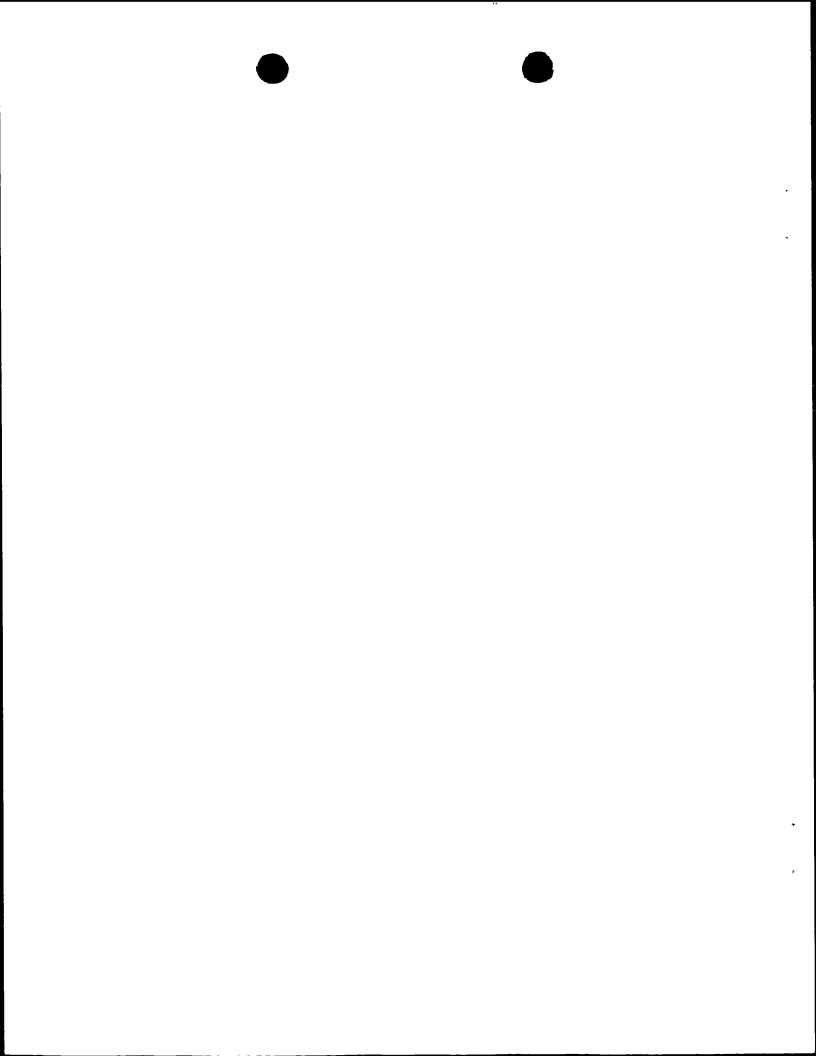
<u>X</u>-

where each of Ar, Ar<sup>1</sup> and Ar<sup>2</sup> are aryl groups, with or without substitution, and X is an anion, such as halogen, hexahalophosphate, hexahaloarsenate, hexahaloantimonate, tetrahaloferrate, tetrahaloborate (<u>e.g.</u>, fluoro, chloro, bromo and iodo), and sulfonate.

More specific representations of the pyrylium-based materials include: 2,4,6-triphenylpyrylium-tetrafluoroborate ("TPT"), 1,4-phenylene-4,4'-bis-(2,6-diphenyl-4-pyrylium-tetrafluoroborate) ("PBT"), 2,4-diphenylnaphto-(1,2-B) pyrylium-tetrafluoroborate ("DNT"), 2,4,6-triphenyl-pyrylium trifluoromethane sulfonate ("TPTS"), and 2,6-dipenyl-4(p-tolyl)-pyrylium tetrafluoroborate ("DTPT").

In the aspect of the invention where a non-cyanoacrylate-based radical curable component is included in the inventive compositions, such radical curable component may be selected from a wide variety of materials, such as alkenes or alkynes.

Of these, styrene and derivatives thereof, such as alkyl- and alkenyl-ether derivatives, (meth)acrylates, alkyl- and aryl or alkenyl acetylenes, as well as esters of vinyl alcohol (e.g., vinyl acetate), are particularly desirable.



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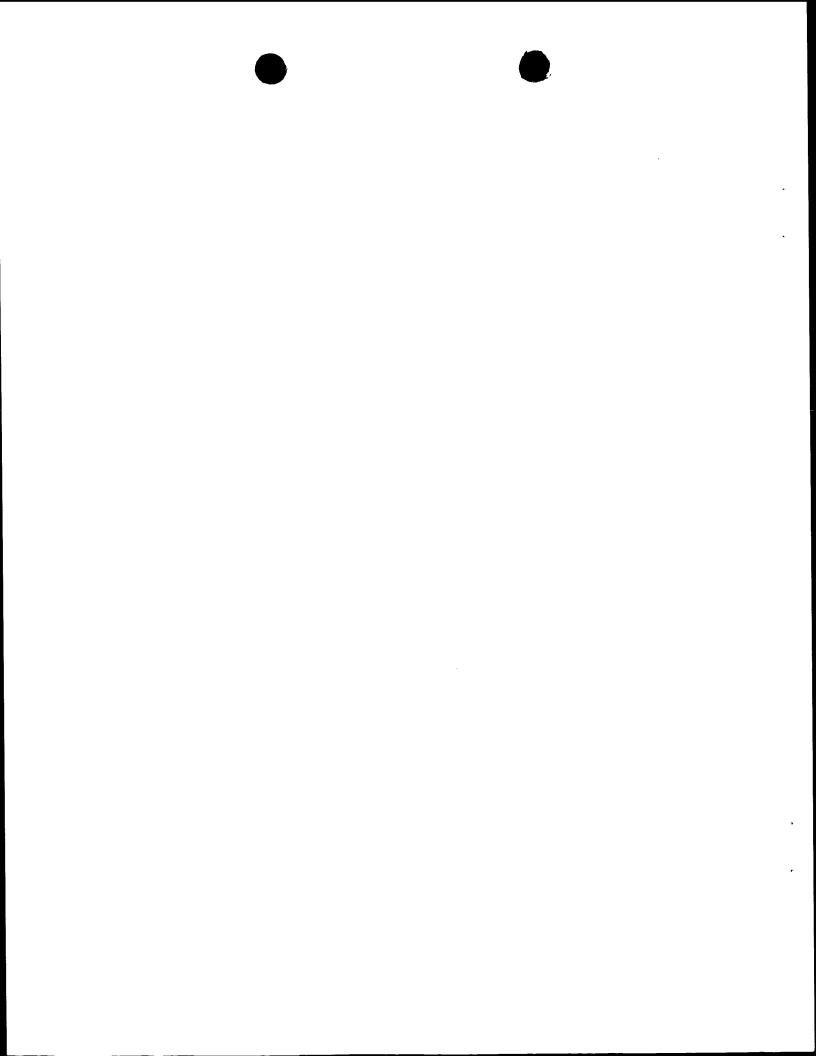
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With respect to formulating photocurable compositions, generally the components may be introduced to one another in any convenient order. Alternatively, it may be desirable to prepare a premix of the radical initiator component and the photoinitiator component. In this way, a ready made premix of those components may be added to the cyanoacrylate component of the formulation to allow for a quick and easy one-part formulation of a photocurable composition prior to dispensing and curing thereof.

For packaging and dispensing purposes, it may be desirable for photocurable compositions in accordance with the present invention to be relatively fluid and flowable. Variations in the viscosity thereof may also be desirable in certain applications and may be readily achieved through routine changes in formulation, the precise changes being left to those persons of ordinary skill in the art.

For instance, ordinarily cyanoacrylate-containing compositions free from an added thickener or viscosity modifier are low viscosity formulations (such as in the range of 1 to 3 cps). While a composition with such a viscosity (or one whose viscosity has been modified to be up to about five times that viscosity) may be appropriate for a wicking application where a small gap exists between sustrates to be bound (e.g., less than about 0.1 mils) and/or an application where enhanced cure speed is desirable, such a viscosity may be too low for convenient use in certain industrial applications. At least for this reason, the viscosity of cyanoacrylate-containing compositions has at times been desirably modified through, for instance, the addition of polymethylmethacrylates and/or <u>See e.g.</u>, U.S. Patent Nos. 4,533,422 (Litke) fumed silicas. and Re. 32,889 (Litke), the disclosures of each of which are hereby expressly incorporated herein by reference.

A medium viscosity formulation (such as in the range of 100 to 300 cps) may be more appropriate in applications where greater control of flowability is desirable. And a high viscosity formulation (such as in the



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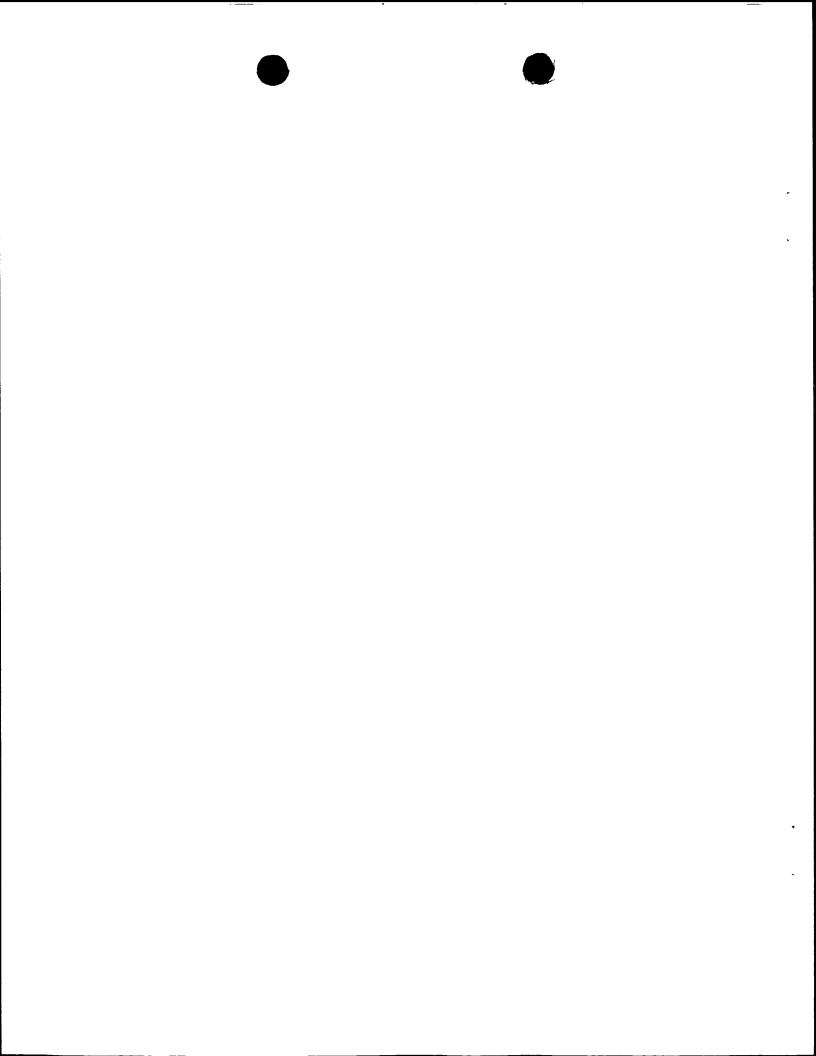
range of 600 to 1000 cps) may be more appropriate in applications involving porous substrates and/or substrates with larger gaps (such as greater than about 0.5 mils).

Of course, those persons of skill in the art should make appropriate decisions regarding whether a viscosity modifier should be included in the photocurable composition, and if so which one(s) and at what level should one be included to achieve the desired viscosity for the intended applications.

In addition, it may be desirable to toughen the cured photocurable compositions of the present invention through the addition of elastomeric rubbers such as is taught by and claimed in U.S. Patent No. 4,440,910 (O'Connor), the disclosure of which is hereby expressly incorporated herein by reference. It may also be desirable to improve the hot strength of the cured photocurable compositions by addition of anhydrides, such as is taught by and claimed in U.S. Patent No. 4,450,265 (Harris) and the documents cited therein, the disclosures of each of which are hereby expressly incorporated herein by reference.

Moreover, the compositions of the present invention may be rendered into a thixotropic paste through addition of powdered organic fillers having a particle size of about 2 to 200 microns as is taught by U.S. Patent No. 4,105,715 (Gleave) or thickened by a copolymer or terpolymer resin to improve peel strength as is taught by U.S. Patent No. 4,102,945 (Gleave), the disclosures of each of which are hereby incorporated herein by reference.

Further, the compositions of the present invention may be rendered more resistant to thermal degradation at elevated temperature conditions by the inclusion of certain sulfur-containing compounds, such as sulfonates, sulfinates, sulfates, sultones and sulfites as set forth in U.S. Patent No. 5,328,944 (Attarwala), the disclosure of which is hereby expressly incorporated herein by reference. The inclusion of such compounds in the photocurable compositions of the present invention renders those compositions well-suited for



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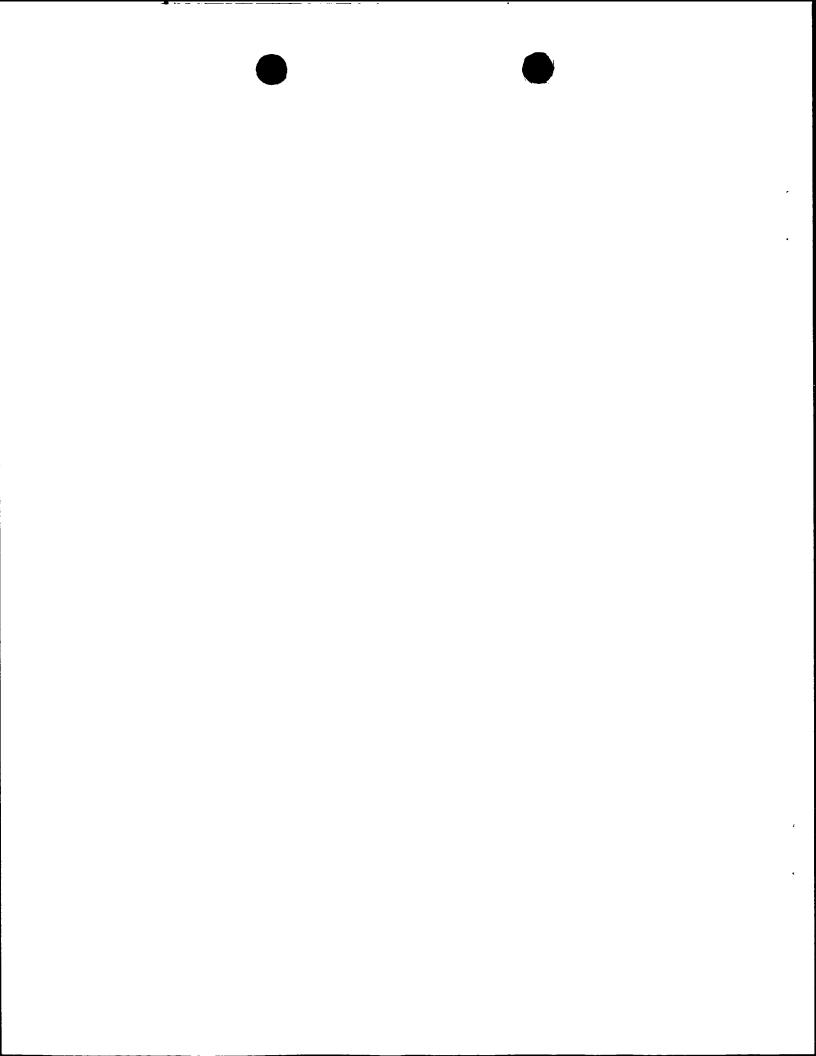
applications in which elevated temperature conditions may be experienced, such as with potting compounds particularly where large cure through volume is present and non-tacky surfaces are desirably formed in less than about five seconds.

The inclusion of such materials to a photocurable composition in accordance with the present invention may provide a formulation having particular advantages for certain applications, and should be appealing from a safety perspective as the possibility is decreased of splashing or spilling the composition on exposed skin of the user or bystanders.

Another desirable component to include in the inventive compositions is a photosensitizer to render the composition more reactive toward exposure to electromagnetic radiation. Desirable examples of such photosensitizers include benzophenone or dyes like xanthene dyes, acridinium dyes or phenazine dyes. Inclusion of such photosensitizers often lessens the intensity and/or duration of exposure to the electromagnetic radiation used to initiate cure.

The relative amount of the various components of the photocurable compositions according to this invention is a matter of choice left to those persons of skill in the art, depending of course on the identity of the particular components chosen for a specific composition.

As a general guide, however, it is desirable to include in the photocurable compositions a phtotiniated radical generating component, such as peroxide, perester, azo compounds, benzoin derivatives (e.g., DMPAP),  $\alpha$ -halo acetophenones (e.g., DC), acylphosphine oxides (e.g., DPTPO or related phospine oxide compounds), in an amount within the range of about 0.005% to about 4% or greater (desirably within the range of about 0.01% to about 1.5%) by weight of the total composition. It is also desirable for the compositions to include a photoinitiator component, such as substituted pyrylium salts or anthracene and derivatives thereof, e.g., substituted anthracenes, or anthraquinone or



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ketocoumarine derivatives, in an amount within the range of about 0.5% to about 10% by weight of the composition, with about 2% to about 4% or greater by weight of the total composition being desirable. The balance of the composition is composed predominantly of a cyanoacrylate component, such as ethyl-2-cyanoacrylate. Of course, the amount of all the components -- including stabilizers -- together in the composition totals 100%.

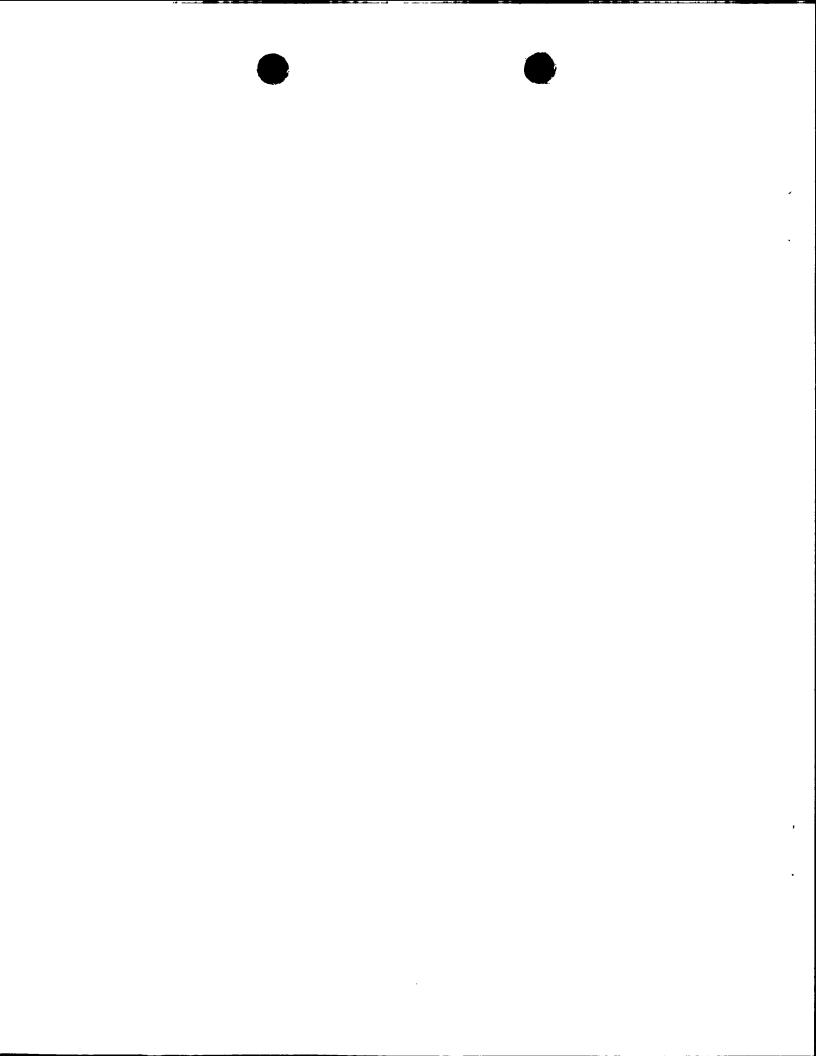
A method of curing a photocurable composition in accordance with this invention is also provided herein, the steps of which include (a) providing onto a desired surface an amount of a photocurable composition; and (b) subjecting the composition to radiation sufficient to effect cure thereof.

The amount of photocurable composition provided should be sufficient to cure and form an adequate bond to the substrate surfaces between which it is applied. For instance, application of the photocurable composition may be achieved by dispensing the composition in drop-wise fashion, or as a liquid stream, brush-applied, dipping, and the like, to form a thin film. Application of the photocurable composition may depend on the flowability or viscosity of the composition. To that end, viscosity modifiers, as noted above, may be included in the composition.

The photocurable compositions of the present invention have taken the ordinary undesirable by-product free radical reaction that compromises shelf life stability and turned it into a controlled free radical cure mechanism.

In use, such compositions are desirably readily dispensed onto a portion of a desired surface of a substrate onto which is to be bonded a portion of another substrate. The photocurable composition may be applied to certain portions of the substrate surface or over the entire surface of the substrate to be bonded, depending on the particular application.

The source of radiation emitting electromagnetic waves is selected from ultraviolet light, visible light,



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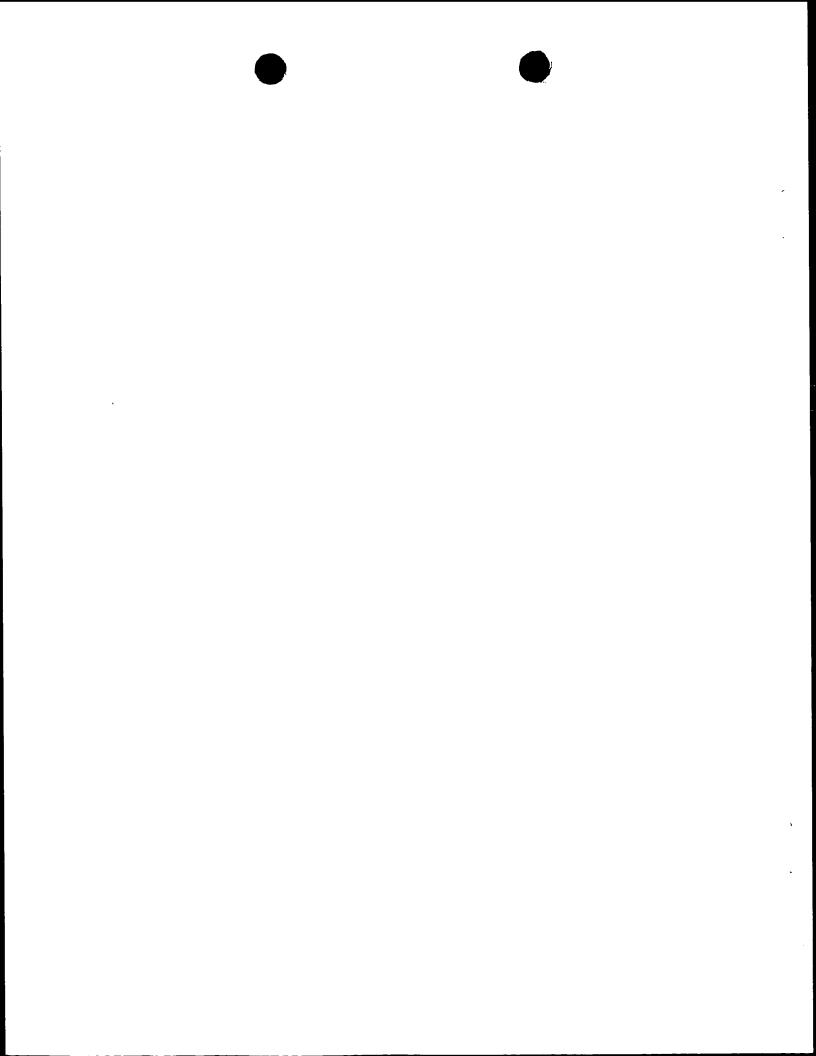
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electron beam, x-rays, infrared radiation and combinations Desirably, ultraviolet light is the radiation of choice, with appropriate sources including "H", "D", "V", "X", "M" and "A" lamps, mercury arc lamps, and xenon arc lamps (such as those commercially available from Loctite Corporation, Rocky Hill, Connecticut; Fusion UV Curing Systems, Buffalo Grove, Illinois; Spectroline, Westbury, New York; or Xenon Corp., Woburn, Massachusetts; microwavegenerated ultraviolet radiation; solar power and fluorescent light sources. Any of these electromagnetic radiation sources may use in conjunction therewith reflectors and/or filters, so as to focus the emitted radiation onto a specific portion of a substrate onto which has been dispensed a photocurable composition and/or within a particular region of the electromagnetic spectrum. Similarly, the electromagnetic radiation may be generated directly in a steady fashion or in an intermittent fashion so as to minimize the degree of heat build-up. Although the electromagnetic radiation employed to cure the photocurable compositions into desired reaction products is often referred to herein as being in the ultraviolet region, that is not to say that radiation in other regions within the electromagnetic spectrum may not also be suitable. For instance, in certain situations, radiation in the visible region of the electromagnetic spectrum may also be advantageously employed, whether alone or in combination with, for instance, radiation in the ultraviolet region. course, microwave and infrared radiation may also be advantageously employed under appropriate conditions.

Higher or lower radiation intensities, greater or fewer exposures thereto and length of exposure and/or greater or lesser distances of the source of radiation to the composition may be required to complete curing, depending of course on the particular components of a chosen composition.

More specifically with respect to radiation intensity, the chosen lamp should have a power rating of at



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least about 100 watts per inch (about 40 watts per cm), with a power rating of at least about 300 watts per inch (about 120 watts per cm) being particularly desirable. Also, since the inclusion of a photoinitiator in the composition may shift the wavelength within the electromagnetic radiation spectrum at which cure occurs, it may be desirable to use a source of electromagnetic radiation whose variables (e.g., wavelength, distance, and the like) are readily adjustable.

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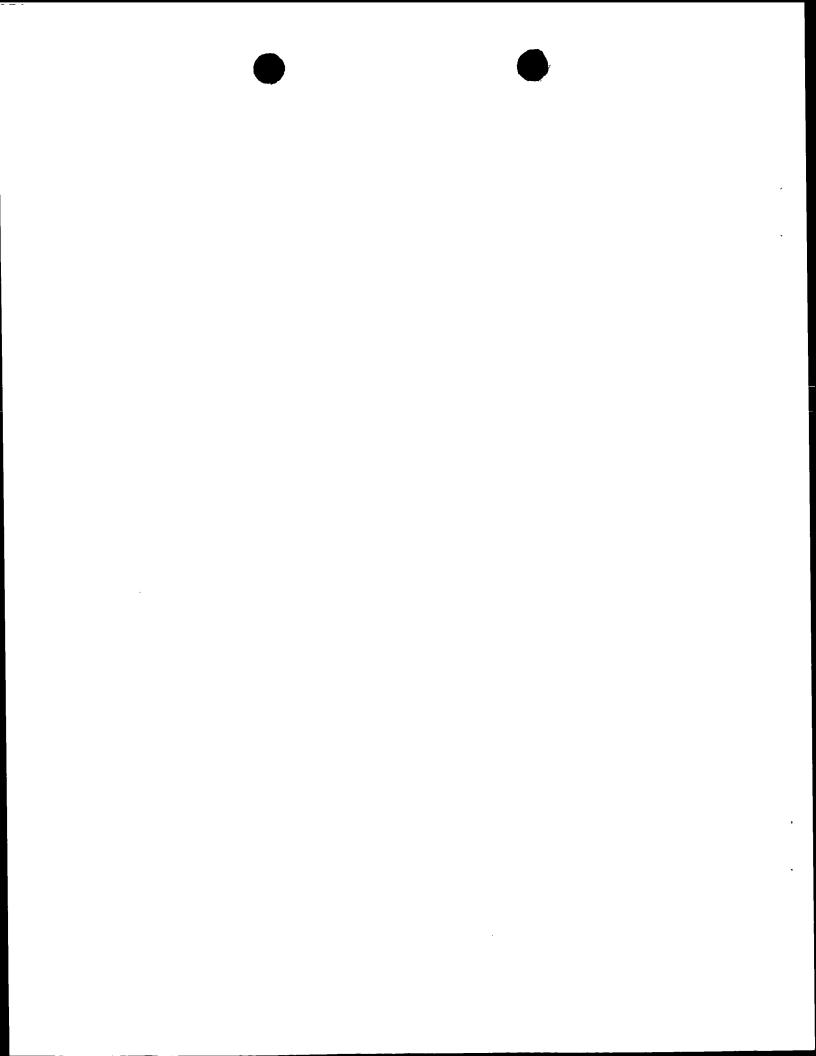
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During the curing process, the composition will be exposed to a source of electromagnetic radiation that emits an amount of energy, measured in mW/cm², determined by parameters including: the size, type and geometry of the source; the duration of the exposure to electromagnetic radiation; the intensity of the radiation (and that portion of radiation emitted within the region appropriate to effect curing); the absorbency of electromagnetic radiation by any intervening materials, such as substrates; and the distance the composition lies from the source of radiation. Those persons of skill in the art should readily appreciate that curing of the composition may be optimized by choosing appropriate values for these parameters in view of the particular components of the composition.

To effect cure, the source of electromagnetic radiation may remain stationary while the composition passes through its path. Alternatively, a substrate coated with the photocurable composition may remain stationary while the source of electromagnetic radiation passes thereover or therearound to complete the transformation from composition to reaction product. Still alternatively, both may traverse one another, or for that matter remain stationary, provided that the photocurable composition is exposed to electromagnetic radiation sufficient to effect cure.

Commercially available curing systems, such as the "ZETA" 7200 or 7400 ultraviolet curing chamber (Loctite Corporation, Rocky Hill, Connecticut), "UVALOC" 1000 (Loctite Deutschland GmbH, Münich, Germany), Fusion UV Curing Systems F-300 B (Fusion UV Curing Systems, Buffalo



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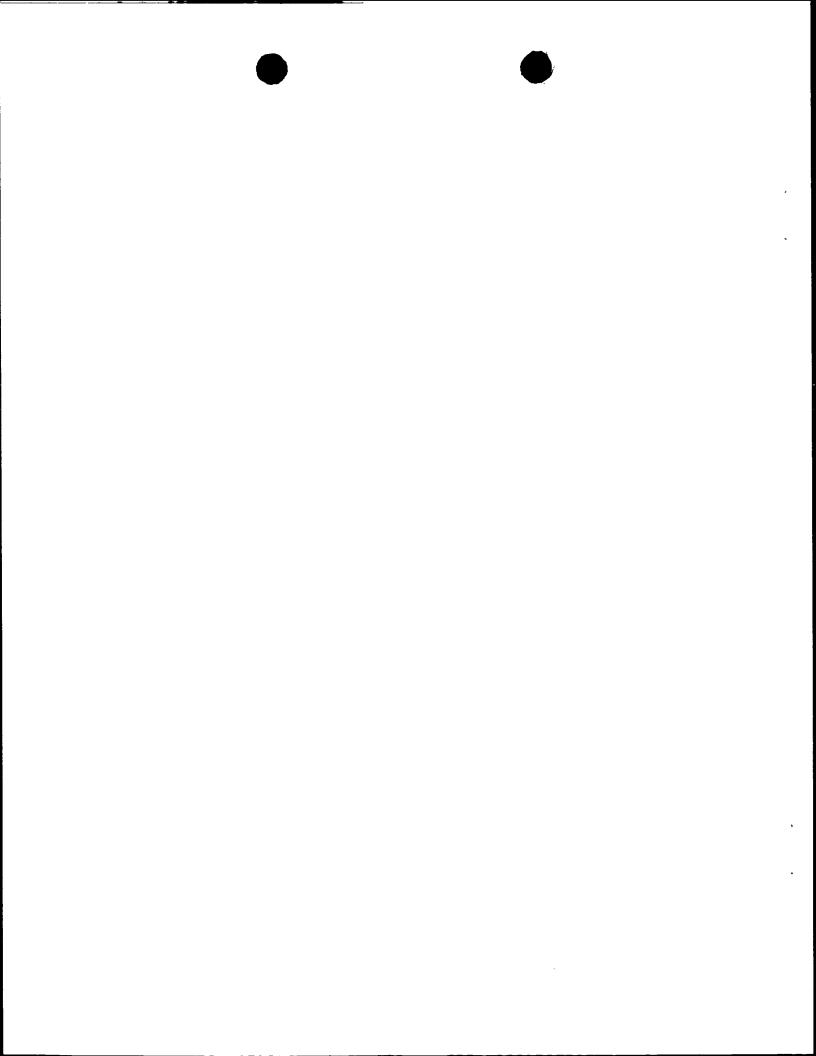
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Grove, Illinois), Hanovia UV Curing System (Hanovia Corp., Newark, New Jersey), BlackLight Model B-100 (Spectroline, Westbury, New York), and RC500 A Pulsed UV Curing System (Xenon Corp., Woburn, Massachusetts), are well-suited for the purposes described herein. Also, a Sunlighter UV chamber fitted with low intensity mercury vapor lamps and a turntable may be employed herein.

The required amount of energy may be delivered by exposing the composition to a less powerful intensity of electromagnetic radiation for a longer period of time, through for example multiple passes, or alternatively, by exposing the composition to a more powerful intensity of electromagnetic radiation for a shorter period of time. addition, each of those multiple passes may occur with an intensity at different energy intensities. those persons of skill in the art should choose an appropriate intensity of electromagnetic radiation depending on the particular composition, and position the source of electronic radiation at a suitable distance therefrom which, together with the length of exposure, optimizes transformation. Also, it may be desirable to use a source of electromagnetic radiation that is delivered in an intermittent fashion, such as by pulsing or strobing, so as to ensure a thorough and complete cure without causing excessive heat build-up.

In use, a photocurable composition in accordance with the present invention may be dispensed, such as in the form of a thin film or droplet, onto a desired substrate. Substrates onto which the photocurable composition of the present invention may be applied may be chosen from a vast selection of different materials; basically, any material with which cyanoacrylates may be used is suitable as well for use herein. See supra.

Desirable choices among such materials include acrylics, epoxies, polyolefins, polycarbonates, polysulfones (e.g., polyether sulfone), polyvinyl acetates, polyamides, polyetherimides, polyimides and derivatives and co-polymers



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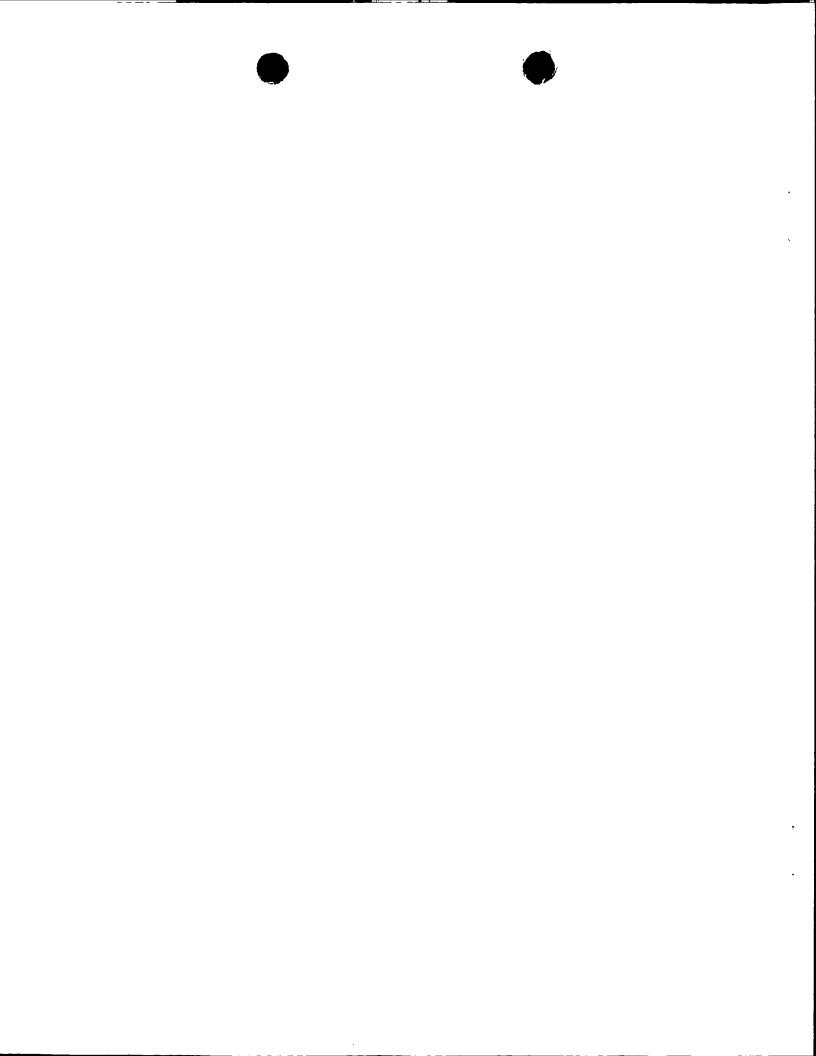
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thereof with which may be blended or compounded traditional additives for aiding processibility or modifying the physical properties and characteristics of the material to be used as a substrate. Examples of co-polymers which may be employed as substrates include acrylonitrile-butadiene-styrene, styrene-acrylonitrile cellulose, aromatic copolyesters based on terephthallic acid, p,p-dihydroxybiphenyl and p-hydroxy benzoic acid, polyalkylene (such as polybutylene or polyethylene) terephthalate, polymethyl pentene, polyphenylene oxide or sulfide, polystyrene, polyurethane, polyvinylchloride, and the like. Of course, other materials may also be employed for use herein. Particularly, desirable co-polymers include those which are capable of transmitting UV and/or visible radiation.

The composition-coated substrate may be positioned within an electromagnetic radiation curing apparatus, such as the "ZETA" 7200 ultraviolet curing chamber or the "UVALOC" 1000 ultraviolet curing chamber, equipped with an appropriate source of electromagnetic radiation, such as ultraviolet radiation, at an appropriate distance therefrom, such as within the range of about 1 to 2 inches, with about 3 inches being desirable. As noted above, the compositioncoated substrate may remain in position or may be passed thereunder at an appropriate rate, such as within the range of about 1 to about 60 seconds per foot, with about 5 seconds per foot. Such passage may occur one or more times, or as needed to effect cure of the composition on the substrate. The length of exposure may be in the range of a few seconds or less (for one time exposure) to tens of seconds or longer (for either a one time exposure or a multiple pass exposure) if desired, depending on the depth of the composition to be cured and of course on the components of the composition themselves.

A reaction product is also of course provided by the teaching of this invention. The reaction product is formed from photocurable compositions after exposure thereof



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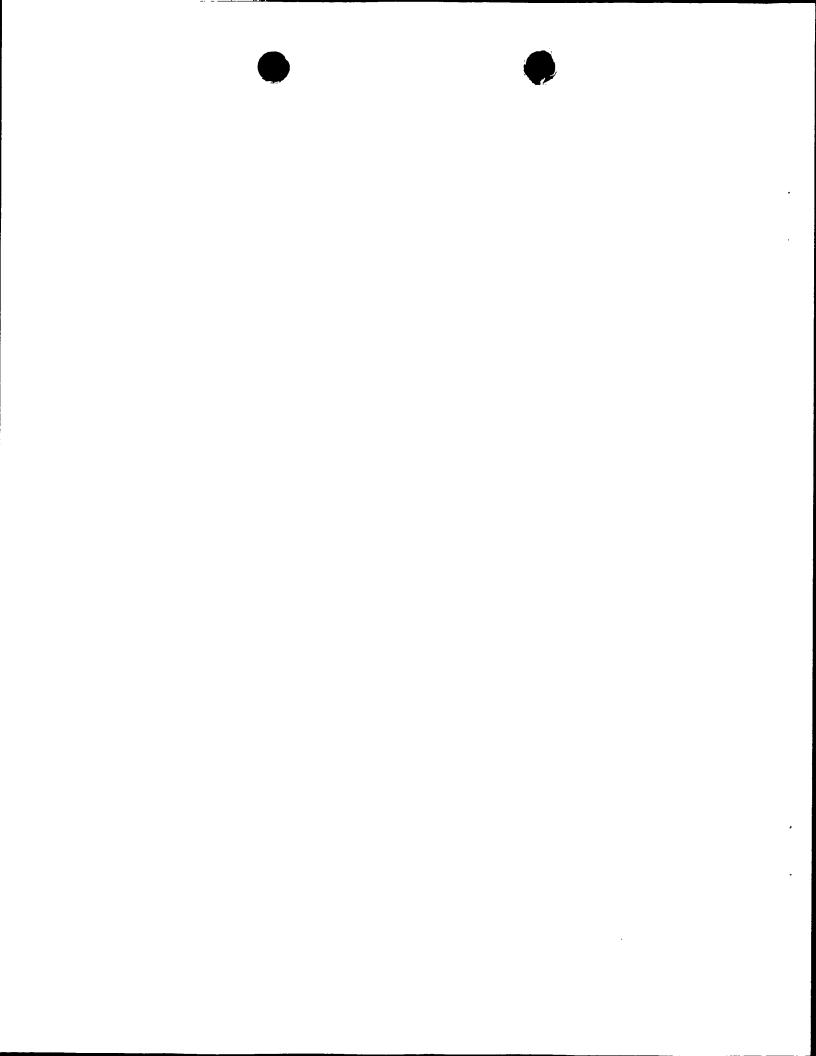
to electromagnetic radiation sufficient to effect cure of the composition. The reaction product is formed rapidly, and ordinarily and desirably without observed formation of blooming or crazing, <u>see infra</u>.

The reaction product of the photocurable composition may be prepared by dispensing in low viscosity or liquid form a photocurable composition in accordance with present invention onto a substrate and mating that substrate with a second substrate to form an assembly. Thereafter, exposure to electromagnetic radiation on at least one substrate of the assembly for an appropriate period of time should transform the photocurable composition into an adhesive reaction product.

It is also within the scope of the present invention for reaction products to be prepared from a photocurable composition separately from the device, and thereafter positioned on a substrate surface with which it is to be used.

The viscosity of the photocurable composition may be controlled or modified to optimize its dispensability by, in addition to inclusion of an appropriate material to alter the viscosity thereof as noted above, adjusting the temperature of (1) the composition itself, or (2) the substrates on which the composition may be placed to assemble the device. For example, the temperature of the composition or the substrate(s) or combinations thereof may be decreased to increase the viscosity of the composition. In this way, the uniformity on the substrate of the dispensed photocurable composition may be enhanced using lamination techniques, centrifuge techniques, pressure applied from the atmosphere (such as with vacuum bagging), pressure applied from a weighted object, rollers and the like.

The substrates onto which the photocurable compositions of the present invention are intended to be dispensed may be constructed from the litany of materials recited <u>supra</u>, which may be substantially inflexible as well



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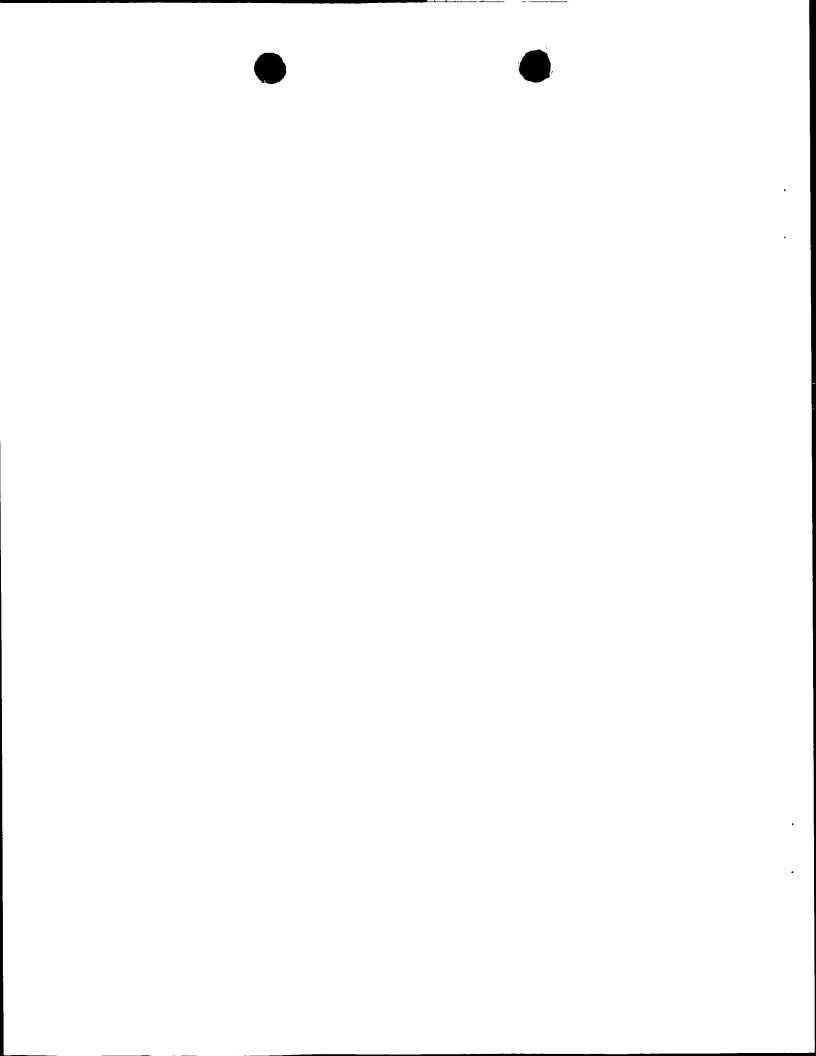
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as flexible. The type of substrate chosen with respect to flexibility will of course depend on the application for which it is to be used. More specifically, the substrates may be constructed from substantially inflexible materials, such as glass, laminated glass, tempered glass, optical plastics, such as polycarbonates, acrylics and polystyrenes, and other alternatives as noted <a href="mailto:supra">supra</a>; and flexible materials, such as "MYLAR" film or polyolefin, such as polyethylene or polypropylene, tubing.

The choice of substrate material may influence the choice of processing technique used to prepare the photocurable composition into the cured reaction product or the type of device assembled. For example, when assembling a device from at least one flexible substrate, a composition may be advantageously applied to an end portion of the flexible substrate and allowed to wick along that end portion through a portion of another substrate, which is dimensioned to receive that end portion of the flexible substrate. A particular example of such an application is polyolefin tubing intended for medical applications, one end portion of which is dimensioned for receiving by an acrylic luer housing.

Since the photocurable compositions of the present invention cure to form reaction products through a photo-initiated free radical mechanism, the composition is exposed to the source of electromagnetic radiation to effect cure. The choice of substrate may affect the rate and degree at which cure occurs of the photocurable compositions of the present invention. For instance, it is desirable for the substrates to be bonded together to be substantially free of electromagnetic radiation-absorbing capabilities. That is, the greater degree of electromagnetic radiation transmitting capability the substrate possesses, the greater the rate and degree of cure of the composition, all else being equal of course.

It may be desirable to package the inventive compositions in a two part package, particularly in those



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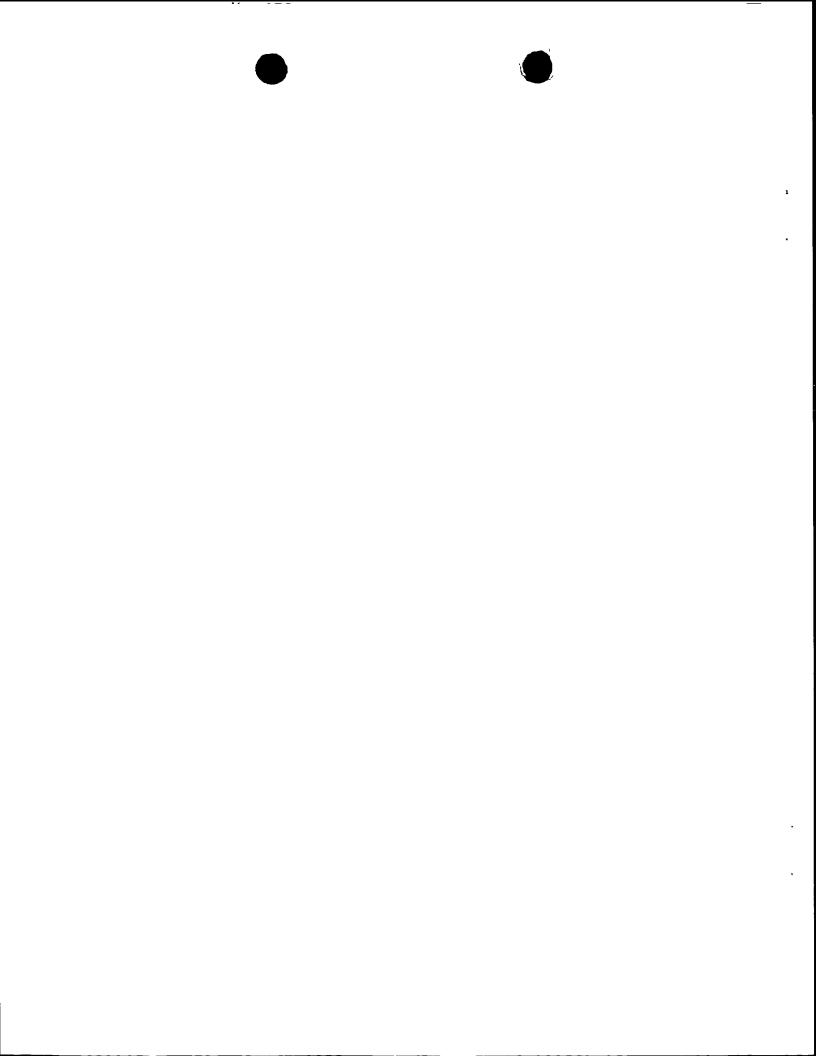
instances where a cyanoacrylate component and a non-cyanoacrylate radical curable component are present.

Blooming or crazing may be observed when compositions cure into reaction products and the cure itself is incomplete. That is, blooming refers to the evaporation of cyanoacrylate monomer (due to its relatively high vapor pressure) from uncured fillets, the result of which is formation of a precipitate on surfaces adjacent to the bond line which are also observed as a white haze. Crazing refers to the formation of stress cracks on certain synthetic materials, such as polycarbonates, acrylics and polysulfones, due in this instance to the presence thereon of cyanoacrylate monomer.

The result of incomplete curing may be observed with respect to adhesive uses of the photocurable composition as adhesive or cohesive failure of the cured composition when applied to or between substrates. Such observations may be minimized or even eliminated by using electromagnetic radiation transmitting (as contrasted to absorbing) substrates and placing the source of electromagnetic radiation at a strategic location so as to improve the degree of electromagnetic radiation to which the composition on the substrate is exposed. Similarly, additional sources of electromagnetic radiation, or as stated above reflectors which redirect onto desired portions of the substrate stray or errant electromagnetic radiation, may be employed to further enhance cure.

The compositions of the present invention minimize and often eliminate blooming and crazing in commercial applications of the compositions by curing through the photoinitiated mechanism.

In addition, the compositions of this invention provide a built-in secondary cure system (<u>i.e.</u>, photo-initiated free radical initial in addition to the ordinary cyanoacrylate anionic initiation), which is particularly attractive in those applications where certain of the substrates which may be used in the assembly do not allow



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the transmission of light, rendering another type of adhesive (such as a dual cure acrylic adhesive) less desirable because a secondary heating step would then be required; elimination of a substrate primer step, which obviates the use of often flammable materials and invites automated processes; and improved cure through volume capabilities.

In view of the above description of the present invention, it is evident that a wide range of practical opportunities is provided by the teaching herein. Certain of those practical opportunities are exemplified below, as are many of the advantages and benefits of the present invention. However, the invention as so exemplified is for illustrative purposes only and is not to be construed in any way as limiting the broad aspects of the teaching herein provided.

# **EXAMPLES**

# Example 1

In this example, formulations were prepared to demonstrate the effect of one or the other of a radical initiator component and a photoinitiator component in ether to cyanoacrylate composition. The photoinitiated radical generating component chosen was dibenzoyl peroxide ("DBPO") and the photoinitiator component was 2,4,6-triphenylpyrylium tetraflouroborate ("TPT"). The formulations were prepared by mixing the appropriate components with the cyanoacrylate and thereafter dispensing a drop of the formulation onto a polycarbonate slide. The slide was then exposed to 1000 watts of mercury arc light in a "UVALOC" 1000 irradiation chamber for a period of time of about 30 seconds. The results of this example are shown below in Table 1.

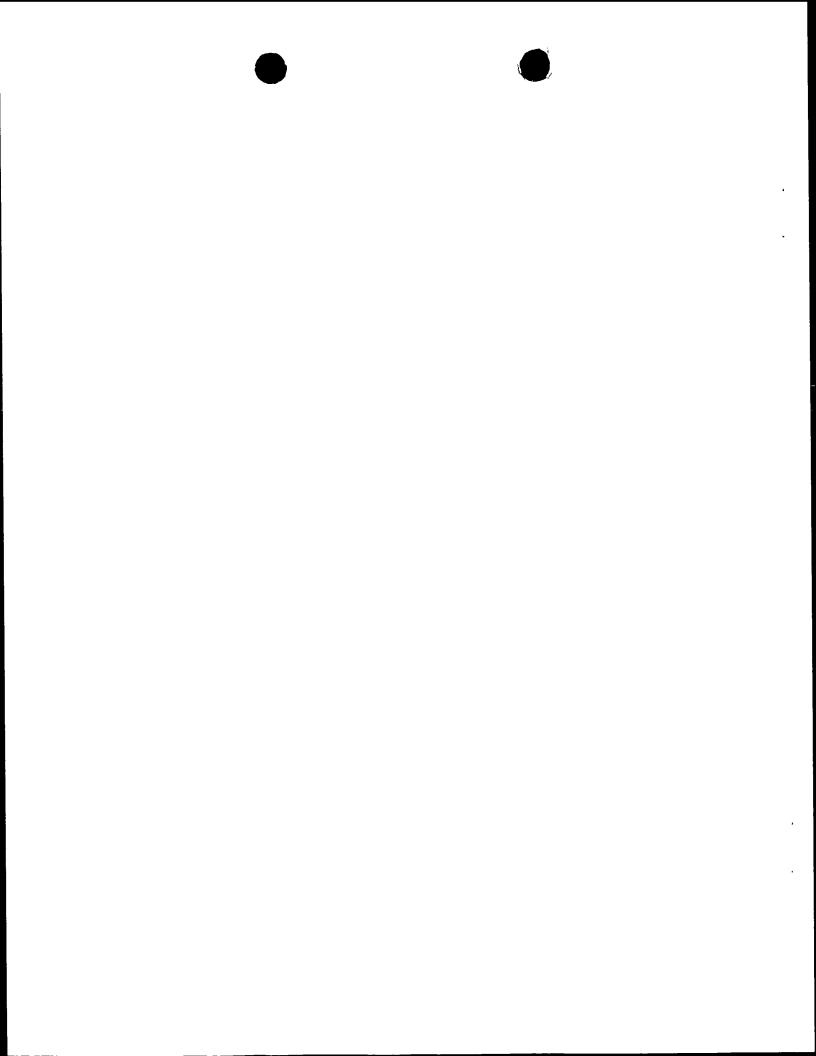


Table 1

Sample No.	DBPO	TPT	After Exposure
No.	[ppm]	[ppm]	to 1000 W light
			[30 secs]
1	0	1000	No curing
2	100	0	No curing
3	500	0	No curing
4	2500	0	No curing
5	10000	0	No curing
6	100	100	High viscous
7	100	1000	Tack-free curing
8	500	100	High viscous
9	500	1000	Tack-free curing
10	2500	100	High viscous
11	2500	1000	Cured
12	10000	100	High viscous
13	10000	1000	High viscous

The information shown in Table 1 indicates that the combination of the radical initiator component and the photoinitiator component (see Sample Nos. 6-13) enables the cyanoacrylate to cure when exposed to UV light, whereas when only one or the other of the radical initiator component or photoinititor component are present (see Sample Nos. 1-5), no curing of the cyanoacrylate occurs. It may also be seen that

vast amounts of the photoinitiated radical generating component is not necessary to observe the behavior of the inventive compositions (see Sample Nos. 6-9).

# Example 2

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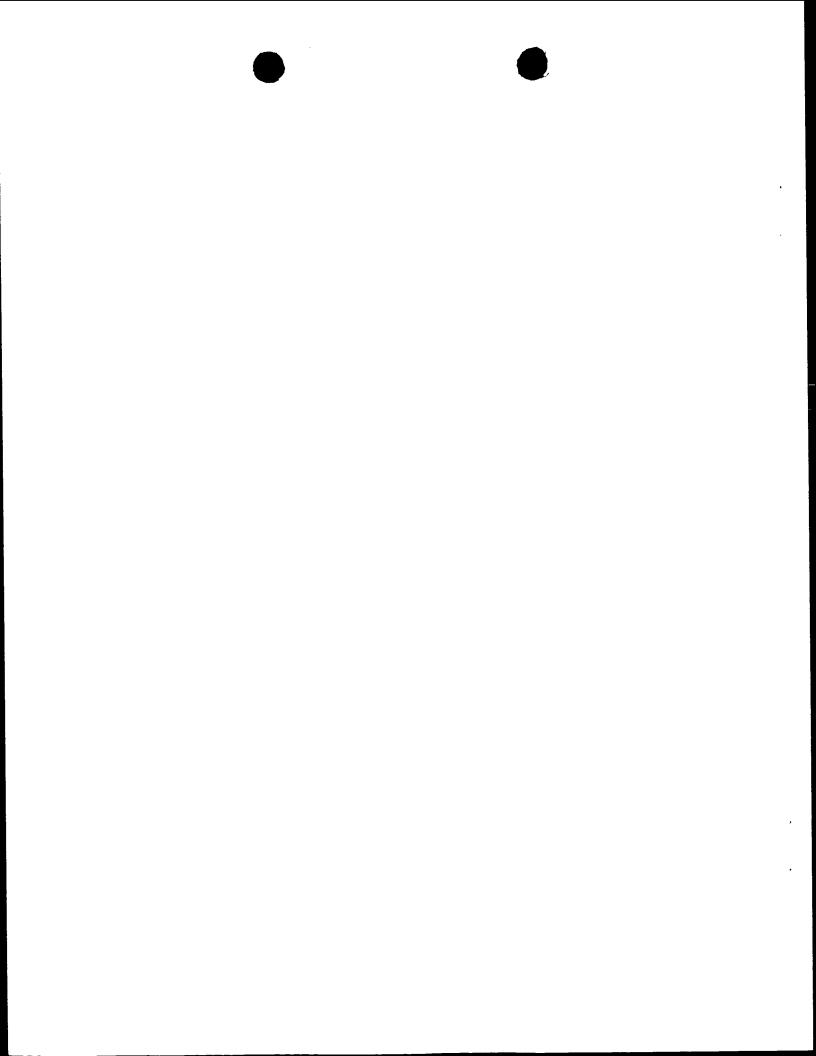
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In this example, a variety of radical initiator components were used in the formulation.

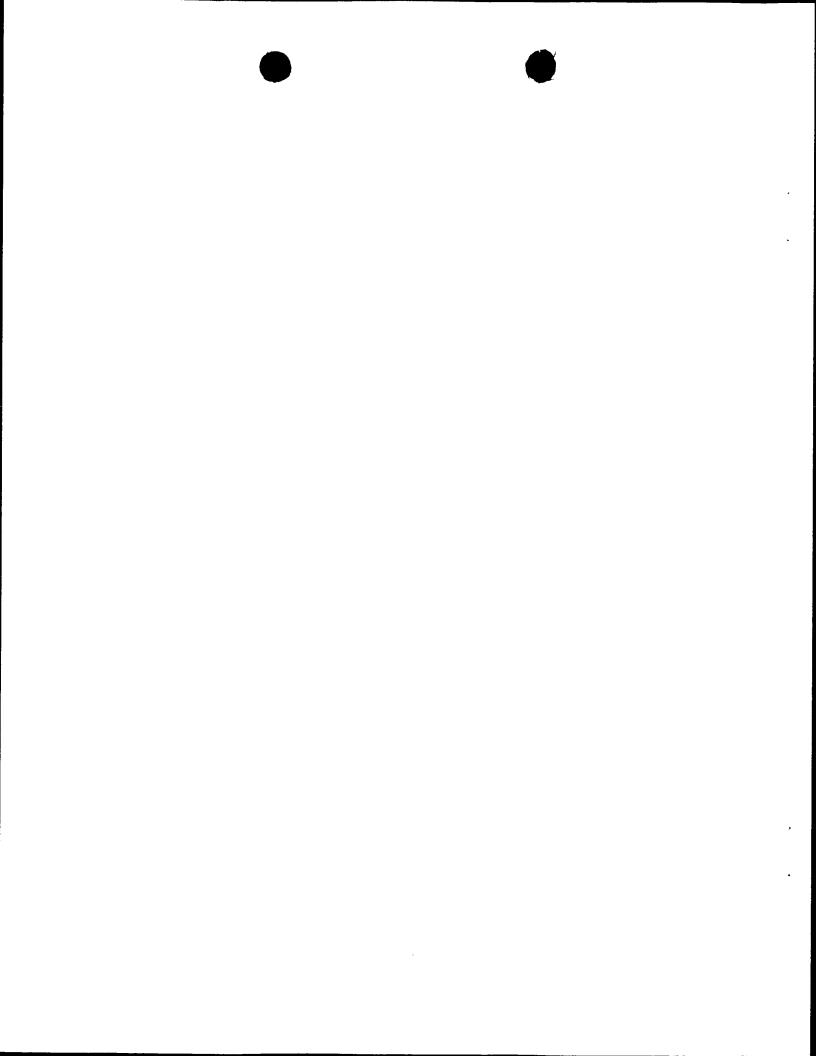
Again starting with ethyl-2-cyanoacrylate, and keeping the photoinitiator component constant as "TPT", a variety of different radical initiator components were used in the amounts noted to determine whether and to what extent the so-prepared formulations would cure when exposed to UV light. Table 2 below sets forth the specific identity and amounts of the radical initiator components used in the samples. Table 2 also sets forth the curing speed attained



in seconds when one drop of the sample was placed on a glass slide (from Smiths Glassware) and exposed to 1000 W of mercury arc light and/or when one drop of the sample was placed on a glass slide and a second glass slide was positioned thereover, and thereafter exposing the single or double glass slide assembly to a Philips lamp HPR125 at 6  $mW/cm^2$  intensity or to no extra light at all.

Table 2

Table 2							
		Rad	ical	Curing Speed [secs]			
Sample No.	TPT [ppm]	Initiator		1000 W	2 glass slides		
		Type	[ppm]	1 glass slide	6 mW/cm <sup>2</sup>	No light	
14	2000	DBPO	100	29	2	>50	
15	2000	DBPO	150	28	2	>50	
16	2000	DBPO	250	29	2	>50	
17	2000	DBPO	350	31	1-2	>50	
18	2000	DBPO	450	31	1-2	>50	
19	2000	DBPO	600	34	1-2	>50	
20	2000	DBPO	1000	35			
21	2000	DBPO	5000	35			
22	2000	TBPB	600	35			
23	2000	TBPB	1000	35			
24	2000	TBPB	5000	35			
25	2000	DTBPO	400	35			
26	2000	DTBPO	1000	35			
27	2000	DTBPO	5000	35			
28	2000	DEAP	200	40			
29	2000	DEAP	1000	30			
30	2000	DEAP	5000	35			
31	2000	DMPAP	200	35			
32	2000	DMPAP	1000	30			
33	2000	DMPAP	5000	30			
34	2000	ABCH	400	33			
35	2000	ABCH	2000	40			
36	2000	BBPH	400	40			
37	2000	AIBN	100	31	1	>50	
38	2000	AIBN	200	31	1-2	>50	
39	2000	AIBN	400	29	1-2	>50	
40	2000	AIBN	800	30	1-2	>50	
41	2000	AIBN	2000	30	2	>50	
42	2000	AIBN	4000	31	2-3	>50	
43	4000	AIBN	2000	33	<del>                                     </del>		
44	4000	AIBN	4000	35	<del>  </del>		
45	2000	DCPO	200	40	<del>                                     </del>		
46	2000	DCPO	1000	35			
47	2000	DCPO	5000	40			
48	2000	ABCV	400	55	+		
49	2000	ABCV	2000	55	<u> </u>		



50	2000	TBHPO	400	50	
51	2000	ТВНРО	2000	45	
52	2000	BBPDMH	400	38	
53	2000	BBPDMH	2000	38	
54	2000	BBPDMH	400	35	
55	2000	BBPDMH	2000	40	
56	2000	ADCDP	400	22	
57	2000	ADCDP	2000	15	
58	2000	LPO	400	30	
59	2000	LPO	2000	32	
60	2000	DPTPO	400	35	
61	2000	DPTPO	2000	22	
62	2000	DC	400	45	
63	2000	DC	2000	55	
64	2000	CHPO	400	50	

Table 2 shows that a variety of photoinitiated radical generating components may be used to render a cyanoacrylate-containing formulation photocurable in the presence of a photoinitiator -- in this case TPT. Such photoinitiated radical generating components include, in addition to DBPO, other peroxides, peresters, azo-compounds, halo acetophenones (e.g., DC), aromatic carbonyl compounds (e.g., DMPAP and DEAP), acyl phosphine oxides (e.g., DPTPO) and related compounds.

# Example 3

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In this example, a variety of different photoinitiator components were chosen for evaluation in an ethyl-2-cyanoacrylate formulation with DBPO as a phtoinitiated radical generating component, with one exception being AIBN in Sample No. 74. The specific photoinitiator components chosen and the amounts used are set forth below in Table 3, as well are the curing speeds of the formulations.

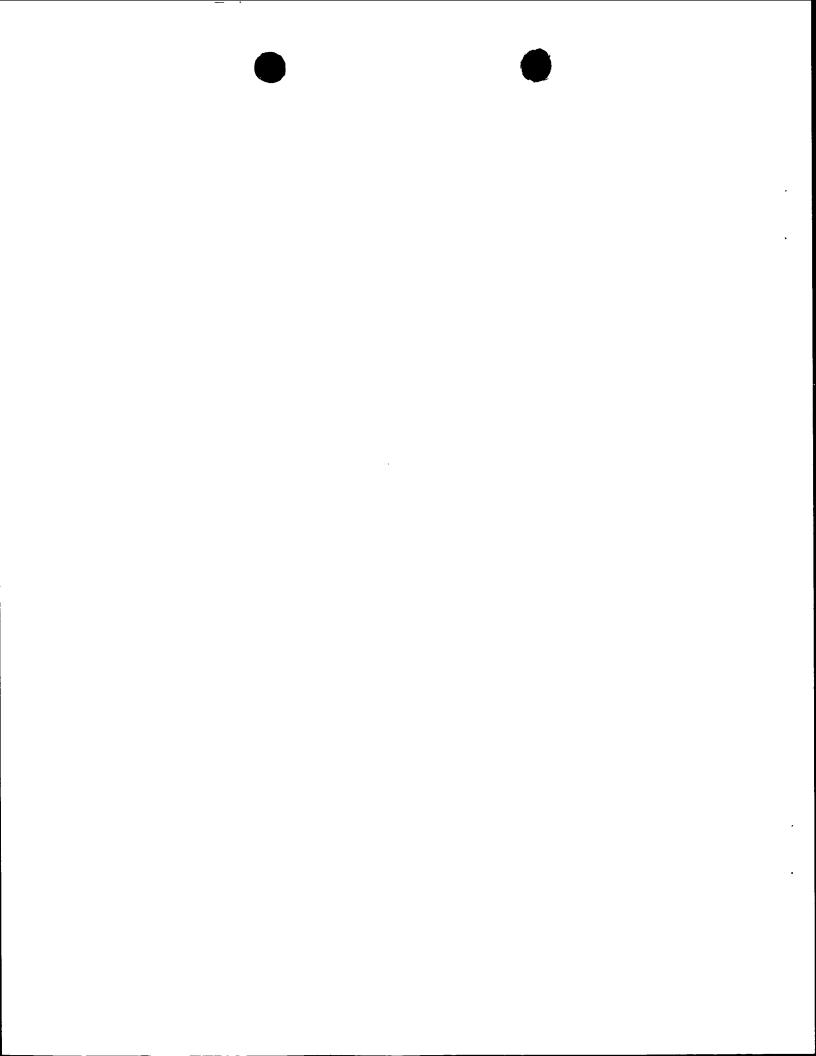


Table 3

Sample	Rac	dical	Photoinitiator		Curin	g Speed [secs]	
No.	Init	iator			1000 W 1 slide glass	2 glass slides	
	Type	[ppm]	Type	[ppm]		6 mW/cm <sup>2</sup>	No light
65	DBPO	100	TPT	2000	29	2	>50
66	DBPO	150	TPT	2000	28	2	>50
67	DBPO	250	TPT	2000	29	2	>50
68	DBPO	350	TPT	2000	31	1-2	>50
69	DBPO	200	PBT	2000		25	>50
70	DBPO	200	DNT	2000		1	10
71	DBPO	200	DNT	5000	45	1-2	40
72	DBPO	200	TPTS	2000		4	45
73	DBPO	200	TPTS	5000	40	2	>50
74	AIBN	500	DTPT	2000	30	2	>50
75	DBPO	250	DTPT	2000	35	2	>50
76	DBPO	250			>60	>30	>30
77	DBPO	200	CPPP	2000	>50		
78	DBPO	200	CPPP	3000	>50	>50	>50
79	DBPO	200	DMPT	2000	>50	>50	
80	DBPO	200	DMPPC	2000		35	
81	DBPO	200	DMPPC	5000	40	20	20
82	DBPO	200	BHNT	2000		>50	
83	DBPO	200	BHNT	3000	40	45	
84	DBPO	200	DFPT	2000		>50	<del></del>
85	DBPO	200	DFPT	5000	40	>50	
86	DBPO	250	CBMOC	2000	>60	>50	>50
87	DBPO	250	ADCN	2000	>60	>50	>50

#### Example 4

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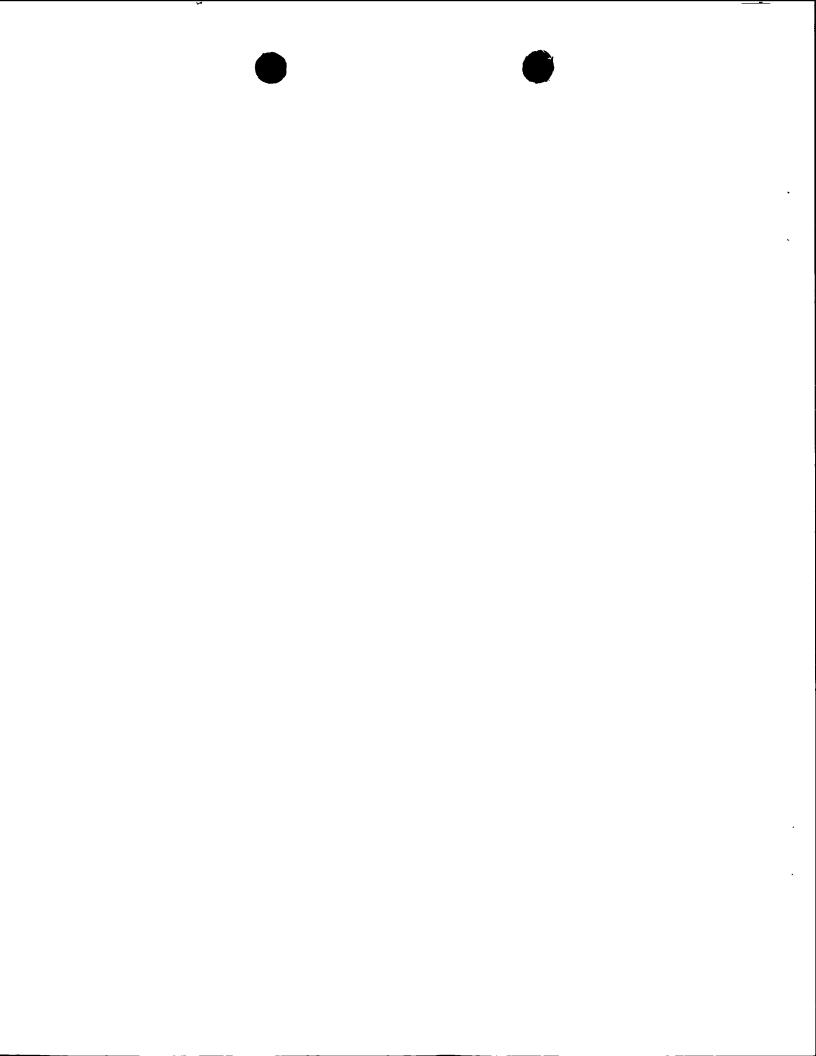
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In this example, a side-by-side evaluation of a cyanoacrylate composition (one with TPT at a 2000 ppm level and DBPO at a 200 ppm level) curing in the presence of air and in the presence of a nitrogen atmosphere demonstrated that the presence of oxygen inhibits the ability of the composition to cure.

In addition, cyanoacrylate compositions with TPT as a photoinitiator component at 1500 ppm and varied levels of DBPO as a radical initiator component, where the amount was varied, were cured to illustrate that these compositions cure through a free radical initiated mechanism.

In Table 4a below, the effect is shown of increasing the level of DBPO on the cure speed of a composition (Sample No. 65) on a polycarbonate slide when exposed to mercury arc light at an intensity of 1000 W.



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Increasing the level of DBPO shows an increase in the time required to achieve a tack free surface from the formulation.

An optimum concentration for the photoinitiated radical generating component may indicate the radical nature of the photocuring effect. That is, at a low concentration, the decay of the photoinitiated radical generating component, e.g., DBPO, triggers the growth of macroradicals; at a higher concentration a part of the DBPO may also quench chain propagation.

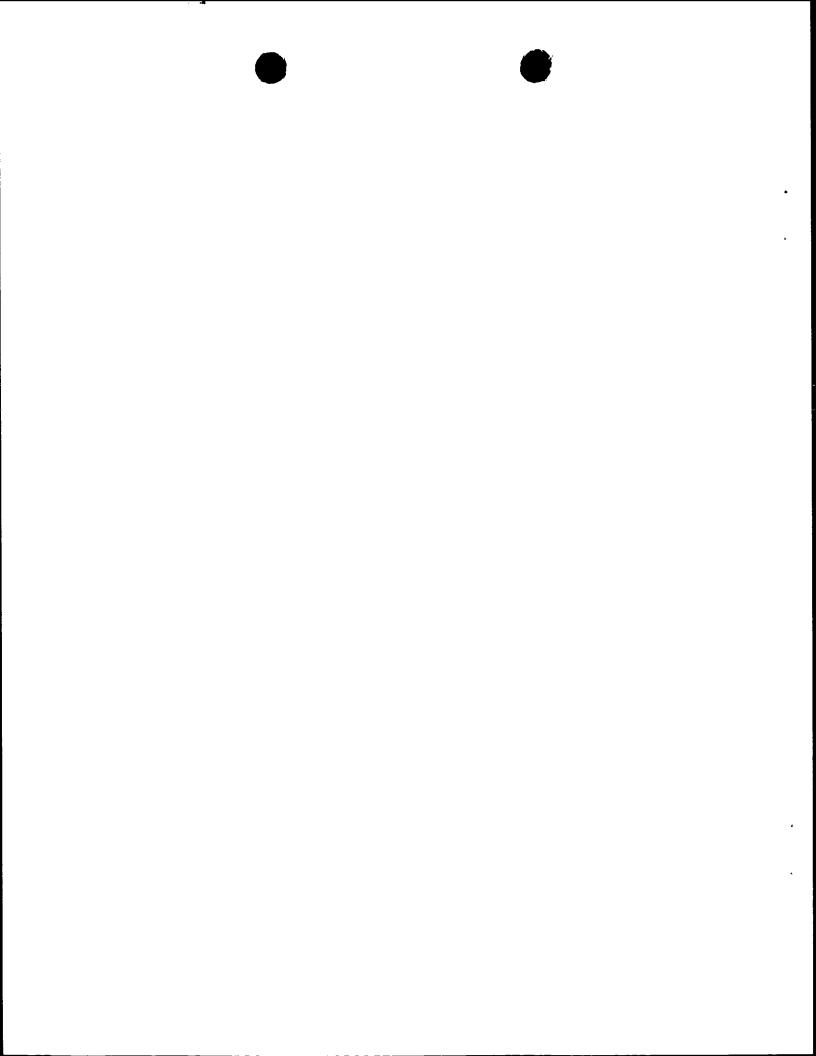
Table 4a

DBPO	Cure speed @ 1000 W
[ppm]	[secs]
100	30
200	25
300	20
400	30
800	45

In Table 4b below, a composition within the scope of this invention (Sample No. 88) was prepared and evaluated for tack free time. A composition having the same radical initiator component and the same photoinitiator component in the same amounts (Sample No. 89) was also prepared, to which was added 2000 ppm of hydroquinone. Hydroquione is a known free radical scavenger. In the table it may be seen that the introduction of the hdroquinone vastly retards, if not completely impedes within a reasonable time period, the ability of the composition to cure when exposed to UV light. This data indicates that the inventive compositions cure through a radical initiated mechanism.

Table 4b

Sample No.	DBPO [ppm]	ŢPT [ppm]	Tack-free time @ 1000 W [secs]
88	200	2000	26
89	200	2000	>60



Example 5



In this example, a non-cyanoacrylate radical curable component was included with the cyanoacrylate to illustrate the ability of the so-formed formulations to cure when exposed to appropriate radiation in the electromagnetic spectrum. The identity of such components, the percent by weight added and the speed of cure are set forth below in Table 5.

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Table 5

Sample No.	Non-Cyanoacrylate Ra Curable Componen Type	Curing speed [secs]	
90			6
91	Styrene	25	6
92	Styrene	50	8
93	Styrene	75	16
94	Tetrahydrofurfuryl methacrylate	25	40
95	Tetrahydrofurfuryl methacrylate	50	32
96	Isodecyl methacrylate	25	15
97	Phenylacetylene	50	18
98	2-Phenylethyl methacrylate	50	34
99	Trimethylphenyl acrylate	50	36
100	Pentaerythritol tetraacrylate	50	12

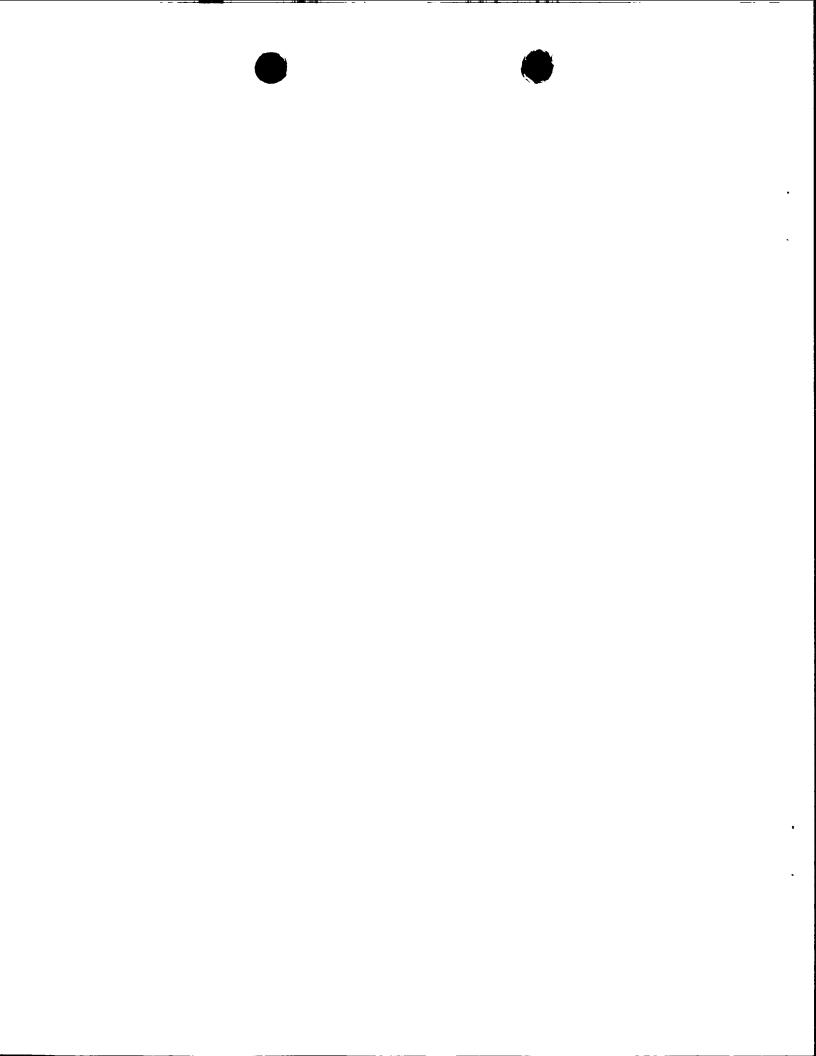
# Example 6

In this example, a variety of photoinitiated radical generating components were added to ethyl-2-cyanoacrylate in various amounts, with and without 2500 ppm of TPT as a photoinitiator, to determine the cure speed after exposure to UV light at an intensity of 1000 W in an irradiation chamber ("UVALOC 1000", Loctite Deutschland GmbH).

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More specifically, Sample Nos. 102, 104, 106, 108, 110, 112, 114, 116, 118, and 120 contained TPT.



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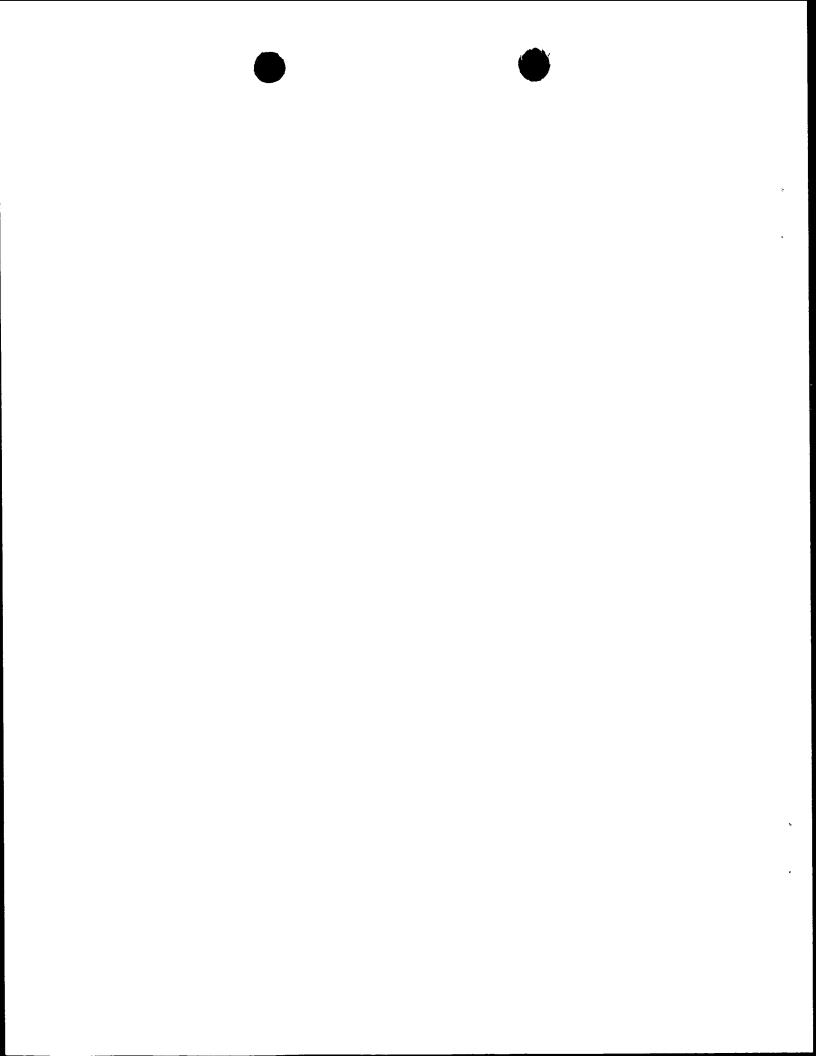
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	Photoinitiated Radical Generating Component						
Sample No.	AIBN	LUCIRIN TPO	IRGACURE 184	IRGACURE 651	IRGACURE 907	Curing Time [secs]	
101	500					>60	
102	500					34	
103	20000					*	
104	20000					42	
105		1500				*	
106		1500				26	
107		20000			· · · · · · · · · · · · · · · · · · ·	*	
108		20000				13	
109			1500			*	
110			1500			45	
111			20000			*	
112			20000			>60	
113				1500		*	
114				1500		50	
115				20000		*	
116				20000		>60	
117					1500	>60	
118					1500	4	
119					20000	15	
120					20000	3	

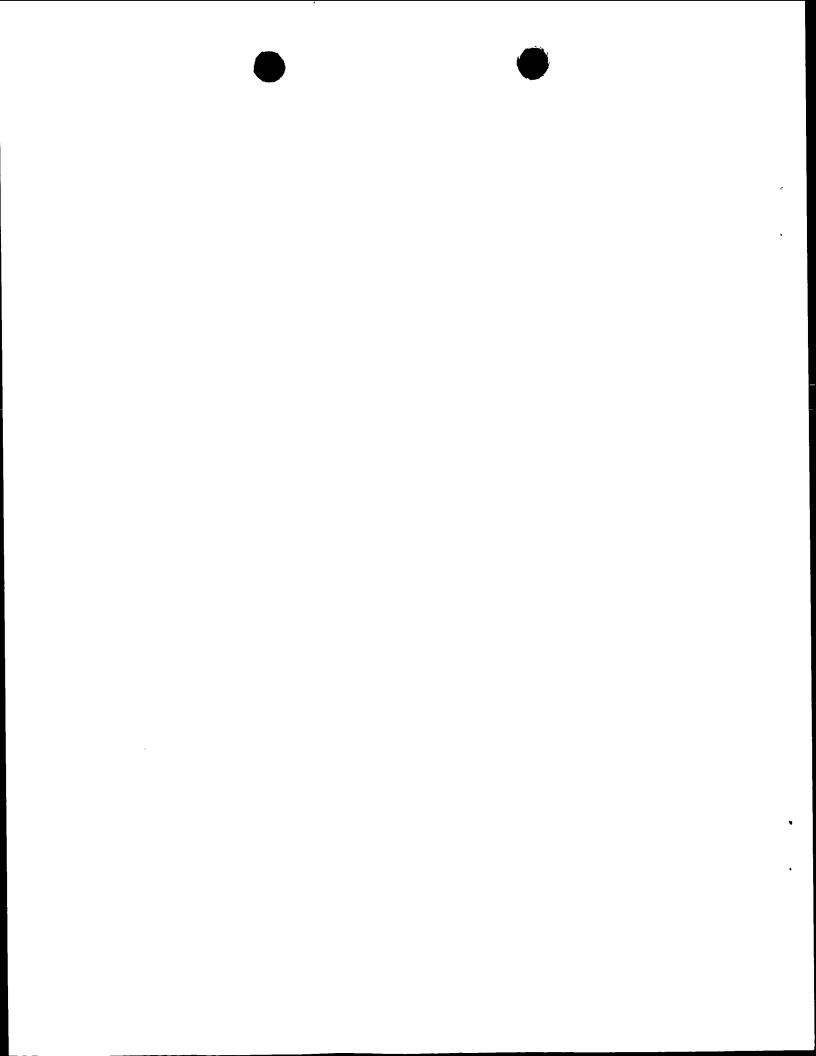
<sup>\*</sup> No curing observed; sample evaporates before curing.

While the present invention has been exemplified as shown above, it is clear that variations are also intended to be within the spirit and scope of the present invention and may be practiced in accordance herewith, with only routine, rather than undue, experimentation. Any variations and equivalents should provide suitable, if not comparable results, when viewed in connection with the results obtained from the above examples. Accordingly, such variations and equivalents are also intended to be encompassed by the claims.



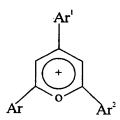
# What Is Claimed Is:

- 1. A photocurable composition comprising:
  - (a) a 2-cyanoacrylate component,
  - (b) a photoinitiated radical generating component, and
    - (c) a photoinitiator component.
- 2. The composition according to Claim 1, wherein the cyanoacrylate component includes a cyanoacrylate monomer represented by  $H_2C=C(CN)-COOR$ , wherein R is selected from the group consisting of  $C_{1-15}$  alkyl, alkoxyalkyl, cycloalkyl, alkenyl, aralkyl, aryl, allyl and haloalkyl groups.
- 3. The composition according to Claim 2, wherein the cyanoacrylate monomer is selected from the group consisting of methyl cyanoacrylates, ethyl-2-cyanoacrylate, propyl-2-cyanoacrylates, butyl-2-cyanoacrylates, octyl-2-cyanoacrylates, allyl cyanoacrylate, ß-methoxyethyl cyanoacrylates, and combinations thereof.
- 4. The composition according to Claim 2, wherein the cyanoacrylate monomer is ethyl-2-cyanoacrylate.
- 5. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of  $\alpha$ -haloacetophenones, azo compounds, aromatic carbonyl compounds, peroxides, hydroperoxides, peresters, azoisobutyronitrile, and combinations thereof.
- 6. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of 1,1'-azo-bis(cyclohexanecarbonitrile), 4,4'-azo-bis(4-cyanovaleric acid), 1,1'-(azodicarbonyl)-dipiperidine, 1,1-bis(t-butylperoxy)cyclohexane, 2,5-bis(t-butylperoxy)-2,4-



dimethylhexane, bis[1-(t-butylperoxy)-1-methyl-ethyl]benzene, benzoin methylether, cumylhydroperoxide, dibenzoylperoxide, di-t-butylperoxide, 2,2-diethoxyacetophenone, 2,2-dimethoxy-phenylacetophenone, dicumylperoxide, diphenyl(2,4,6-trimethylbenzoyl)-phosphine oxide, desylchloride, lauroylperoxide, t-butylperoxybenzoate, t-butylhydroperoxide and combinations thereof.

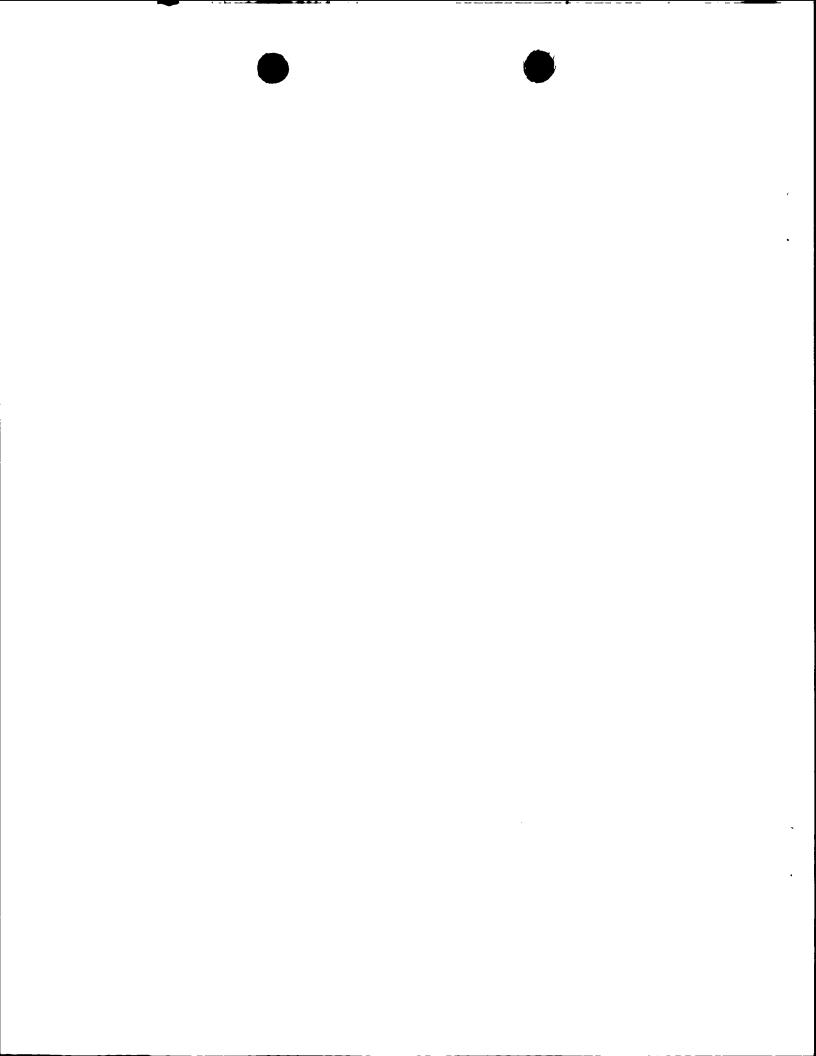
- 7. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials 1-hydroxycyclohexyl phenyl ketone, 2-methyl-1-[4-(methylthio)phenyl]-2-morpholino propan-1-one, benzophenone, 2-benzyl-2-N,N'-dimethylamino-1-(4-morpholinophenyl)-1-butanone, 2,2-dimethoxy-2-phenyl acetophenone, bis(2,6-dimethoxybenzoyl-2,4-, trimethyl pentyl phosphine oxide, 2-hydroxy-2-methyl-1-phenyl-propan-1-one, 2-hydroxy-2-methyl-1-phenyl-1-propane, 2,4,6-trimethylbenzoyldiphenyl-phosphine oxide, 2-hydroxy 2-methyl-1-phenyl-propan-1-one, and combinations thereof.
- 8. The composition according to Claim 1, wherein the photoinitiator component is selected from the group consisting of within the following structure:

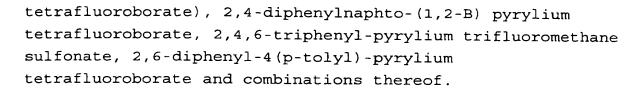


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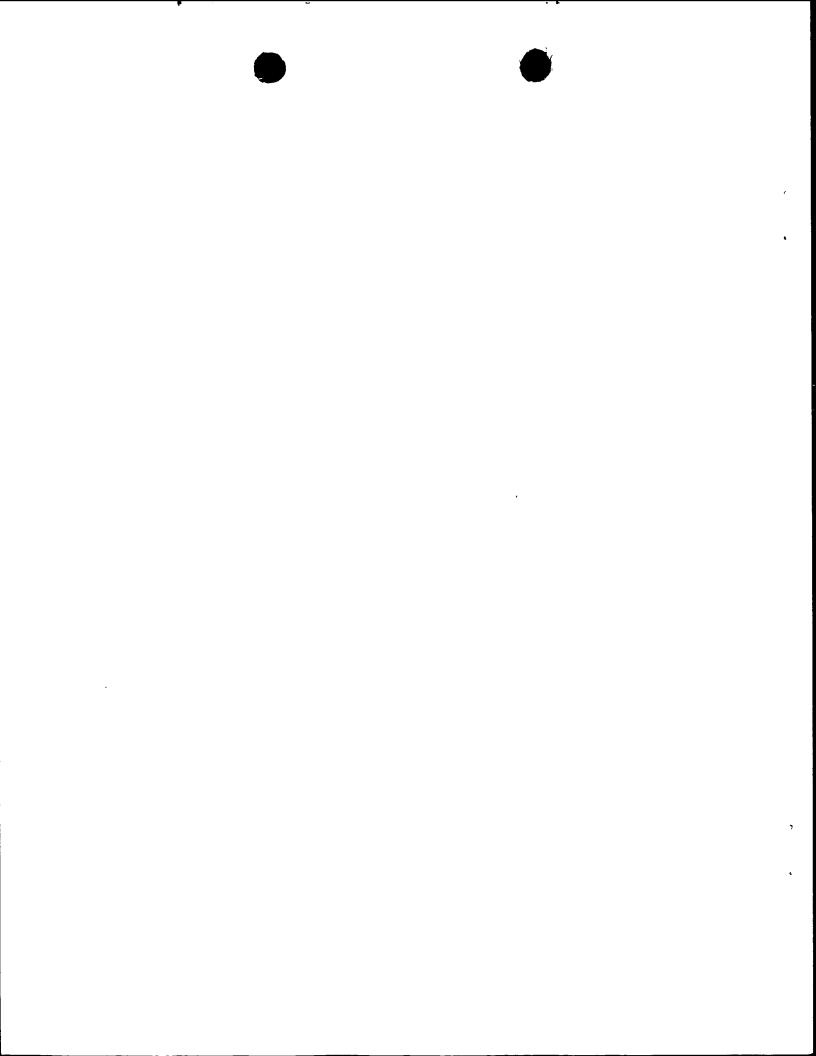
wherein each of Ar,  $Ar^1$  and  $Ar^2$  are aryl groups, with or without substitution, and  $X^2$  is an anion.

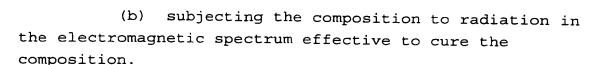
9. The composition according to Claim 1, wherein the photoinitiator component is selected from the group consisting of 2,4,6-triphenylpyrylium tetrafluoroborate, 1,4-phenylene-4,4'-bis-(2,6-diphenyl-4-pyrylium



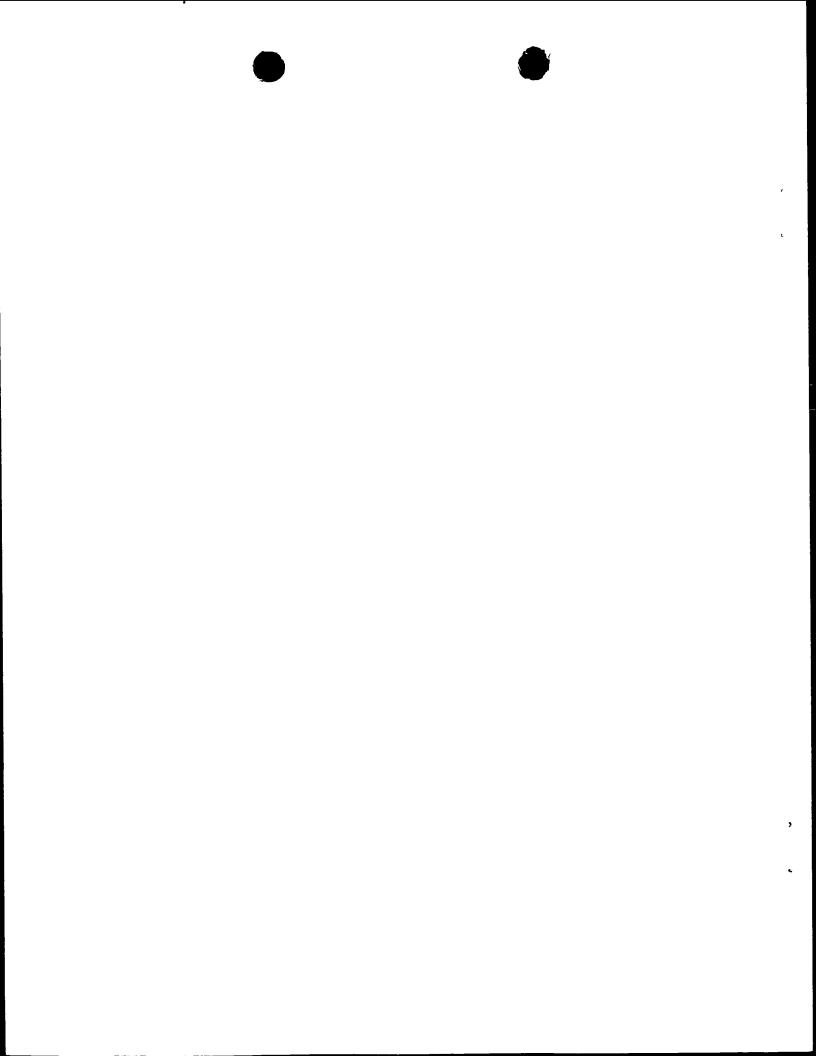


- 10. The composition according to Claim 1, further comprising (d) a non-cyanoacrylate radical curable component.
- 11. The composition according to Claim 8, wherein the non-cyanoacrylate radical curable component is a member selected from the group consisting of styrene and derivatives thereof, (meth)acrylates, and combinations thereof.
- 12. The composition according to any one of Claims 1-11, wherein radiation in the electromagnetic spectrums appropriate for photocuring the composition is selected from the group consisting of ultraviolet light, visible light, electron beam, x-rays, infrared radiation and combinations thereof.
- 13. The composition according to any one of Claims 1-11, further comprising a member selected from the group consisting of viscosity-modifying agents, rubber toughening agents, thixotropy conferring agents, thermal-stabilizing agents, and combinations thereof.
- 14. The composition according to any one of Claims 1-11, wherein the composition is useful as an adhesive, a sealant or a coating.
- 15. A method of polymerizing a photocurable composition, said method comprising the steps of:
- (a) providing an amount of the photocurable composition according to any one of Claims 1-14; and





- 16. The composition according to any one of Claims 1-14 in a two-part formulation.
- 17. The composition according to any one of Claims 1-14 in a one-part formulation.
- 18. A composition comprising a reaction product formed from the composition according to any one of Claims 1-14 after exposure to radiation in the electromagnetic spectrum.
- 19. The composition according to any one of Claims 1-14, for use in the manufacture of articles having porous substrates and/or substrates with gaps greater than about 0.5 mils therebetween.

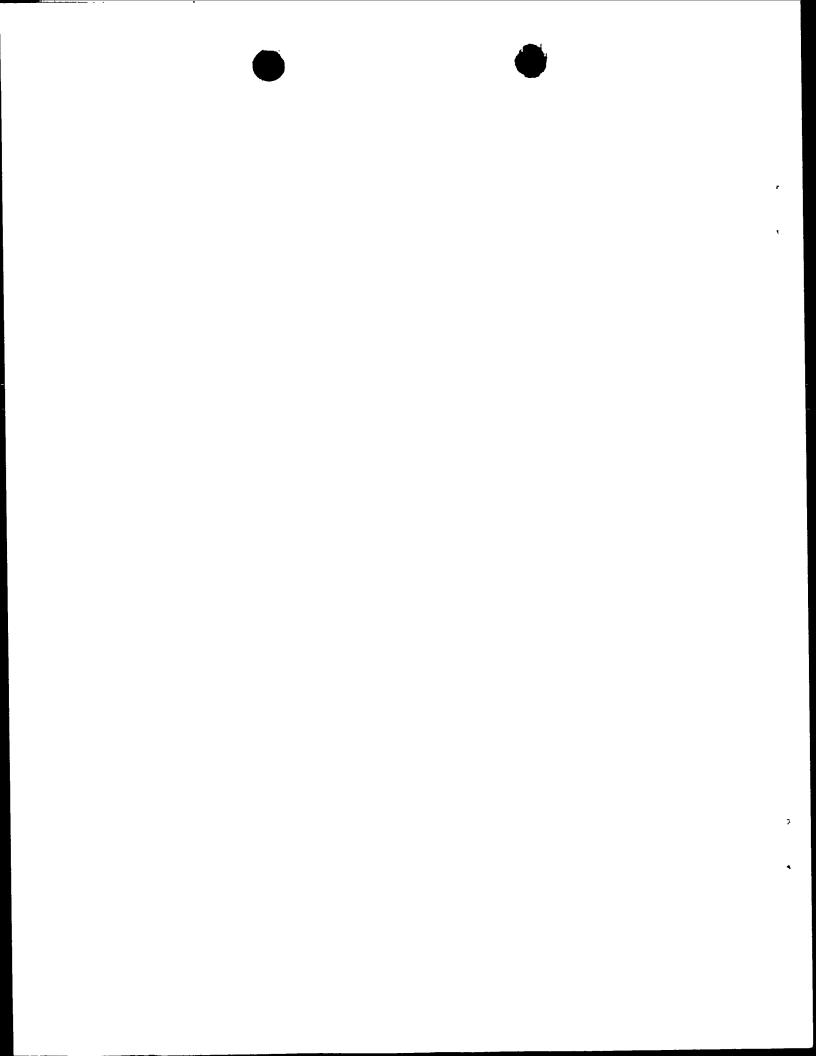


	SSIFICATION OF SUBJECT MATTER :C08F 2/50, 236/12, 2/50, 4/42; CO9D 3/80; C08J	7/04, 4/04		
US CL	: 526/172, 298, 170, 171; 522/18, 19, 20, 25,28, 29 to International Patent Classification (IPC) or to bot	), 173.	and IDC	
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U.S. :	526/172, 298, 170, 171; 522/18, 19, 20, 25,28, 29,	173.		
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	data base consulted during the international search (1.0; STN CA PLUS	name of data base and,	where practicable,	search terms used)
C. DOC	UMENTS CONSIDERED TO BE RELEVANT			
Category*	Citation of document, with indication, where a	ppropriate, of the releva	ant passages	Relevant to claim No.
X 	US 4,139,388 A [REICH et al] 13 F lines 47-57, Example 1, claims 1,2.	ebruary 1979, abs	stract, col.2,	1-4, 12/5-9, 14-18
Y	1,2.			1-4, 12/5-9, 14-18
Y	US 5,922,783 A [WOJCIAK] 13 July 21, 54-64, col.4, lines 41-67, col.5, li lines 2220-34, 42-50, col.7, 43-53, E	nes 1-24, 42-45, 5	1.3, lines 14- 53-60, col.6,	1-19
X Furthe	er documents are listed in the continuation of Box C	See patent	family annex.	
"A" doc	cial categories of cited documents:  ument defining the general state of the art which is not considered be of particular relevance	date and not in c	published after the inte- conflict with the applica- ory underlying the inve	mational filing date or priority tion but cited to understand the
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cite	ument which may throw doubts on priority claim(s) or which is d to establish the publication date of another citation or other cial reason (as specified)	"Y" document of pa	nent is taken alone articular relevance; the	claimed invention cannot be
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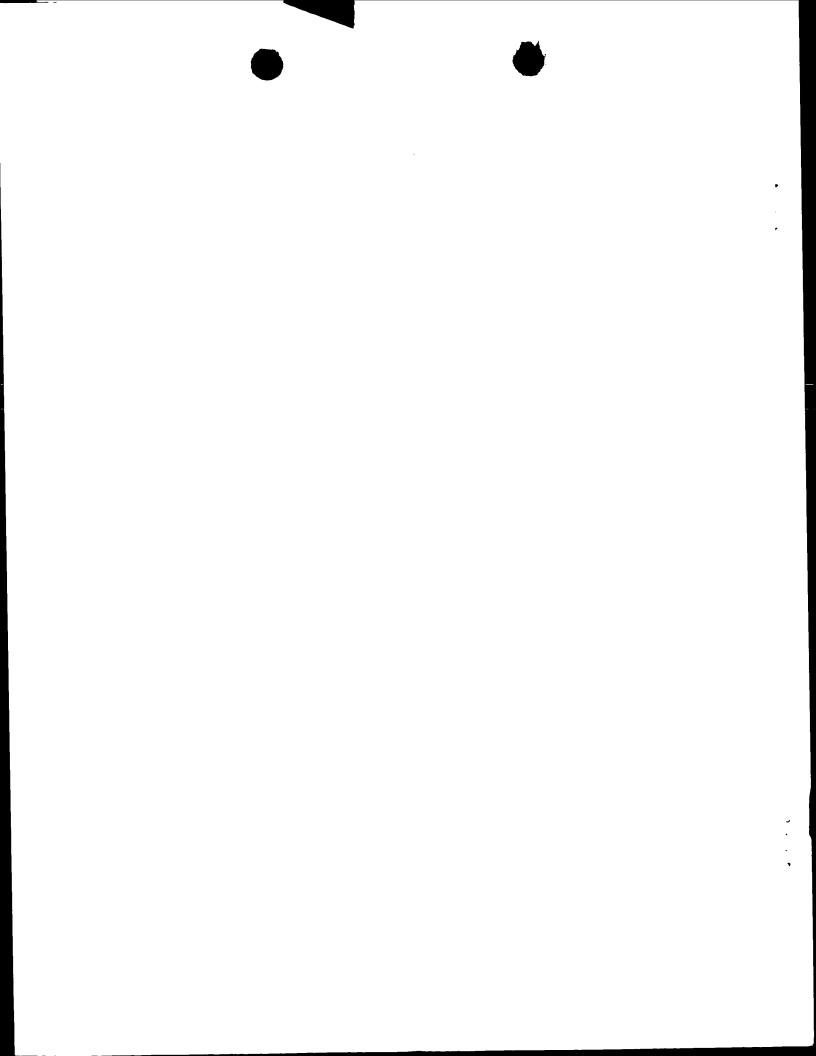
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Internal application No. PC ... 300/24620

C (Continue	ation). DOCUMENTS CONSIDERED TO BE RELEVANT	
		<del></del>
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim N
X  Y	US 5,530,037 A [MCDONELL et al] 25 June 1996, abstract, col.3, lines 60-66, col.4, 5, col.7, lines 14-16, Example 1, claims 1-3, 7, 11, 16, 17.	1-5, 7, 12, 14- 18/6, 8-11, 13, 1
		1-5, 7, 12, 14- 18/6, 8-11, 13, 1
	EP 0 274 595 A (GENERAL ELECTRIC COMPANY) 20 July 1988, entire document.	1-19
\ 	US 3,940,362 A [OVERHULTS] 24 February 1976, abstract, col.2, lines 42-45, col.3, lines 15-18, 44-50, col.4, lines 55-60, col.5, lines	1-19
<i>r</i>	10-20, Examples I-XXVI, claims 1-9, 19, 21.	1-19
	US 5,824,180 A [MIKUNI et al] 20 October 1998, entire document	1-19
•	EP 0 769 721 A (THREE BOND CO., LTD) 23 April 1997,	1-19
-	abstract, page 3, page 10, 19-59, page 11, lines 1-5, 40-46, page 12, Table 1.	1-19
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01/018068 A1

(54) Title: RADIATION-CURABLE, CYANOACRYLATE-CONTAINING COMPOSITIONS

(57) Abstract: A radiation-curable composition that includes a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoinitiated radical generating component, and a photoinitiator component is provided.

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# RADIATION-CURABLE, CYANOACRYLATE-CONTAINING COMPOSITIONS

# BACKGROUND OF THE INVENTION

# Field of the Invention

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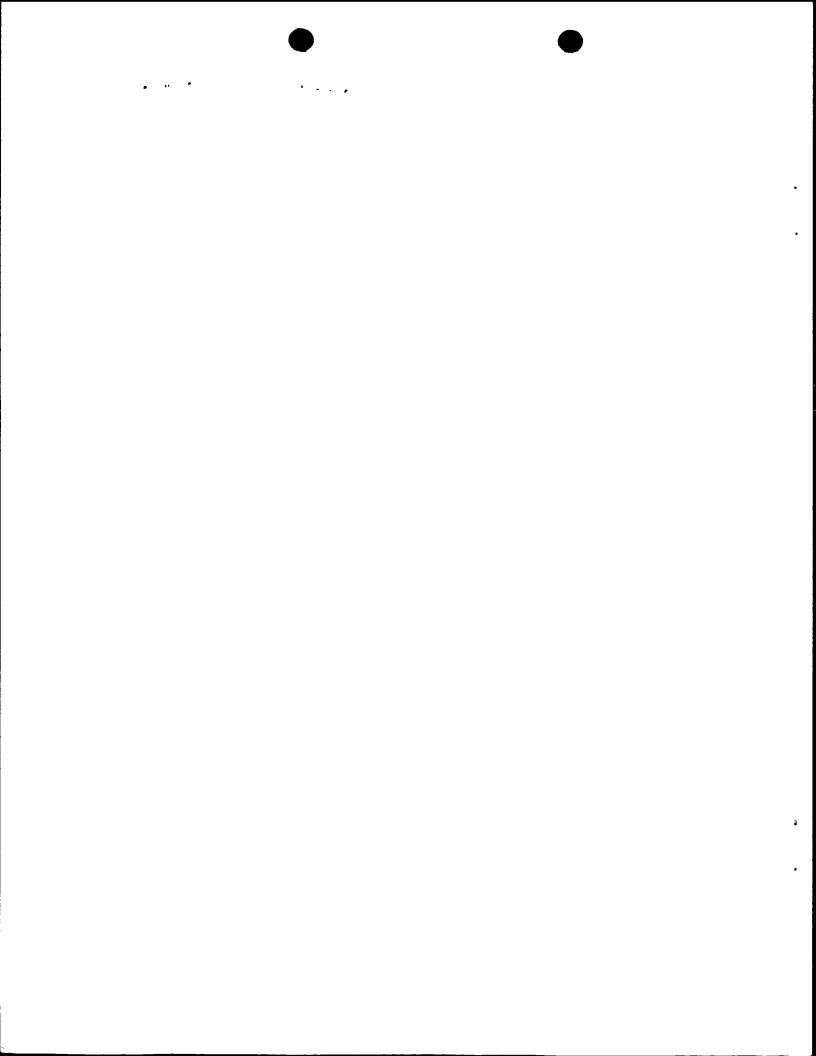
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The present invention relates to radiation-curable compositions, which include a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoinitiated radical generating component and a photoinitiator component.

### Brief Description of Related Technology

Cyanoacrylates generally are quick setting materials which cure to clear, hard glassy resins, useful as sealants, coatings, and particularly adhesives for bonding together a variety of substrates [see e.g., H.V. Coover, D.W. Dreifus and J.T. O'Connor, "Cyanoacrylate Adhesives" in Handbook of Adhesives, 27, 463-77, I. Skeist, ed., Van Nostrand Reinhold, New York, 3rd ed. (1990)].

Ordinarily, upon contact with substrate materials possessing a surface nucleophile, cyanoacrylate-containing compositions spontaneously polymerize to form a cured material. The cured material exhibits excellent adhesive properties to materials such as metals, plastics, elastomers, fabrics, woods, ceramics and the like.



Cyanoacrylate-containing compositions are thus seen as a versatile class of single-component, ambient temperature curing adhesives.

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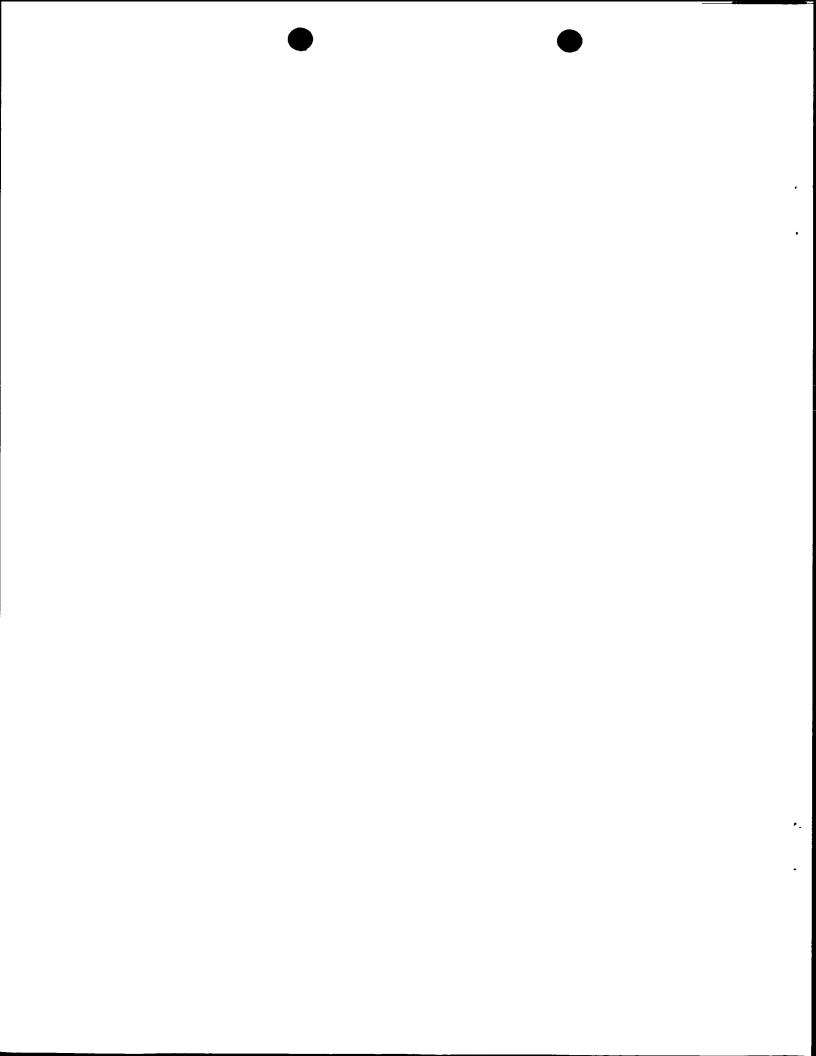
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With conventional polymerizable compositions other than those containing cyanoacrylate monomers, radiation cure generally presents certain advantages over other known cure methods. Those advantages include reduced cure time, solvent elimination (which thereby reduces environmental pollution, and conserves raw materials and energy) and inducement of low thermal stressing of substrate material. Also, room temperature radiation cure prevents degradation of certain heat sensitive polymers, which may occur during a thermal cure procedure.

Radiation-curable, resin-based compositions are legion for a variety of uses in diverse industries, such as coatings, printing, electronic, medical and general engineering. Commonly, radiation-curable compositions are used for adhesives, and certain of the compositions are acrylate-based compositions.

Well-known examples of radiation-curable, acrylate-based resins include those having structural backbones of urethanes, amides, imides, ethers, hydrocarbons, esters and siloxanes. [See e.g., J.G. Woods, "Radiation-Curable Adhesives" in Radiation Curing: Science and Technology, 333-98, 371, S.P. Pappas, ed., Plenum Press, New York (1992).] The common cure mechanism for such radiation-curable, acrylate-based compositions is reported to be free-radical polymerization.

European Patent Publication EP 393 407 describes a radiation-curable composition which includes a slow cure cationic polymerizable epoxide, a fast cure free radical polymerizable acrylic component and a photoinitiator. Upon exposure to radiation, the photoinitiator is said to be capable of generating a cationic species which is capable of initiating polymerization of the epoxide and a free radical species which is capable of initiating polymerization of the acrylic component. The polymerizable acrylic component includes monofunctional acrylates and acrylate esters, such as cyano-functionalized acrylates and acrylate esters,



examples of which are expressed as 2-cyanoethyl acrylate (CH<sub>2</sub>=CHCOOCH<sub>2</sub>CH<sub>2</sub>CN) and 3-cyanopropyl acrylate (CH<sub>2</sub>=CHCOOCH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CN). (See page 5, lines 19-26.)

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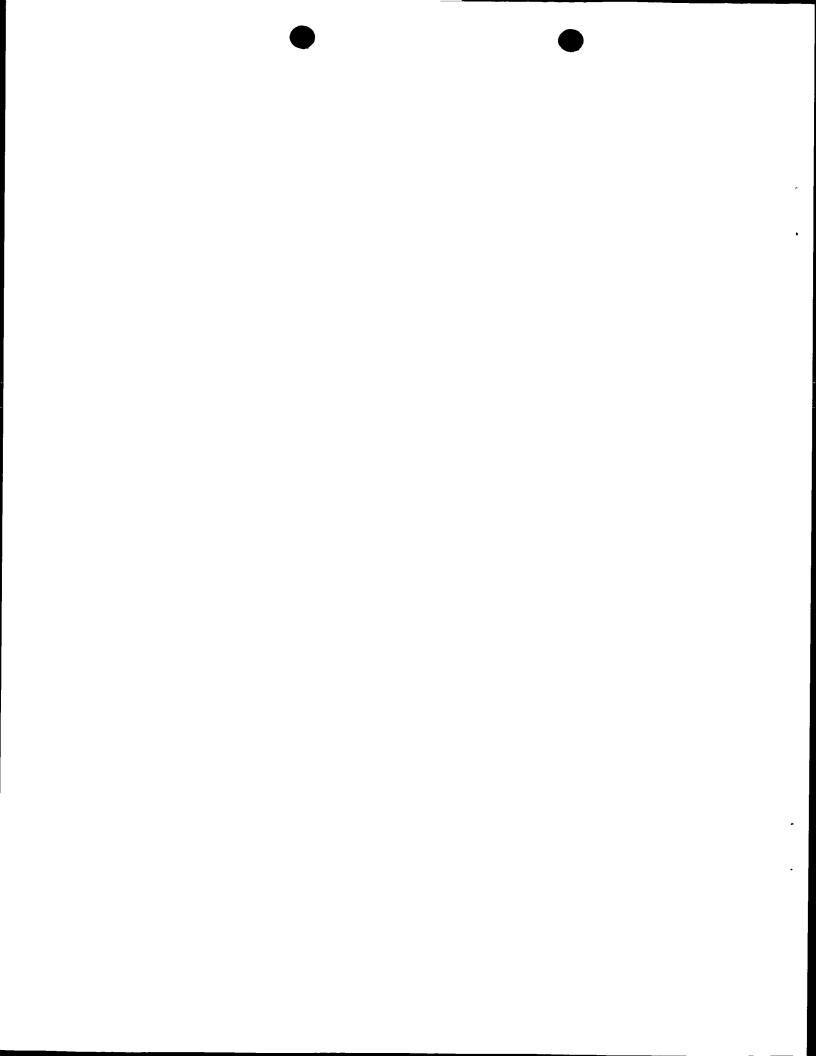
U.S. Patent No. 4,707,432 (Gatechair) speaks to a free radical polymerizable composition which includes (a) polymerizable partial esters of epoxy resins and acrylic and/or methacrylic, and partial esters of polyols and acrylic acid and/or methacrylic acid, and (b) a photoinitiator blend of a cyclopentadienyl iron complex and a sensitizer or photoinitiator, such as an acetophenone.

In C. Kutal, P.A. Grutsch and D.B. Yang, "A Novel Strategy for Photoinitiated Anionic Polymerization", <a href="Macromolecules">Macromolecules</a>, 24, 6872-73 (1991), the authors note that ethyl cyanoacrylate is "unaffected by prolonged (24-h) irradiation with light of wavelength >350 nm" whereas in the presence of NCS, cyanoacrylate is observed to solidify immediately, generating heat in the process. Though the NCS was not in that case generated as a result of irradiation, it was generated from the Reineckate anion upon ligand field excitation thereof with near-ultraviolet/visible light. See also U.S. Patent Nos. 5,652,280 (Kutal) 5,691,113 (Kutal) and 5,877,230 (Kutal).

International Patent Application PCT/US98/03819 describes photocurable compositions including a cyanoacrylate component, a metallocene component and a photoinitiator component.

European Patent Publication No. EP 769 721 A1 describes a photocurable compositions of (a) an  $\alpha$ -cyanoacrylate and (b) a metallocene compound comprising a transition metal of group VII of the periodic table and aromatic electron system ligands selected from  $\pi$ -arenes, indenyl, and  $\eta$ -cyclopentadienyl. The photocurable composition may further include (c) a cleavage-type photoinitiator. U.S. Patent No. 5,814,180 (Mikuni) describes such compositions in the context of a method of bonding artificial nails.

Although the predominant mechanism by which cyanoacrylate monomers undergo polymerization is an anionic



one (which as noted above is typically initiated using a nucleophile), free-radical polymerization is also known to Such free radical polymerization is however seen as troublesome since it tends to reduce shelf-life stability under prolonged exposure to heat or light of an appropriate See e.q., Coover et al., supra. Ordinarily, free-radical stabilizers, such as quinones or hindered phenols, are included in cyanoacrylate-containing adhesive compositions to consume free radicals that are generated by light and under typical non-airtight storage conditions, thereby extending the adhesive's shelf life. extent of any free-radical polymerization of commercial cyanoacrylate-containing adhesive compositions is especially undesirable for at least the reason stated and in practice is typically minimal due to the inclusion of such freeradical stabilizers.

It is not believed to date that a cyanoacrylate-based adhesive composition has been developed to rapidly cure through a photoinitiated free radical mechanism, while retaining commercially acceptable shelf life stability. Such a composition component would be desirable as possessing the benefits and advantages of cyanoacrylate-containing compositions while curing through at least a photo-induced free radical polymerization mechanism.

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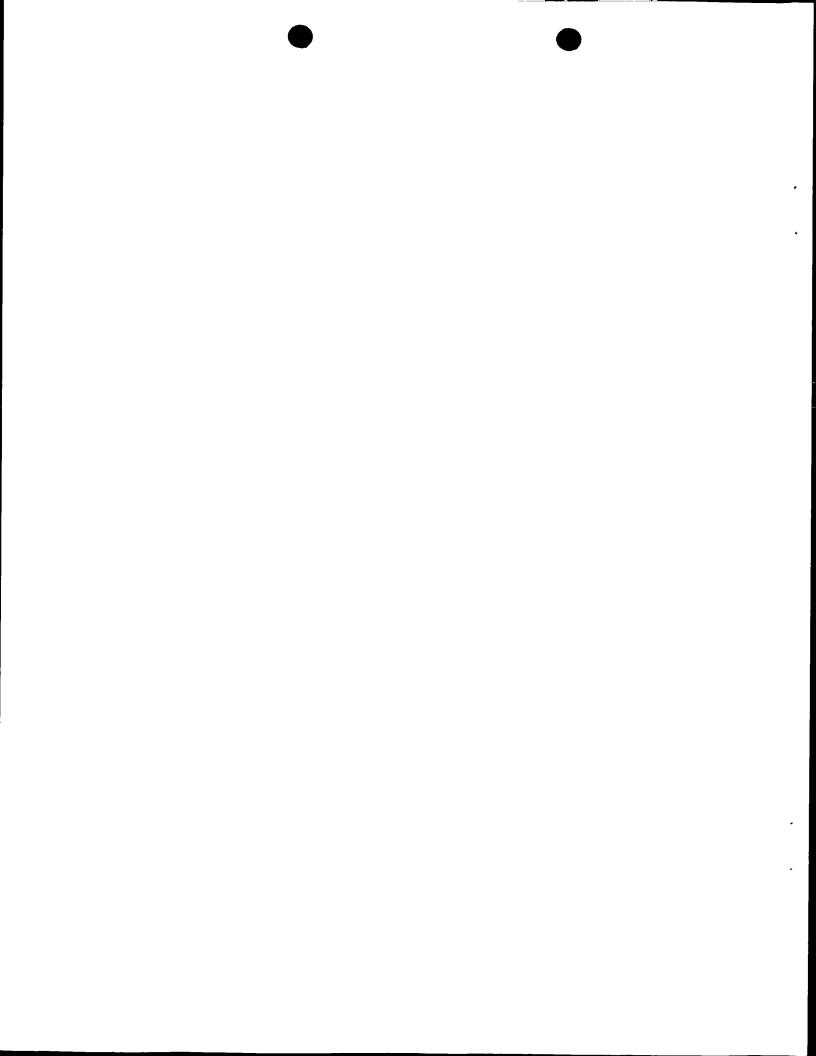
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## SUMMARY OF THE INVENTION

The present invention provides compositions which include a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoinitiated radical generating component and a photoinitiator component. Such compositions cure after exposure to radiation in the electromagnetic spectrum.

The photocurable compositions of this invention retain those benefits and advantages of traditional cyanoacrylate-containing compositions while curing through at least a photo-induced free radical polymerization mechanism, thereby providing to the compositions (and cured reaction products formed therefrom) the benefits and



advantages of curing through such a mechanism. specifically, photocurable compositions of this invention cure rapidly when used, and in so doing minimize the opportunity for undesirable blooming or crazing formation in the cured reaction product. In addition, the inventive photocurable compositions are capable of curing through larger gaps between substrate surfaces than conventional cyanoacrylates, or known photocurable cyanoacrylates. Moreover, as set forth in greater detail below, in one aspect of the invention the photocurable compositions include a non-cyanoacrylate-based radical curable component. The precense of such a component in the inventive compositions allows for the generation of copolymers and reaction products, which would not otherwise be accesible through typical anionic polymerization mechsanisms -- the predominant polymerization of cyanoacrylates.

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In another aspect of the present invention, there is provided a method of polymerizing a photocurable composition by providing an amount of the composition to a desired surface and exposing the composition to radiation in an amount sufficient to effect cure thereof.

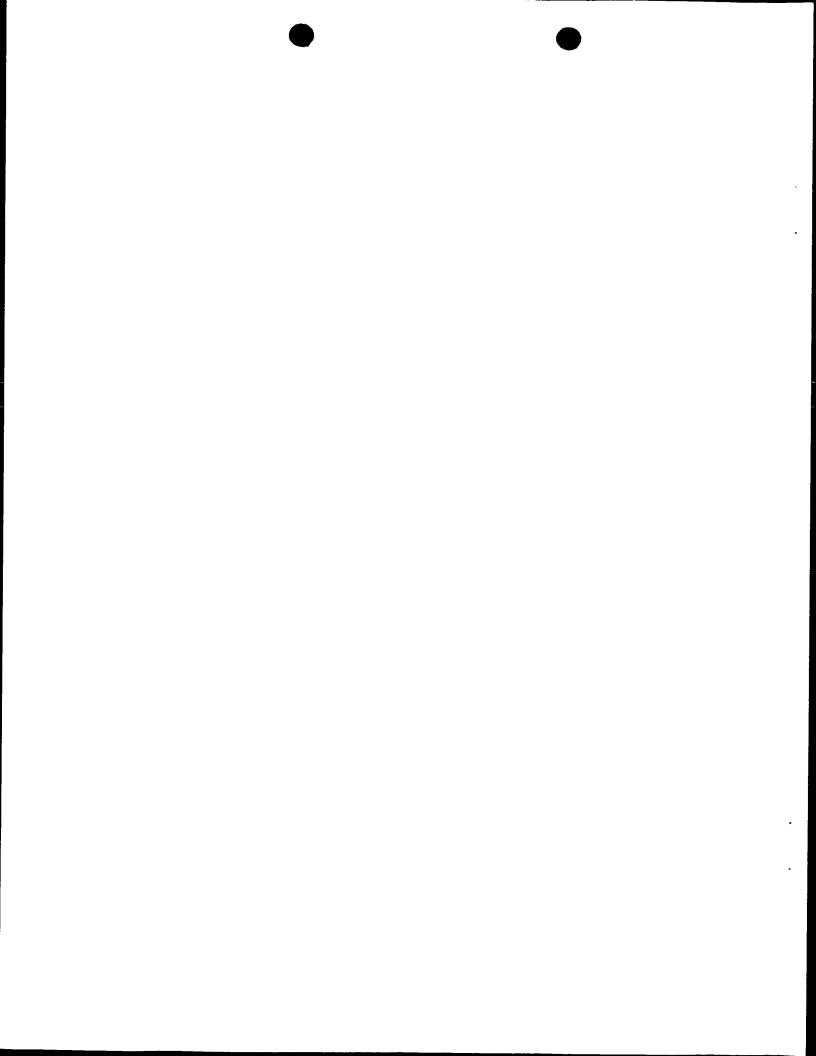
In yet another aspect of the present invention, there is provided the cured reaction product formed from a photocurable composition after exposure thereof to a curingly effective amount of radiation.

The present invention will be more readily appreciated by those persons of skill in the art based on a reading of the detailed description of the invention which follows and the examples presented thereafter for illustrative purposes.

## DETAILED DESCRIPTION OF THE INVENTION

This invention relates to photocurable compositions, which include a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoiniated radical generating component and a photoinitiator component.

The cyanoacrylate component or cyanoacrylatecontaining formulation includes cyanoacrylate monomers which



may be chosen with a raft of substituents, such as those represented by  $H_2C=C(CN)-COOR$ , where R is selected from  $C_{1-15}$  alkyl, alkoxyalkyl, cycloalkyl, alkenyl, aralkyl, aryl, allyl and haloalkyl groups. Desirably, the cyanoacrylate monomer is selected from methyl cyanoacrylate, ethyl-2-cyanoacrylate, propyl-2-cyanoacrylates, such as n-propyl- or i-propyl-2-cyanoacrylate, butyl-2-cyanoacrylates, such as n-butyl- or i-butyl-2-cyanoacrylate, octyl-2-cyanoacrylates, such as n-alkyl-, 2-alkyl-2-cyanoacrylate, and the like, allyl cyanoacrylate, ß-methoxyethyl cyanoacrylate and combinations thereof. A particularly desirable cyanoacrylate monomer for use herein is ethyl-2-cyanoacrylate.

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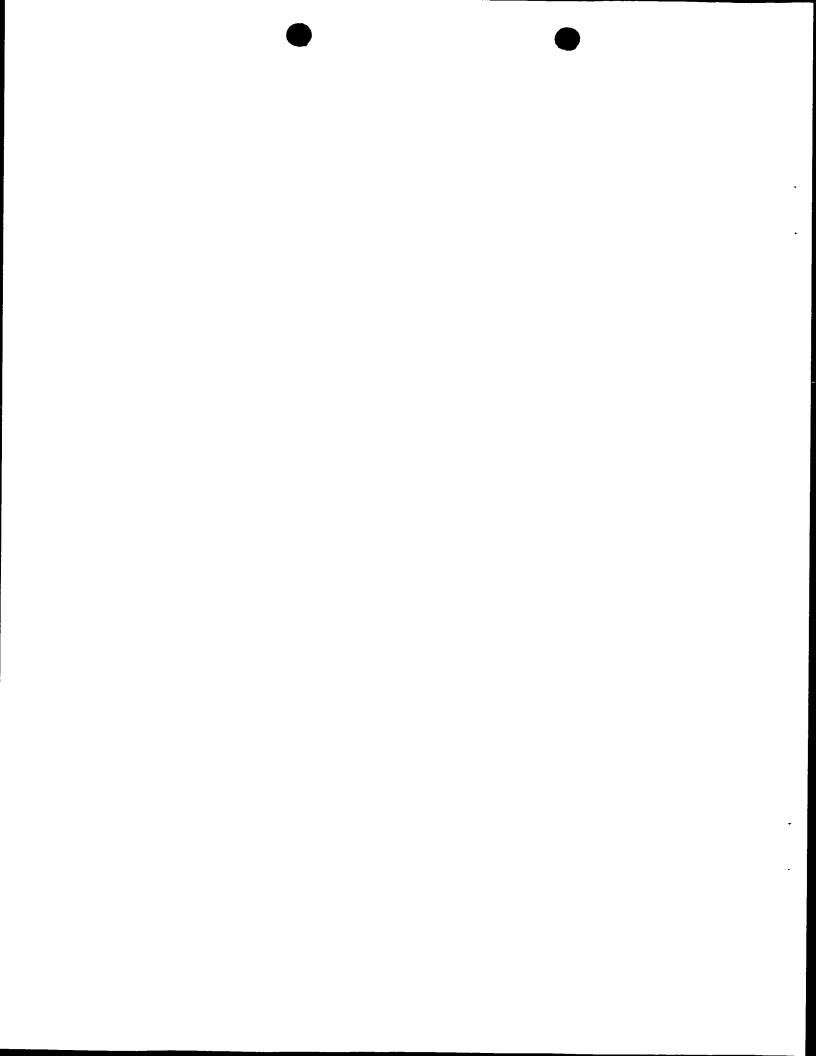
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Among the different types of materials appropriate for use as the photoiniated radical generating component desirable ones share at least these common features: they possess electron withdrawing substituents present at at least one portion of the molecule, thereby rendering the portion(s) electron deficient. And when placed in contact with a photoexcitable material (such as a dye), which when exposed to radiation of an appropriate wavelength, absorbs energy sufficient to cause the radical initiator to cleave at the electron deficient portion(s) of the molecule, thereby generating free radicals.

A variety of photoiniated radical generating components are suitable for use herein, such as  $\alpha$ -haloacetophenones, azo compounds, aromatic carbonyl compounds, peroxides, hydroperoxides, and peresters. Of course, combinations of these compounds may also be used.

More specific examples of such materials include azoisobutyronitrile ("AIBN"), 1,1'-azo-bis(cyclohexane carbonitrile) ("ABCH"), 4,4'-azo-bis(4-cyanovaleric acid) ("ABCV"), 1,1'-(azodicarbonyl)-dipiperidine ("ADCDP"), 1,1-bis(t-butylperoxy)cyclohexane ("BBPH"), 2,5-bis(t-butylperoxy)2,5-dimethylhexane ("BBPDMH"), bis[1-(t-butylperoxy)-1-methyl-ethyl]benzene ("BBPMEB"), benzoin methylether ("BME"), cumylhydroperoxide ("CHPO"), dibenzoylperoxide ("DBPO"), di-t-butylperoxide ("DTBPO"),



2,2-diethoxyacetophenone ("DEAP"), 2,2-dimethoxy-phenylacetophenone ("DMPAP"), dicumylperoxide ("DCPO"), diphenyl(2,4,6-trimethylbenzoyl)-phosphine oxide ("DPTPO"), desylchloride ("DC"), lauroylperoxide ("LPO"), t-butylperoxybenzoate ("TBPB"), and t-butylhydroperoxide ("TBHPO").

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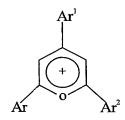
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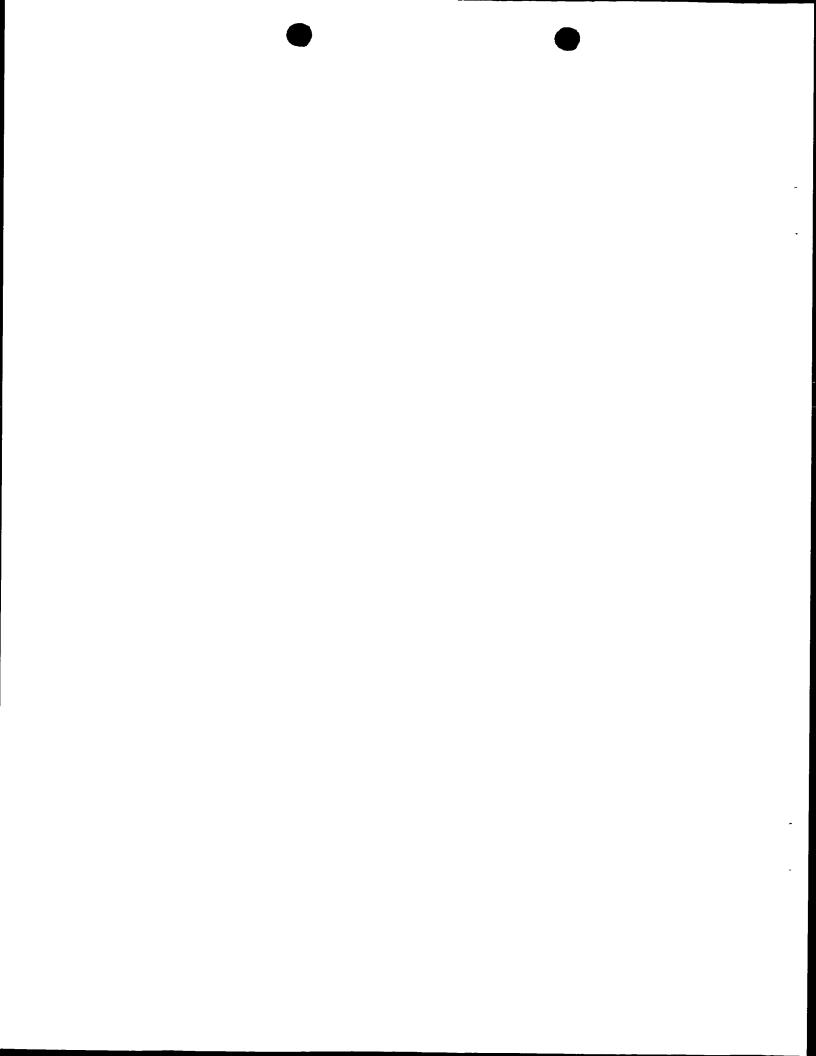
Other examples include those available commercially from Ciba Specialty Chemicals Corp., Tarrytown, New York under the "IRGACURE" and "DAROCUR" tradenames, specifically "IRGACURE" 184 (1-hydroxycyclohexyl phenyl ketone), 907 (2-methyl-1-[4-(methylthio)phenyl]-2-morpholino propan-1-one), 369 (2-benzyl-2-N,N-dimethylamino-1-(4-morpholinophenyl)-1-butanone), 500 (the combination of 1hydroxy cyclohexyl phenyl ketone and benzophenone), 651 (2,2-dimethoxy-2-phenyl acetophenone), 1700 (the combination of bis(2,6-dimethoxybenzoyl-2,4-,4-trimethyl pentyl) phosphine oxide and 2-hydroxy-2-methyl-1-phenylpropan-1-one), and 819 [bis(2,4,6-trimethyl benzoyl) phenyl phosphine oxide] and "DAROCUR" 1173 (2-hydroxy-2-methyl-1phenyl-1-propane) and 4265 (the combination of 2,4,6trimethylbenzoyldiphenyl-phosphine oxide and 2-hydroxy-2methyl-1-phenyl-propan-1-one); and the visible light [blue] photoinitiators, dl-camphorquinone and "IRGACURE" 784DC (bis  $(\eta^5-2, 4$ -cyclopentadien-1-yl)-bis [2,6-difluoro-3-(1H-pyrrol-1-yl)phenyl]titanium).

Of course, combinations of these materials may also be employed herein.

Photoinitiators enhance the rapidity of the curing process when the radiation compositions are exposed to electromagnetic radiation. A number of photoinitiators may be employed herein, examples of which include, but are not limited to,

Photoinitiators useful herein include pyryliumbased materials having a core structure of





<u>X</u>-

where each of Ar,  $Ar^1$  and  $Ar^2$  are aryl groups, with or without substitution, and  $X^-$  is an anion, such as halogen, hexahalophosphate, hexahaloarsenate, hexahaloantimonate, tetrahaloferrate, tetrahaloborate (<u>e.g.</u>, fluoro, chloro, bromo and iodo), and sulfonate.

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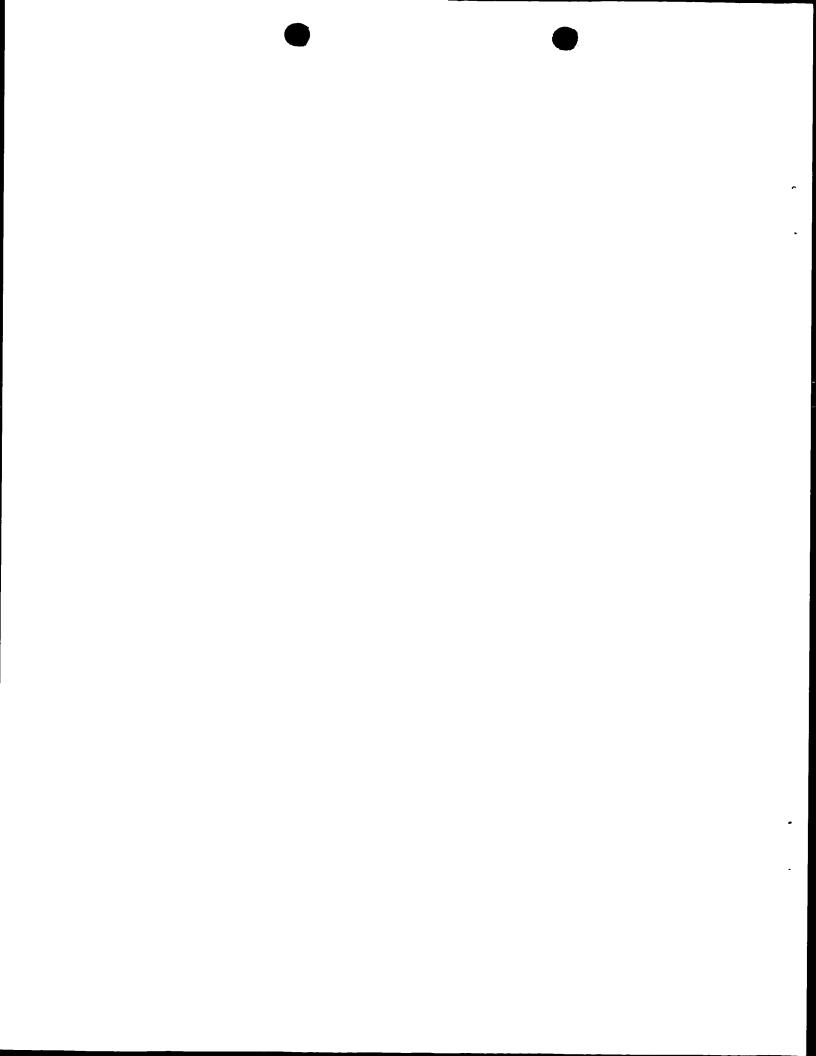
More specific representations of the pyrylium-based materials include: 2,4,6-triphenylpyrylium-tetrafluoroborate ("TPT"), 1,4-phenylene-4,4'-bis-(2,6-diphenyl-4-pyrylium-tetrafluoroborate) ("PBT"), 2,4-diphenylnaphto-(1,2-B) pyrylium-tetrafluoroborate ("DNT"), 2,4,6-triphenyl-pyrylium trifluoromethane sulfonate ("TPTS"), and 2,6-dipenyl-4(p-tolyl)-pyrylium tetrafluoroborate ("DTPT").

In the aspect of the invention where a noncyanoacrylate-based radical curable component is included in the inventive compositions, such radical curable component may be selected from a wide variety of materials, such as alkenes or alkynes.

Of these, styrene and derivatives thereof, such as alkyl- and alkenyl-ether derivatives, (meth) acrylates, alkyl- and aryl or alkenyl acetylenes, as well as esters of vinyl alcohol (e.g., vinyl acetate), are particularly desirable.

With respect to formulating photocurable compositions, generally the components may be introduced to one another in any convenient order. Alternatively, it may be desirable to prepare a premix of the radical initiator component and the photoinitiator component. In this way, a ready made premix of those components may be added to the cyanoacrylate component of the formulation to allow for a quick and easy one-part formulation of a photocurable composition prior to dispensing and curing thereof.

For packaging and dispensing purposes, it may be desirable for photocurable compositions in accordance with the present invention to be relatively fluid and flowable. Variations in the viscosity thereof may also be desirable in



certain applications and may be readily achieved through routine changes in formulation, the precise changes being left to those persons of ordinary skill in the art.

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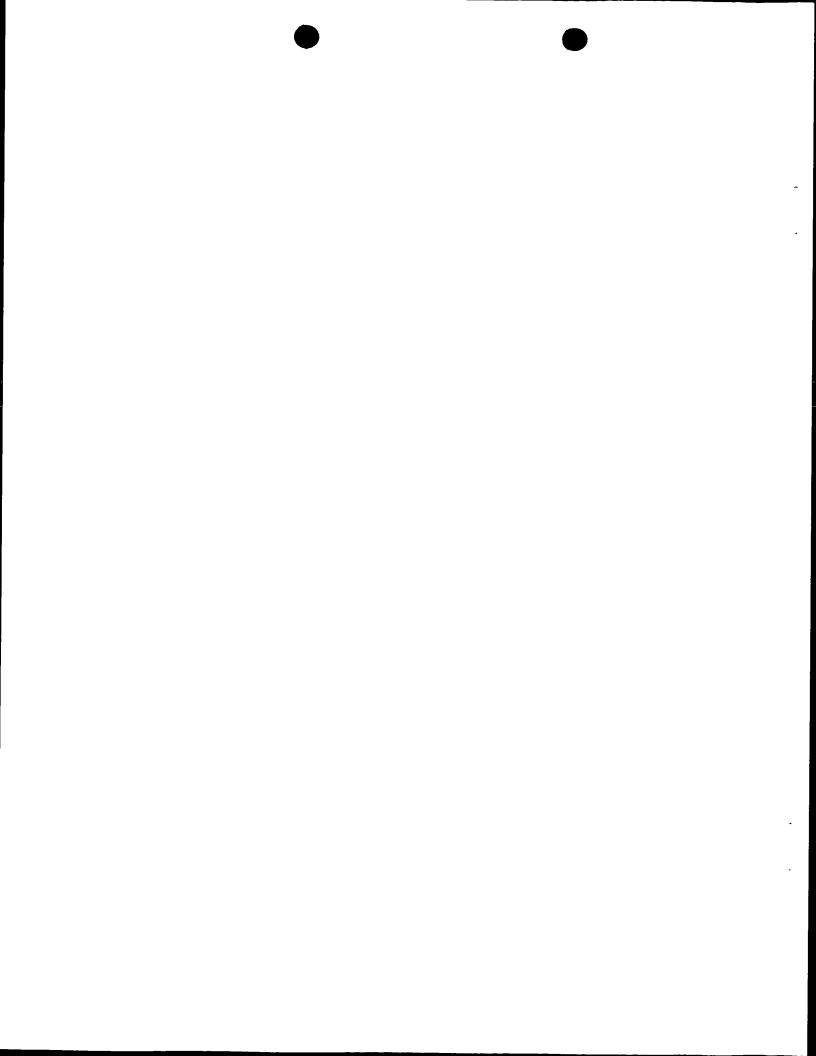
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For instance, ordinarily cyanoacrylate-containing compositions free from an added thickener or viscosity modifier are low viscosity formulations (such as in the range of 1 to 3 cps). While a composition with such a viscosity (or one whose viscosity has been modified to be up to about five times that viscosity) may be appropriate for a wicking application where a small gap exists between sustrates to be bound (e.g., less than about 0.1 mils) and/or an application where enhanced cure speed is desirable, such a viscosity may be too low for convenient use in certain industrial applications. At least for this reason, the viscosity of cyanoacrylate-containing compositions has at times been desirably modified through, for instance, the addition of polymethylmethacrylates and/or fumed silicas. See e.g., U.S. Patent Nos. 4,533,422 (Litke) and Re. 32,889 (Litke), the disclosures of each of which are hereby expressly incorporated herein by reference.

A medium viscosity formulation (such as in the range of 100 to 300 cps) may be more appropriate in applications where greater control of flowability is desirable. And a high viscosity formulation (such as in the range of 600 to 1000 cps) may be more appropriate in applications involving porous substrates and/or substrates with larger gaps (such as greater than about 0.5 mils).

Of course, those persons of skill in the art should make appropriate decisions regarding whether a viscosity modifier should be included in the photocurable composition, and if so which one(s) and at what level should one be included to achieve the desired viscosity for the intended applications.

In addition, it may be desirable to toughen the cured photocurable compositions of the present invention through the addition of elastomeric rubbers such as is taught by and claimed in U.S. Patent No. 4,440,910 (O'Connor), the disclosure of which is hereby expressly incorporated herein by reference. It may also be desirable



to improve the hot strength of the cured photocurable compositions by addition of anhydrides, such as is taught by and claimed in U.S. Patent No. 4,450,265 (Harris) and the documents cited therein, the disclosures of each of which are hereby expressly incorporated herein by reference.

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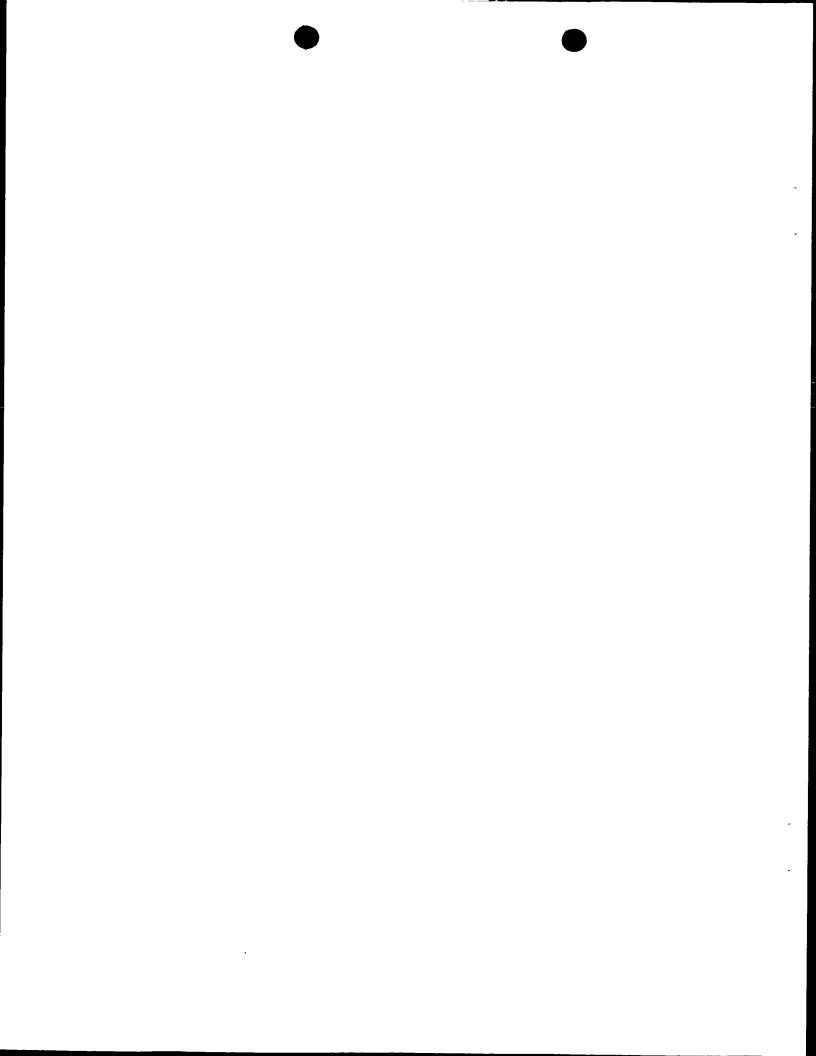
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Moreover, the compositions of the present invention may be rendered into a thixotropic paste through addition of powdered organic fillers having a particle size of about 2 to 200 microns as is taught by U.S. Patent No. 4,105,715 (Gleave) or thickened by a copolymer or terpolymer resin to improve peel strength as is taught by U.S. Patent No. 4,102,945 (Gleave), the disclosures of each of which are hereby incorporated herein by reference.

Further, the compositions of the present invention may be rendered more resistant to thermal degradation at elevated temperature conditions by the inclusion of certain sulfur-containing compounds, such as sulfonates, sulfinates, sulfates, sultones and sulfites as set forth in U.S. Patent No. 5,328,944 (Attarwala), the disclosure of which is hereby expressly incorporated herein by reference. The inclusion of such compounds in the photocurable compositions of the present invention renders those compositions well-suited for applications in which elevated temperature conditions may be experienced, such as with potting compounds particularly where large cure through volume is present and non-tacky surfaces are desirably formed in less than about five seconds.

The inclusion of such materials to a photocurable composition in accordance with the present invention may provide a formulation having particular advantages for certain applications, and should be appealing from a safety perspective as the possibility is decreased of splashing or spilling the composition on exposed skin of the user or bystanders.

Another desirable component to include in the inventive compositions is a photosensitizer to render the composition more reactive toward exposure to electromagnetic radiation. Desirable examples of such photosensitizers include benzophenone or dyes like xanthene dyes, acridinium



dyes or phenazine dyes. Inclusion of such photosensitizers often lessens the intensity and/or duration of exposure to the electromagnetic radiation used to initiate cure.

The relative amount of the various components of the photocurable compositions according to this invention is a matter of choice left to those persons of skill in the art, depending of course on the identity of the particular components chosen for a specific composition.

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As a general guide, however, it is desirable to include in the photocurable compositions a phtotiniated radical generating component, such as peroxide, perester, azo compounds, benzoin derivatives (e.g., DMPAP),  $\alpha$ -halo acetophenones (e.g., DC), acylphosphine oxides (e.g., DPTPO or related phospine oxide compounds), in an amount within the range of about 0.005% to about 4% or greater (desirably within the range of about 0.01% to about 1.5%) by weight of the total composition. It is also desirable for the compositions to include a photoinitiator component, such as substituted pyrylium salts or anthracene and derivatives thereof, e.g., substituted anthracenes, or anthraquinone or ketocoumarine derivatives, in an amount within the range of about 0.5% to about 10% by weight of the composition, with about 2% to about 4% or greater by weight of the total composition being desirable. The balance of the composition is composed predominantly of a cyanoacrylate component, such as ethyl-2-cyanoacrylate. Of course, the amount of all the components -- including stabilizers -- together in the composition totals 100%.

A method of curing a photocurable composition in accordance with this invention is also provided herein, the steps of which include (a) providing onto a desired surface an amount of a photocurable composition; and (b) subjecting the composition to radiation sufficient to effect cure thereof.

The amount of photocurable composition provided should be sufficient to cure and form an adequate bond to the substrate surfaces between which it is applied. For instance, application of the photocurable composition may be

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achieved by dispensing the composition in drop-wise fashion, or as a liquid stream, brush-applied, dipping, and the like, to form a thin film. Application of the photocurable composition may depend on the flowability or viscosity of the composition. To that end, viscosity modifiers, as noted above, may be included in the composition.

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The photocurable compositions of the present invention have taken the ordinary undesirable by-product free radical reaction that compromises shelf life stability and turned it into a controlled free radical cure mechanism.

In use, such compositions are desirably readily dispensed onto a portion of a desired surface of a substrate onto which is to be bonded a portion of another substrate. The photocurable composition may be applied to certain portions of the substrate surface or over the entire surface of the substrate to be bonded, depending on the particular application.

The source of radiation emitting electromagnetic waves is selected from ultraviolet light, visible light, electron beam, x-rays, infrared radiation and combinations thereof. Desirably, ultraviolet light is the radiation of choice, with appropriate sources including "H", "D", "V", "X", "M" and "A" lamps, mercury arc lamps, and xenon arc lamps (such as those commercially available from Loctite Corporation, Rocky Hill, Connecticut; Fusion UV Curing Systems, Buffalo Grove, Illinois; Spectroline, Westbury, New York; or Xenon Corp., Woburn, Massachusetts; microwavegenerated ultraviolet radiation; solar power and fluorescent light sources. Any of these electromagnetic radiation sources may use in conjunction therewith reflectors and/or filters, so as to focus the emitted radiation onto a specific portion of a substrate onto which has been dispensed a photocurable composition and/or within a particular region of the electromagnetic spectrum. Similarly, the electromagnetic radiation may be generated directly in a steady fashion or in an intermittent fashion so as to minimize the degree of heat build-up. Although the electromagnetic radiation employed to cure the photocurable compositions into desired reaction products is often

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referred to herein as being in the ultraviolet region, that is not to say that radiation in other regions within the electromagnetic spectrum may not also be suitable. For instance, in certain situations, radiation in the visible region of the electromagnetic spectrum may also be advantageously employed, whether alone or in combination with, for instance, radiation in the ultraviolet region. Of course, microwave and infrared radiation may also be advantageously employed under appropriate conditions.

Higher or lower radiation intensities, greater or fewer exposures thereto and length of exposure and/or greater or lesser distances of the source of radiation to the composition may be required to complete curing, depending of course on the particular components of a chosen composition.

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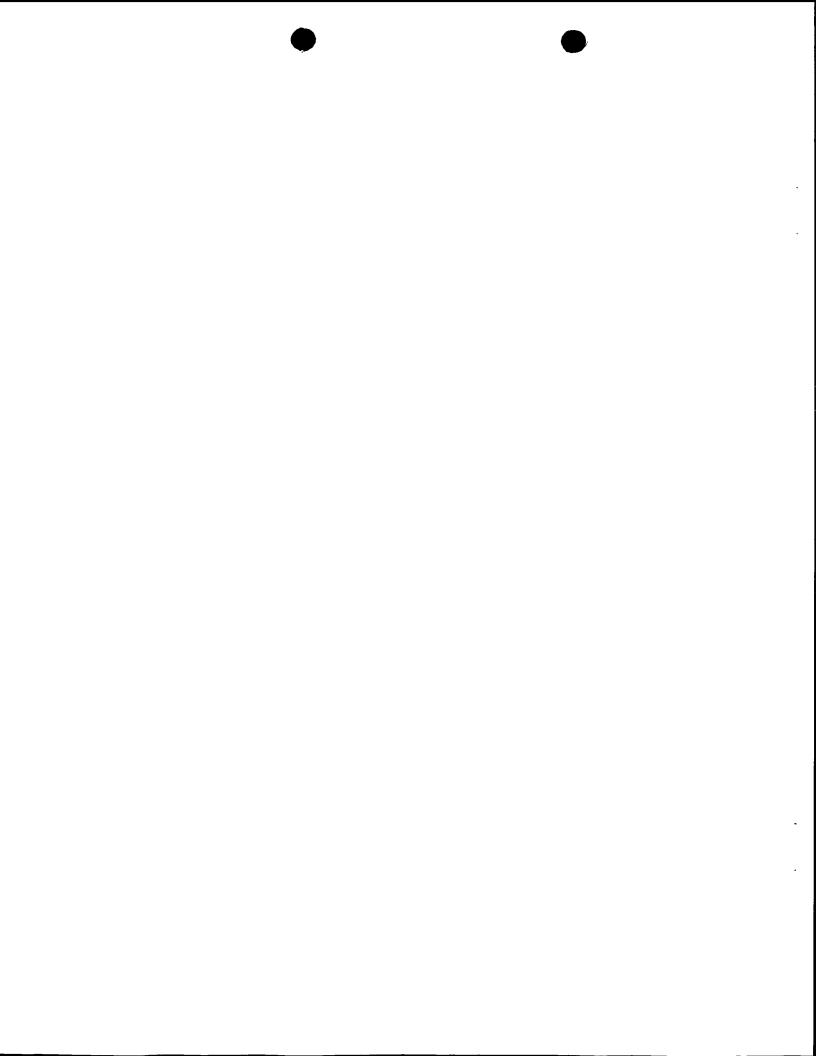
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More specifically with respect to radiation intensity, the chosen lamp should have a power rating of at least about 100 watts per inch (about 40 watts per cm), with a power rating of at least about 300 watts per inch (about 120 watts per cm) being particularly desirable. Also, since the inclusion of a photoinitiator in the composition may shift the wavelength within the electromagnetic radiation spectrum at which cure occurs, it may be desirable to use a source of electromagnetic radiation whose variables (e.g., wavelength, distance, and the like) are readily adjustable.

During the curing process, the composition will be exposed to a source of electromagnetic radiation that emits an amount of energy, measured in mW/cm², determined by parameters including: the size, type and geometry of the source; the duration of the exposure to electromagnetic radiation; the intensity of the radiation (and that portion of radiation emitted within the region appropriate to effect curing); the absorbency of electromagnetic radiation by any intervening materials, such as substrates; and the distance the composition lies from the source of radiation. Those persons of skill in the art should readily appreciate that curing of the composition may be optimized by choosing appropriate values for these parameters in view of the particular components of the composition.



To effect cure, the source of electromagnetic radiation may remain stationary while the composition passes through its path. Alternatively, a substrate coated with the photocurable composition may remain stationary while the source of electromagnetic radiation passes thereover or therearound to complete the transformation from composition to reaction product. Still alternatively, both may traverse one another, or for that matter remain stationary, provided that the photocurable composition is exposed to electromagnetic radiation sufficient to effect cure.

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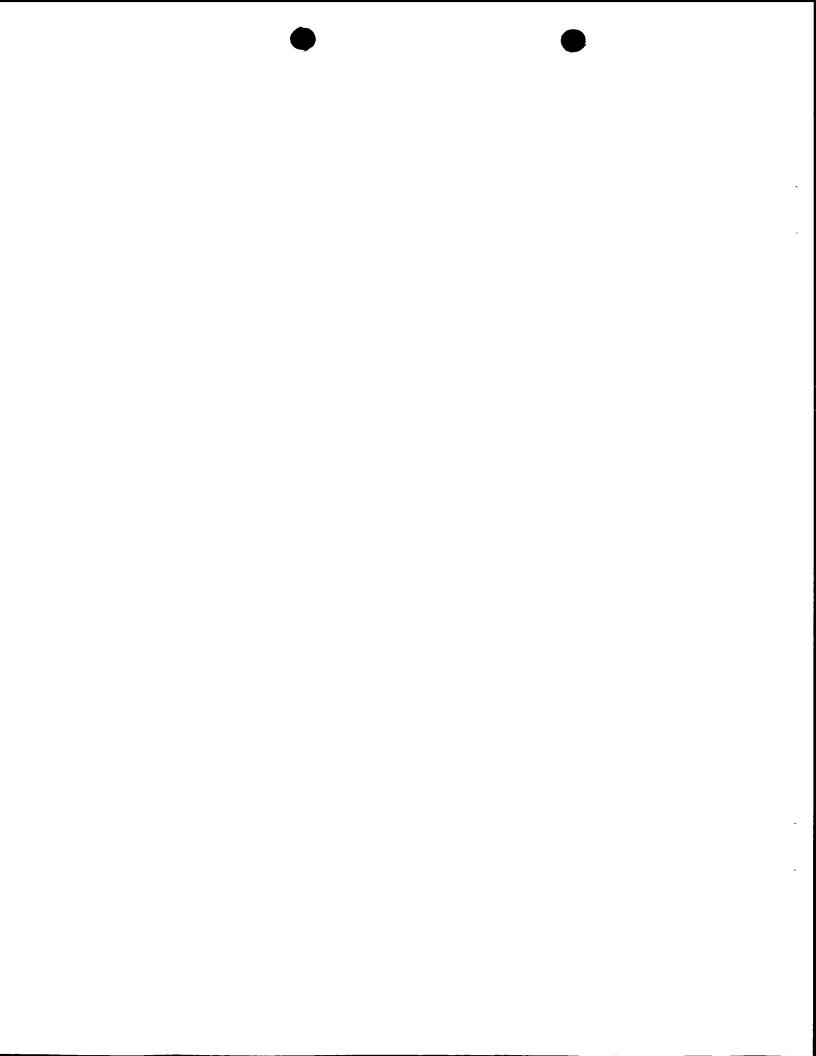
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Commercially available curing systems, such as the "ZETA" 7200 or 7400 ultraviolet curing chamber (Loctite Corporation, Rocky Hill, Connecticut), "UVALOC" 1000 (Loctite Deutschland GmbH, Münich, Germany), Fusion UV Curing Systems F-300 B (Fusion UV Curing Systems, Buffalo Grove, Illinois), Hanovia UV Curing System (Hanovia Corp., Newark, New Jersey), BlackLight Model B-100 (Spectroline, Westbury, New York), and RC500 A Pulsed UV Curing System (Xenon Corp., Woburn, Massachusetts), are well-suited for the purposes described herein. Also, a Sunlighter UV chamber fitted with low intensity mercury vapor lamps and a turntable may be employed herein.

The required amount of energy may be delivered by exposing the composition to a less powerful intensity of electromagnetic radiation for a longer period of time, through for example multiple passes, or alternatively, by exposing the composition to a more powerful intensity of electromagnetic radiation for a shorter period of time. addition, each of those multiple passes may occur with an intensity at different energy intensities. In any event, those persons of skill in the art should choose an appropriate intensity of electromagnetic radiation depending on the particular composition, and position the source of electronic radiation at a suitable distance therefrom which, together with the length of exposure, optimizes transformation. Also, it may be desirable to use a source of electromagnetic radiation that is delivered in an intermittent fashion, such as by pulsing or strobing, so as



to ensure a thorough and complete cure without causing excessive heat build-up.

In use, a photocurable composition in accordance with the present invention may be dispensed, such as in the form of a thin film or droplet, onto a desired substrate. Substrates onto which the photocurable composition of the present invention may be applied may be chosen from a vast selection of different materials; basically, any material with which cyanoacrylates may be used is suitable as well for use herein. <u>See supra</u>.

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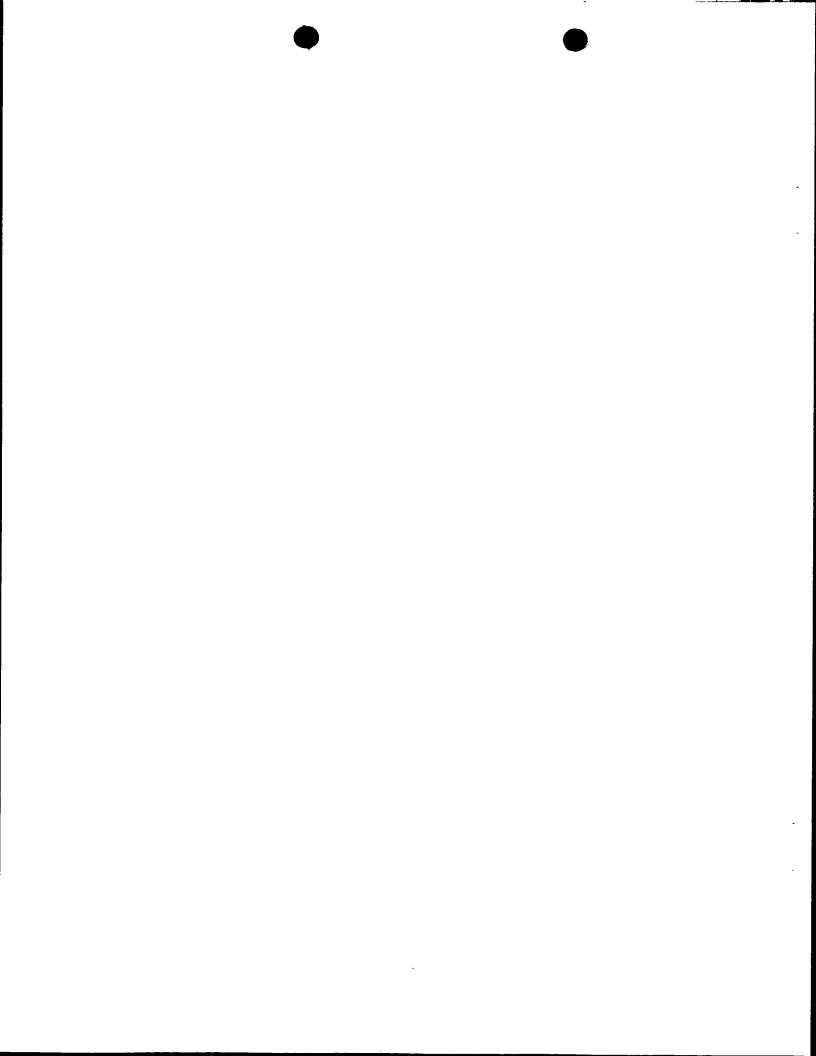
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Desirable choices among such materials include acrylics, epoxies, polyolefins, polycarbonates, polysulfones (e.g., polyether sulfone), polyvinyl acetates, polyamides, polyetherimides, polyimides and derivatives and co-polymers thereof with which may be blended or compounded traditional additives for aiding processibility or modifying the physical properties and characteristics of the material to be used as a substrate. Examples of co-polymers which may be employed as substrates include acrylonitrile-butadienestyrene, styrene-acrylonitrile cellulose, aromatic copolyesters based on terephthallic acid, p,pdihydroxybiphenyl and p-hydroxy benzoic acid, polyalkylene (such as polybutylene or polyethylene) terephthalate, polymethyl pentene, polyphenylene oxide or sulfide, polystyrene, polyurethane, polyvinylchloride, and the like. Of course, other materials may also be employed for use herein. Particularly, desirable co-polymers include those which are capable of transmitting UV and/or visible radiation.

The composition-coated substrate may be positioned within an electromagnetic radiation curing apparatus, such as the "ZETA" 7200 ultraviolet curing chamber or the "UVALOC" 1000 ultraviolet curing chamber, equipped with an appropriate source of electromagnetic radiation, such as ultraviolet radiation, at an appropriate distance therefrom, such as within the range of about 1 to 2 inches, with about 3 inches being desirable. As noted above, the composition-coated substrate may remain in position or may be passed



thereunder at an appropriate rate, such as within the range of about 1 to about 60 seconds per foot, with about 5 seconds per foot. Such passage may occur one or more times, or as needed to effect cure of the composition on the substrate. The length of exposure may be in the range of a few seconds or less (for one time exposure) to tens of seconds or longer (for either a one time exposure or a multiple pass exposure) if desired, depending on the depth of the composition to be cured and of course on the components of the composition themselves.

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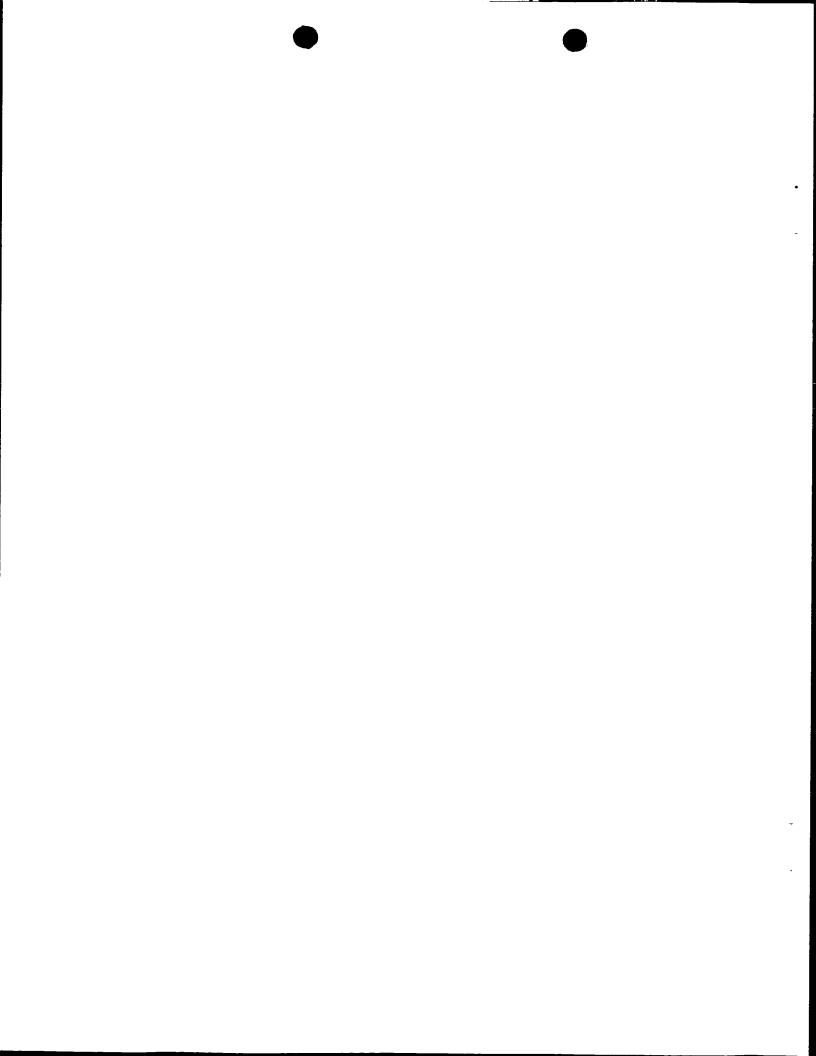
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A reaction product is also of course provided by the teaching of this invention. The reaction product is formed from photocurable compositions after exposure thereof to electromagnetic radiation sufficient to effect cure of the composition. The reaction product is formed rapidly, and ordinarily and desirably without observed formation of blooming or crazing, see infra.

The reaction product of the photocurable composition may be prepared by dispensing in low viscosity or liquid form a photocurable composition in accordance with present invention onto a substrate and mating that substrate with a second substrate to form an assembly. Thereafter, exposure to electromagnetic radiation on at least one substrate of the assembly for an appropriate period of time should transform the photocurable composition into an adhesive reaction product.

It is also within the scope of the present invention for reaction products to be prepared from a photocurable composition separately from the device, and thereafter positioned on a substrate surface with which it is to be used.

The viscosity of the photocurable composition may be controlled or modified to optimize its dispensability by, in addition to inclusion of an appropriate material to alter the viscosity thereof as noted above, adjusting the temperature of (1) the composition itself, or (2) the substrates on which the composition may be placed to assemble the device. For example, the temperature of the composition or the substrate(s) or combinations thereof may



be decreased to increase the viscosity of the composition. In this way, the uniformity on the substrate of the dispensed photocurable composition may be enhanced using lamination techniques, centrifuge techniques, pressure applied from the atmosphere (such as with vacuum bagging), pressure applied from a weighted object, rollers and the like.

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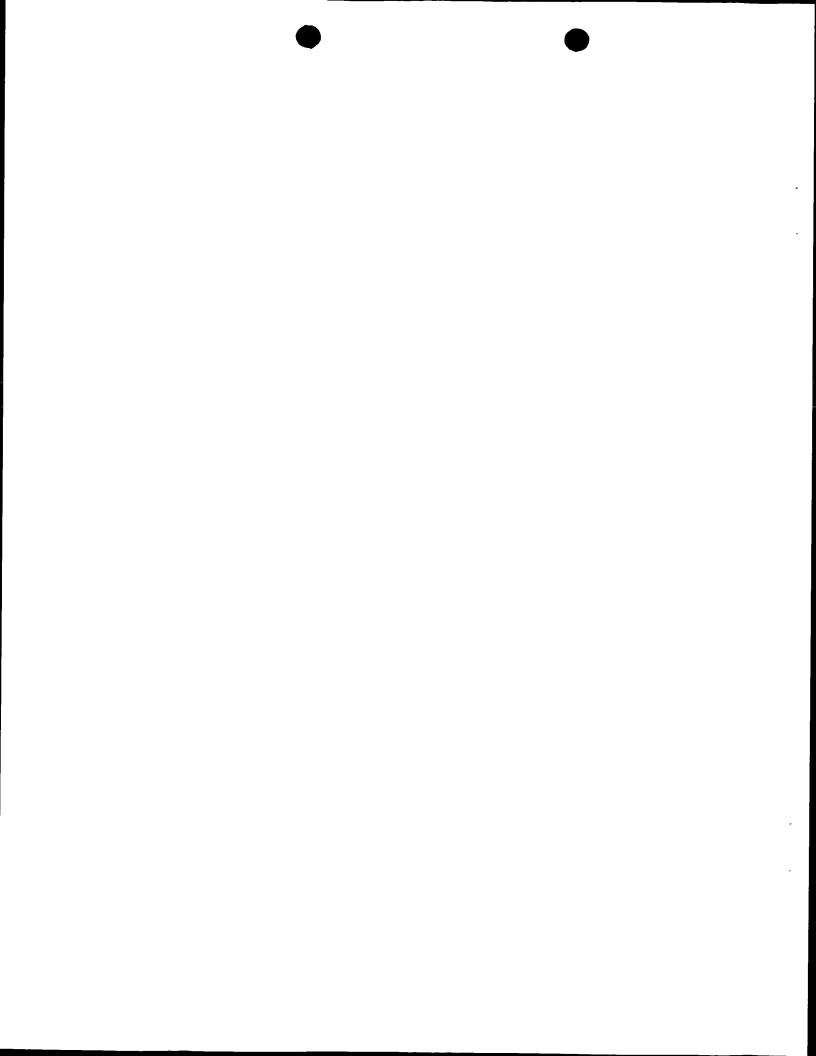
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The substrates onto which the photocurable compositions of the present invention are intended to be dispensed may be constructed from the litany of materials recited <u>supra</u>, which may be substantially inflexible as well as flexible. The type of substrate chosen with respect to flexibility will of course depend on the application for which it is to be used. More specifically, the substrates may be constructed from substantially inflexible materials, such as glass, laminated glass, tempered glass, optical plastics, such as polycarbonates, acrylics and polystyrenes, and other alternatives as noted <u>supra</u>; and flexible materials, such as "MYLAR" film or polyolefin, such as polyethylene or polypropylene, tubing.

The choice of substrate material may influence the choice of processing technique used to prepare the photocurable composition into the cured reaction product or the type of device assembled. For example, when assembling a device from at least one flexible substrate, a composition may be advantageously applied to an end portion of the flexible substrate and allowed to wick along that end portion through a portion of another substrate, which is dimensioned to receive that end portion of the flexible substrate. A particular example of such an application is polyolefin tubing intended for medical applications, one end portion of which is dimensioned for receiving by an acrylic luer housing.

Since the photocurable compositions of the present invention cure to form reaction products through a photo-initiated free radical mechanism, the composition is exposed to the source of electromagnetic radiation to effect cure. The choice of substrate may affect the rate and degree at which cure occurs of the photocurable compositions of the



present invention. For instance, it is desirable for the substrates to be bonded together to be substantially free of electromagnetic radiation-absorbing capabilities. That is, the greater degree of electromagnetic radiation transmitting capability the substrate possesses, the greater the rate and degree of cure of the composition, all else being equal of course.

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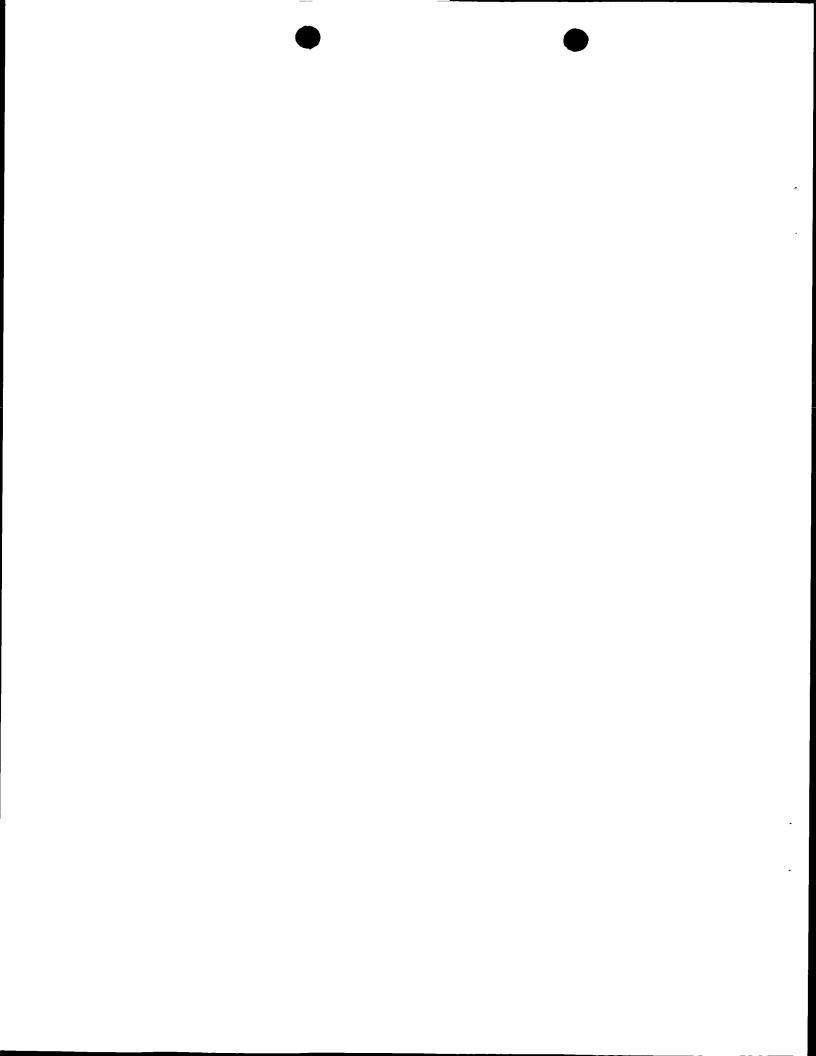
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It may be desirable to package the inventive compositions in a two part package, particularly in those instances where a cyanoacrylate component and a non-cyanoacrylate radical curable component are present.

Blooming or crazing may be observed when compositions cure into reaction products and the cure itself is incomplete. That is, blooming refers to the evaporation of cyanoacrylate monomer (due to its relatively high vapor pressure) from uncured fillets, the result of which is formation of a precipitate on surfaces adjacent to the bond line which are also observed as a white haze. Crazing refers to the formation of stress cracks on certain synthetic materials, such as polycarbonates, acrylics and polysulfones, due in this instance to the presence thereon of cyanoacrylate monomer.

with respect to adhesive uses of the photocurable composition as adhesive or cohesive failure of the cured composition when applied to or between substrates. Such observations may be minimized or even eliminated by using electromagnetic radiation transmitting (as contrasted to absorbing) substrates and placing the source of electromagnetic radiation at a strategic location so as to improve the degree of electromagnetic radiation to which the composition on the substrate is exposed. Similarly, additional sources of electromagnetic radiation, or as stated above reflectors which redirect onto desired portions of the substrate stray or errant electromagnetic radiation, may be employed to further enhance cure.

The compositions of the present invention minimize and often eliminate blooming and crazing in commercial



applications of the compositions by curing through the photoinitiated mechanism.

In addition, the compositions of this invention provide a built-in secondary cure system (i.e., photo-initiated free radical initial in addition to the ordinary cyanoacrylate anionic initiation), which is particularly attractive in those applications where certain of the substrates which may be used in the assembly do not allow the transmission of light, rendering another type of adhesive (such as a dual cure acrylic adhesive) less desirable because a secondary heating step would then be required; elimination of a substrate primer step, which obviates the use of often flammable materials and invites automated processes; and improved cure through volume capabilities.

In view of the above description of the present invention, it is evident that a wide range of practical opportunities is provided by the teaching herein. Certain of those practical opportunities are exemplified below, as are many of the advantages and benefits of the present invention. However, the invention as so exemplified is for illustrative purposes only and is not to be construed in any way as limiting the broad aspects of the teaching herein provided.

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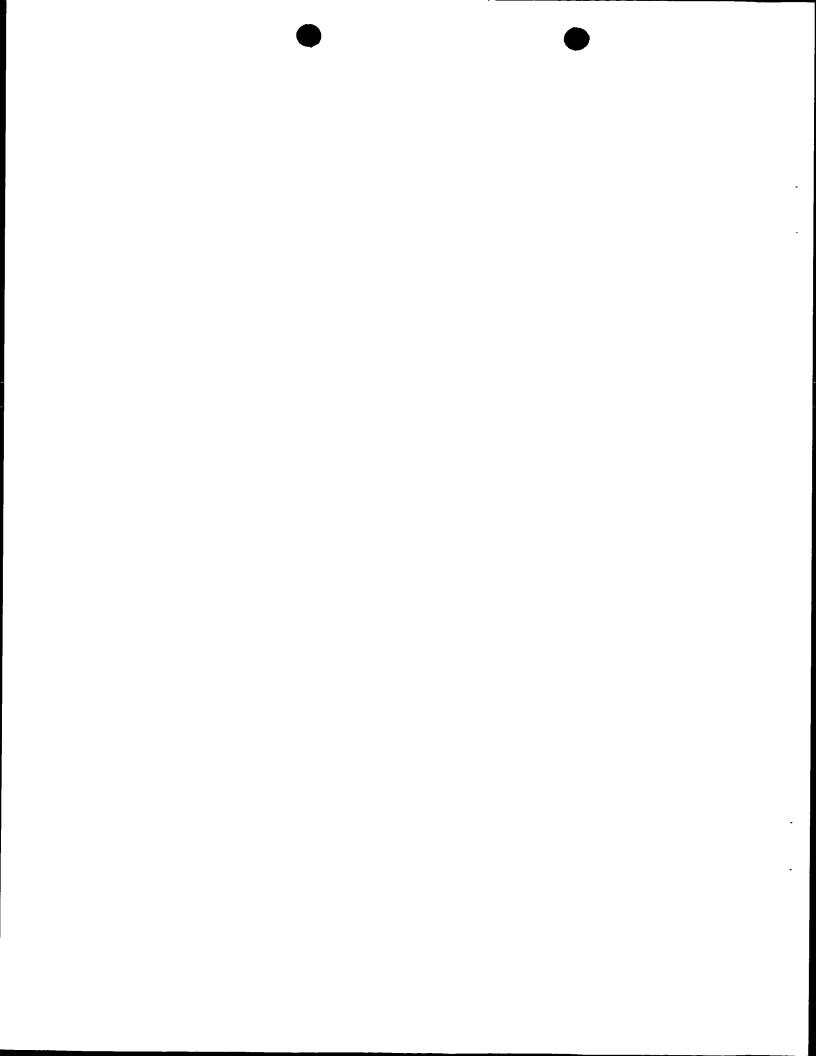
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## **EXAMPLES**

## Example 1

In this example, formulations were prepared to demonstrate the effect of one or the other of a radical initiator component and a photoinitiator component in ether to cyanoacrylate composition. The photoinitiated radical generating component chosen was dibenzoyl peroxide ("DBPO") and the photoinitiator component was 2,4,6-triphenylpyrylium tetraflouroborate ("TPT"). The formulations were prepared by mixing the appropriate components with the cyanoacrylate and thereafter dispensing a drop of the formulation onto a polycarbonate slide. The slide was then exposed to 1000



watts of mercury arc light in a "UVALOC" 1000 irradiation chamber for a period of time of about 30 seconds. The results of this example are shown below in Table 1.

Table 1

Sample	DBPO	TPT	After Exposure
No.	[ppm]	[mqq]	to 1000 W light
}		İ	[30 secs]
1	0	1000	No curing
2	100	0	No curing
3	500	0	No curing
4	2500	0	No curing
5	10000	0	No curing
6	100	100	High viscous
7	100	1000	Tack-free curing
8	500	100	High viscous
9	500	1000	Tack-free curing
10	2500	100	High viscous
11	2500	1000	Cured
12	10000	100	High viscous
13	10000	1000	High viscous

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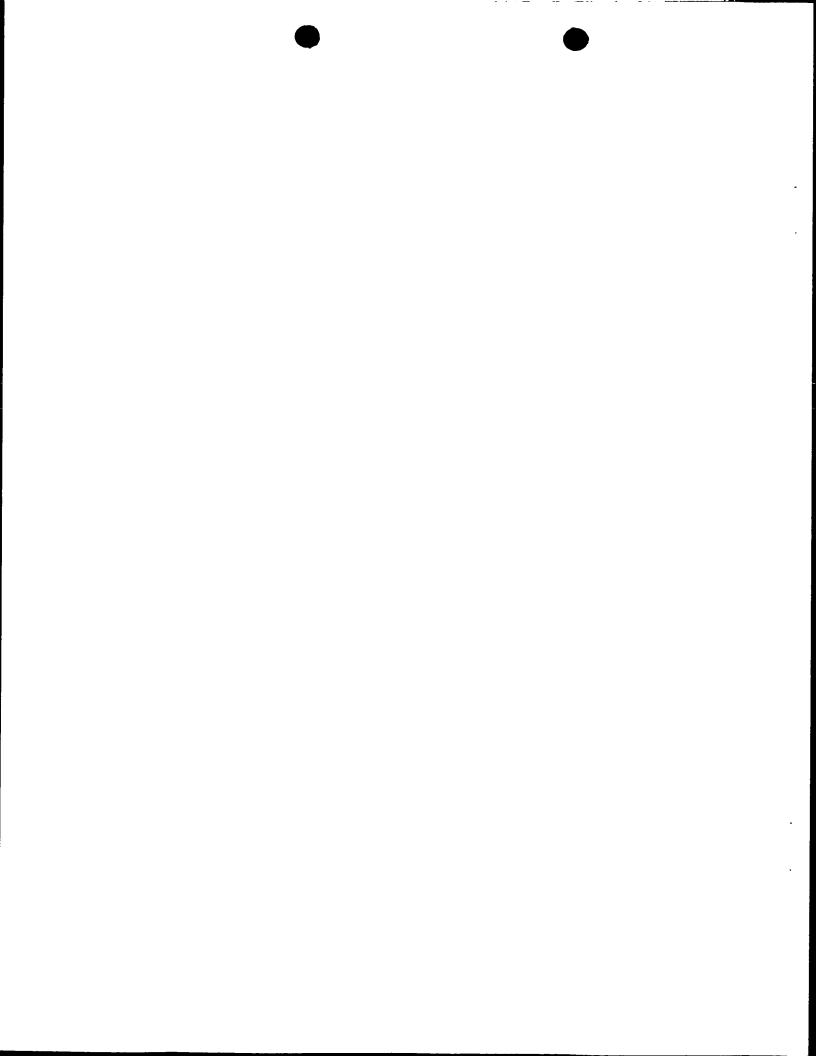
The information shown in Table 1 indicates that the combination of the radical initiator component and the photoinitiator component (see Sample Nos. 6-13) enables the cyanoacrylate to cure when exposed to UV light, whereas when only one or the other of the radical initiator component or photoinititor component are present (see Sample Nos. 1-5), no curing of the cyanoacrylate occurs. It may also be seen that

vast amounts of the photoinitiated radical generating component is not necessary to observe the behavior of the inventive compositions (<u>see</u> Sample Nos. 6-9).

## Example 2

In this example, a variety of radical initiator components were used in the formulation.

Again starting with ethyl-2-cyanoacrylate, and keeping the photoinitiator component constant as "TPT", a variety of different radical initiator components were used in the amounts noted to determine whether and to what extent the so-prepared formulations would cure when exposed to UV light. Table 2 below sets forth the specific identity and



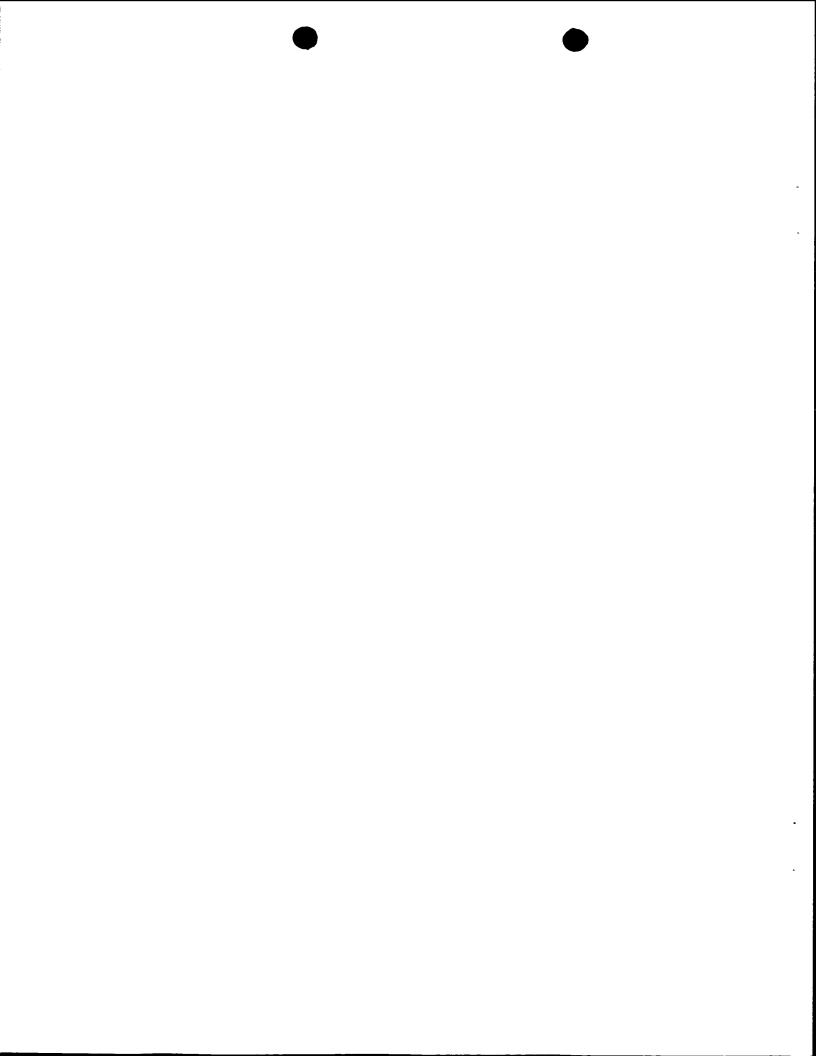
amounts of the radical initiator components used in the samples. Table 2 also sets forth the curing speed attained in seconds when one drop of the sample was placed on a glass slide (from Smiths Glassware) and exposed to 1000 W of mercury arc light and/or when one drop of the sample was placed on a glass slide and a second glass slide was positioned thereover, and thereafter exposing the single or double glass slide assembly to a Philips lamp HPR125 at 6 mW/cm<sup>2</sup> intensity or to no extra light at all.

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Table 2

		Radi	cal	Cur	ing Speed [secs]	
Sample TPT No. [ppm		. 1		1000 W 1 glass slide	2 glass	
		Туре	[ppm]		6 mW/cm <sup>2</sup>	No light
14	2000	DBPO	100	29	2	>50
15	2000	DBPO	150	28	2	>50
16	2000	DBPO	250	29	2_	>50
17	2000	DBPO	350	31	1-2	>50
18	2000	DBPO	450	31	1-2	>50
19	2000	DBPO	600	34	1-2	>50
20	2000	DBPO	1000	35		
21	2000	DBPO	5000	35		
22	2000	TBPB	600	35		
23	2000	TBPB	1000	35		
24	2000	TBPB	5000	35		
25	2000	DTBPO	400	35		
26	2000	DTBPO	1000	35		
27	2000	DTBPO	5000	35		
28	2000	DEAP	200	40		
29	2000	DEAP	1000	30		
30	2000	DEAP	5000	35		
31	2000	DMPAP	200	35		
32	2000	DMPAP	1000	30		
33	2000	DMPAP	5000	30		
34	2000	ABCH	400	33		
35	2000	ABCH	2000	40		
36	2000	BBPH	400	40		
37	2000	AIBN	100	31	1	>50
38	2000	AIBN	200	31	1-2	>50
39	2000	AIBN	400	29	1-2	>50
40	2000	AIBN	800	30	1-2	>50
41	2000	AIBN	2000	30	2	>50
42	2000	AIBN	4000	31	2-3	>50
43	4000	AIBN	2000	33		
44	4000	AIBN	4000	35		
45	2000	DCPO	200	40		
46	2000	DCPO	1000	35	<del>- </del>	
47	2000	DCPO	5000	40	<del>- </del> -	



2000	ABCV	400	55	<u></u>	
2000	ABCV	2000	55		
2000	ТВНРО	400	50		
2000	TBHPO	2000	45		
2000	BBPDMH	400	38		
2000	BBPDMH	2000	38		
2000	BBPDMH	400	35		
2000	BBPDMH	2000	40		
2000	ADCDP	400	22		
2000	ADCDP	2000	15		
2000	LPO	400	30		
2000	LPO	2000	32		
2000	DPTPO	400	35		
2000	DPTPO	2000	22		
2000	DC	400	45		
2000	DC	2000	55		
2000	CHPO	400	50		
	2000 2000 2000 2000 2000 2000 2000 200	2000         ABCV           2000         TBHPO           2000         TBHPO           2000         BBPDMH           2000         BBPDMH           2000         BBPDMH           2000         ABCDP           2000         ADCDP           2000         LPO           2000         LPO           2000         DPTPO           2000         DC           2000         DC           2000         DC	2000         ABCV         2000           2000         TBHPO         400           2000         TBHPO         2000           2000         BBPDMH         400           2000         BBPDMH         2000           2000         BBPDMH         2000           2000         ABCDP         400           2000         ADCDP         2000           2000         LPO         400           2000         LPO         2000           2000         DPTPO         400           2000         DPTPO         2000           2000         DC         400           2000         DC         2000	2000         ABCV         2000         55           2000         TBHPO         400         50           2000         TBHPO         2000         45           2000         BBPDMH         400         38           2000         BBPDMH         2000         38           2000         BBPDMH         400         35           2000         BBPDMH         2000         40           2000         ADCDP         400         22           2000         ADCDP         2000         15           2000         LPO         400         30           2000         LPO         2000         32           2000         DPTPO         400         35           2000         DPTPO         2000         22           2000         DPTPO         2000         45           2000         DC         400         45           2000         DC         2000         55	2000         ABCV         2000         55           2000         TBHPO         400         50           2000         TBHPO         2000         45           2000         BBPDMH         400         38           2000         BBPDMH         2000         38           2000         BBPDMH         400         35           2000         BBPDMH         2000         40           2000         ADCDP         400         22           2000         ADCDP         2000         15           2000         LPO         400         30           2000         LPO         2000         32           2000         DPTPO         400         35           2000         DPTPO         2000         22           2000         DPTPO         2000         45           2000         DC         2000         55

Table 2 shows that a variety of photoinitiated radical generating components may be used to render a cyanoacrylate-containing formulation photocurable in the presence of a photoinitiator -- in this case TPT. Such photoinitiated radical generating components include, in addition to DBPO, other peroxides, peresters, azo-compounds, halo acetophenones (e.g., DC), aromatic carbonyl compounds (e.g., DMPAP and DEAP), acyl phosphine oxides (e.g., DPTPO) and related compounds.

### Example 3

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In this example, a variety of different photoinitiator components were chosen for evaluation in an ethyl-2-cyanoacrylate formulation with DBPO as a phtoinitiated radical generating component, with one exception being AIBN in Sample No. 74. The specific photoinitiator components chosen and the amounts used are set forth below in Table 3, as well are the curing speeds of the formulations.

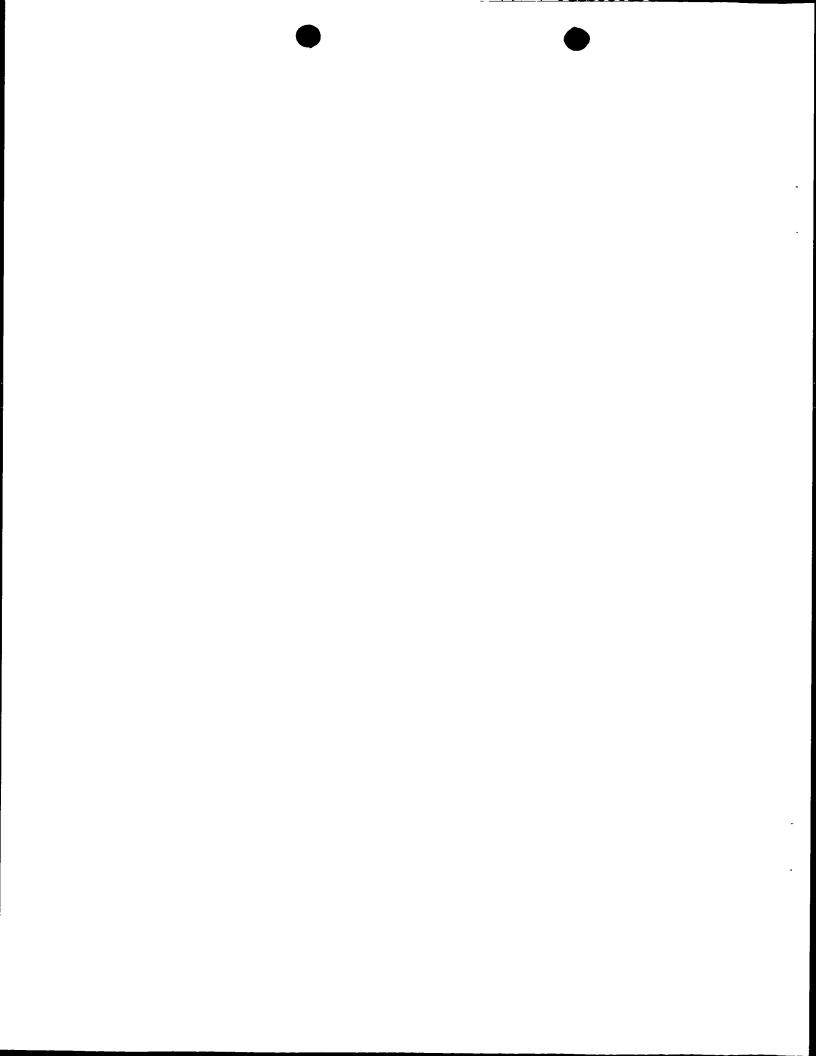


Table 3

Sample	Rac	lical	Photoir	nitiator	Curing	Speed [secs]	
No.	Initi	iator			1000 W 1 slide glass	2 glass slides	
	Type	[ppm]	Type	[ppm]		6 mW/cm <sup>2</sup>	No light
65	DBPO	100	TPT	2000	29	2	>50
66	DBPO	150	TPT	2000	28	2	>50
67	DBPO	250	TPT	2000	29	2	>50
68	DBPO	350	TPT	2000	31	1-2	>50
69	DBPO	200	PBT	2000		25	>50
70	DBPO	200	DNT	2000		1	10
71	DBPO	200	DNT	5000	45	1-2	40
72	DBPO	200	TPTS	2000		4	45
73	DBPO	200	TPTS	5000	40	2	>50
74	AIBN	500	DTPT	2000	30	2	>50
75	DBPO	250	DTPT	2000	35	2	>50
76	DBPO	250			>60	>30	>30
77	DBPO	200	CPPP	2000	>50		
78	DBPO	200	CPPP	3000	>50	>50	>50
79	DBPO	200	DMPT	2000	>50	>50	
80	DBPO	200	DMPPC	2000		35	
81	DBPO	200	DMPPC	5000	40	20	20
82	DBPO	200	BHNT	2000		>50	
83	DBPO	200	BHNT	3000	40	45	
84	DBPO	200	DFPT	2000		>50	
85	DBPO	200	DFPT	5000	40	>50	
86	DBPO	250	CBMOC	2000	>60	>50	>50
87	DBPO	250	ADCN	2000	>60	>50	>50

# Example 4

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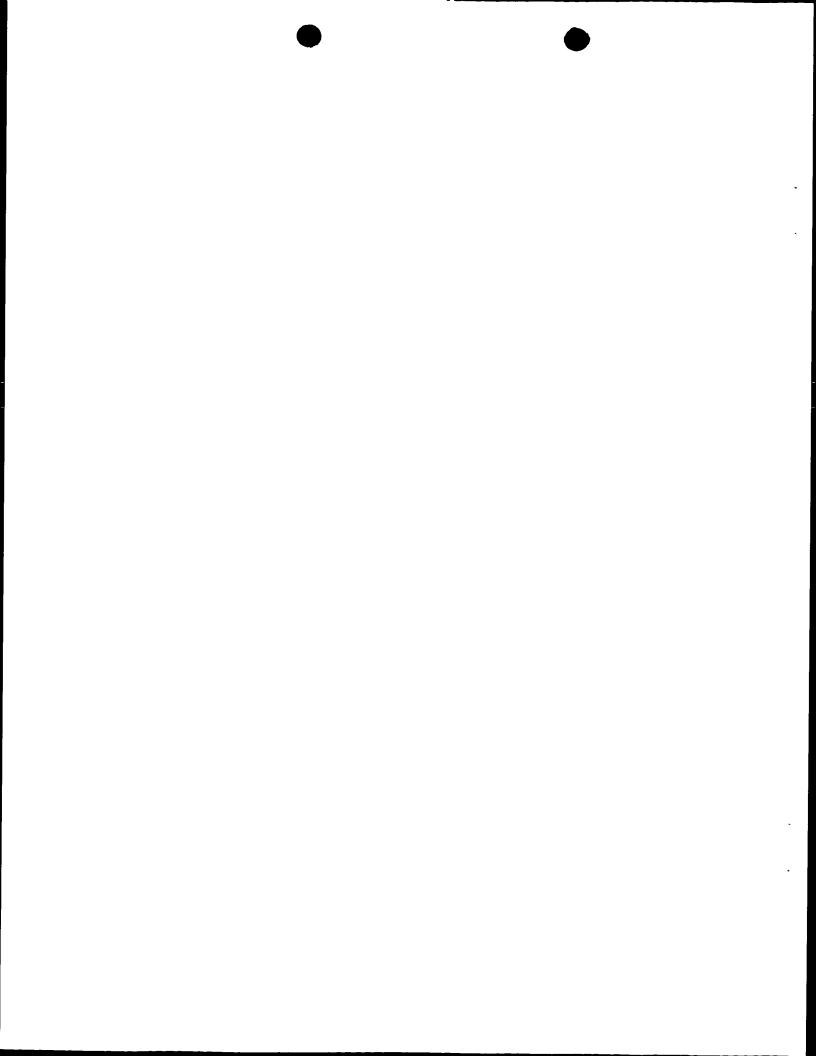
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In this example, a side-by-side evaluation of a cyanoacrylate composition (one with TPT at a 2000 ppm level and DBPO at a 200 ppm level) curing in the presence of air and in the presence of a nitrogen atmosphere demonstrated that the presence of oxygen inhibits the ability of the composition to cure.

In addition, cyanoacrylate compositions with TPT as a photoinitiator component at 1500 ppm and varied levels of DBPO as a radical initiator component, where the amount was varied, were cured to illustrate that these compositions cure through a free radical initiated mechanism.

In Table 4a below, the effect is shown of increasing the level of DBPO on the cure speed of a composition (Sample No. 65) on a polycarbonate slide when exposed to mercury arc light at an intensity of 1000 W. Increasing the level of DBPO shows an increase in the time



required to achieve a tack free surface from the formulation.

An optimum concentration for the photoinitiated radical generating component may indicate the radical nature of the photocuring effect. That is, at a low concentration, the decay of the photoinitiated radical generating component, <u>e.g.</u>, DBPO, triggers the growth of macroradicals; at a higher concentration a part of the DBPO may also quench chain propagation.

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Table 4a

DBPO	Cure speed @ 1000 W
[ppm]	[secs]
100	30
200	25
300	20
400	30
800	45

In Table 4b below, a composition within the scope of this invention (Sample No. 88) was prepared and evaluated for tack free time. A composition having the same radical initiator component and the same photoinitiator component in the same amounts (Sample No. 89) was also prepared, to which was added 2000 ppm of hydroquinone. Hydroquione is a known free radical scavenger. In the table it may be seen that the introduction of the hdroquinone vastly retards, if not completely impedes within a reasonable time period, the ability of the composition to cure when exposed to UV light. This data indicates that the inventive compositions cure through a radical initiated mechanism.

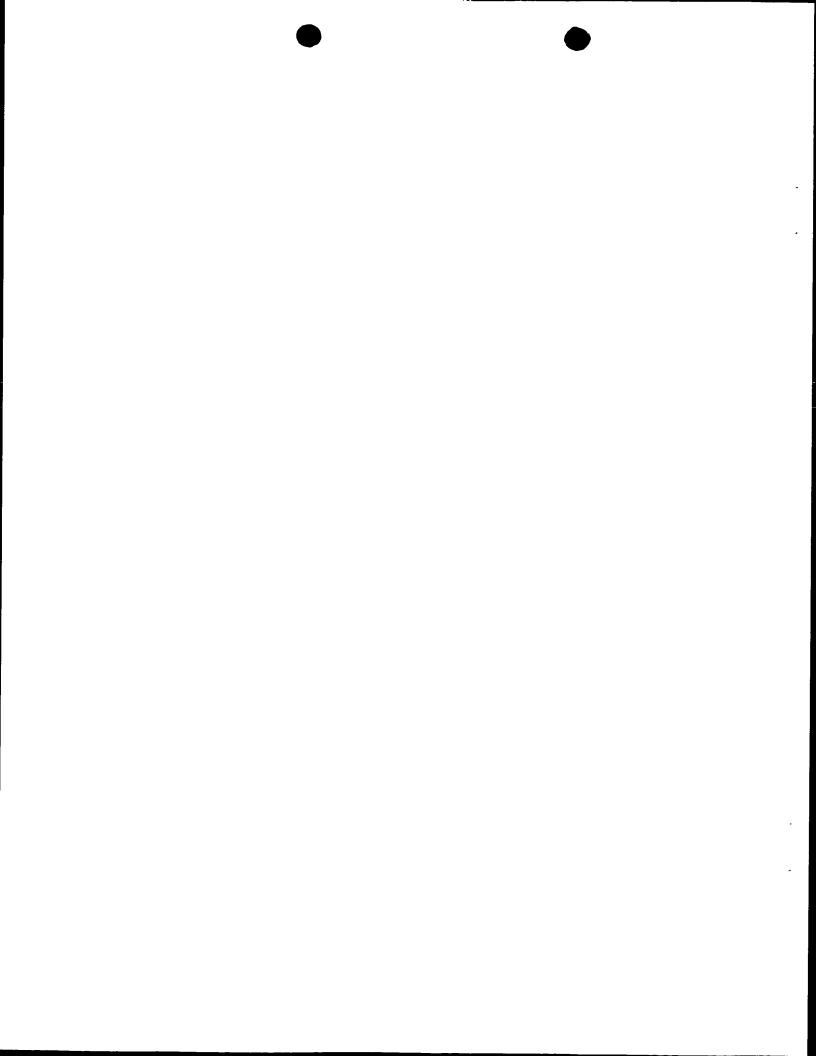
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Table 4b

Sample No.	DBPO [ppm]	TPT [ppm]	Tack-free time @ 1000 W [secs]
88	200	2000	26
89	200	2000	>60



# Example 5

In this example, a non-cyanoacrylate radical curable component was included with the cyanoacrylate to illustrate the ability of the so-formed formulations to cure when exposed to appropriate radiation in the electromagnetic spectrum. The identity of such components, the percent by weight added and the speed of cure are set forth below in Table 5.

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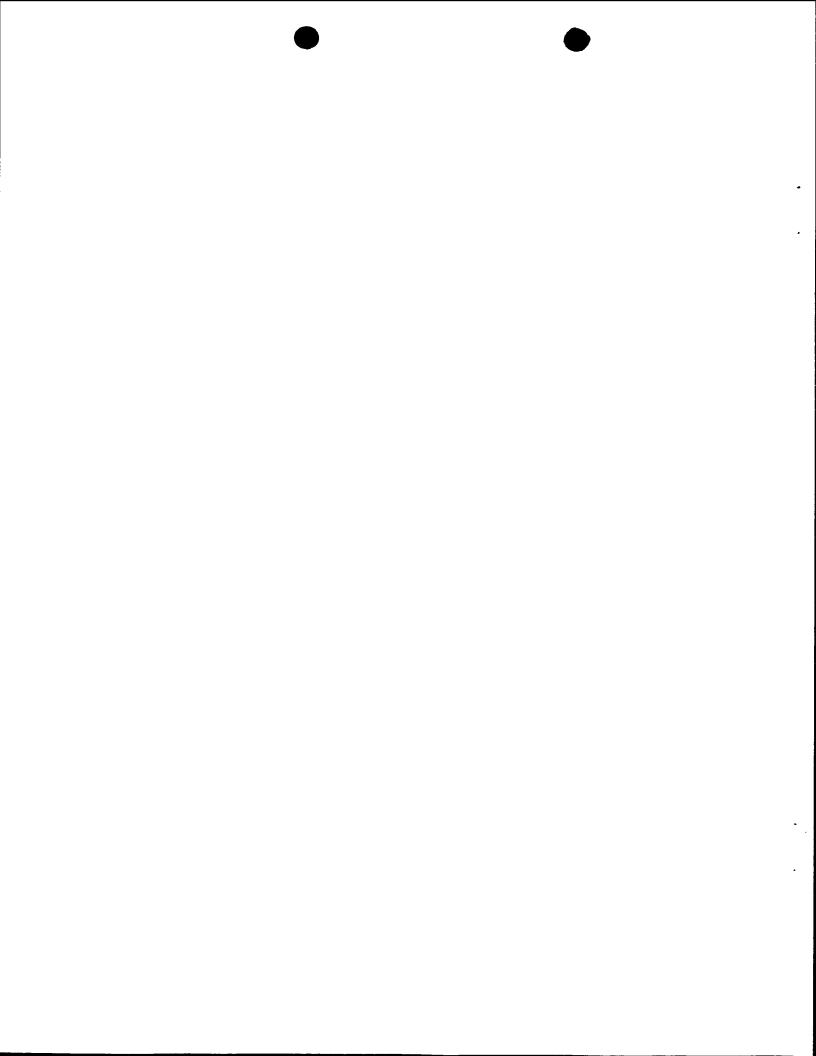
Table 5

Sample No.	Non-Cyanoacrylate Ra Curable Componen Type		Curing speed [secs]
90	<b></b>		6
91	Styrene	25	6
92	Styrene	50	8
93	Styrene	75	16
94	Tetrahydrofurfuryl methacrylate	25	40
95	Tetrahydrofurfuryl methacrylate	50	32
96	Isodecyl methacrylate	25	15
97	Phenylacetylene	50	18
98	2-Phenylethyl methacrylate	50	34
99	Trimethylphenyl acrylate	50	36
100	Pentaerythritol tetraacrylate	50	12

# Example 6

In this example, a variety of photoinitiated radical generating components were added to ethyl-2-cyanoacrylate in various amounts, with and without 2500 ppm of TPT as a photoinitiator, to determine the cure speed after exposure to UV light at an intensity of 1000 W in an irradiation chamber ("UVALOC 1000", Loctite Deutschland GmbH).

More specifically, Sample Nos. 102, 104, 106, 108, 110, 112, 114, 116, 118, and 120 contained TPT.



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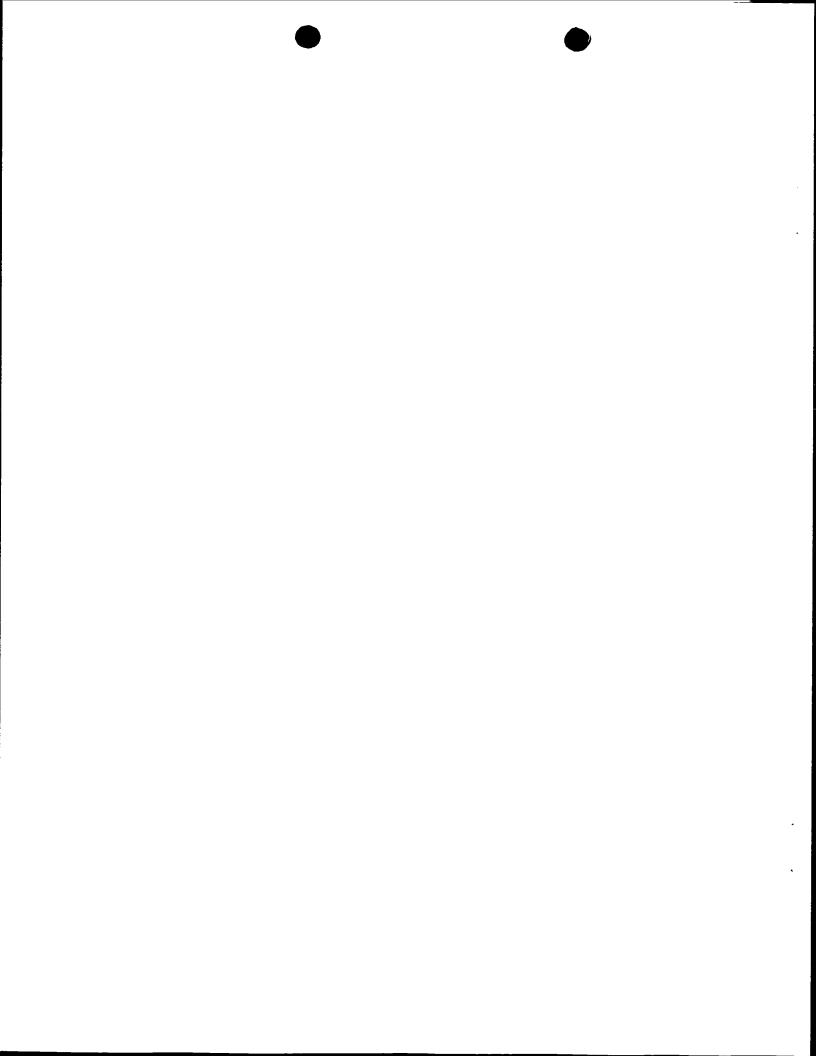
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Table 6

	Photo	Photoinitiated Radical Generating Component				Curing
Sample No.	AIBN	LUCIRIN TPO	IRGACURE 184	IRGACURE 651	IRGACURE 907	Time [secs]
101	500					>60
102	500					34
103	20000					*
104	20000					42
105		1500				*
106		1500				26
107		20000				*
108		20000				13
109			1500			*
110			1500			45
111			20000			*
112			20000			>60
113				1500		*
114				1500		50
115				20000		*
116			•	20000	_	>60
117					1500	>60
118					1500	4
119					20000	15
120					20000	3

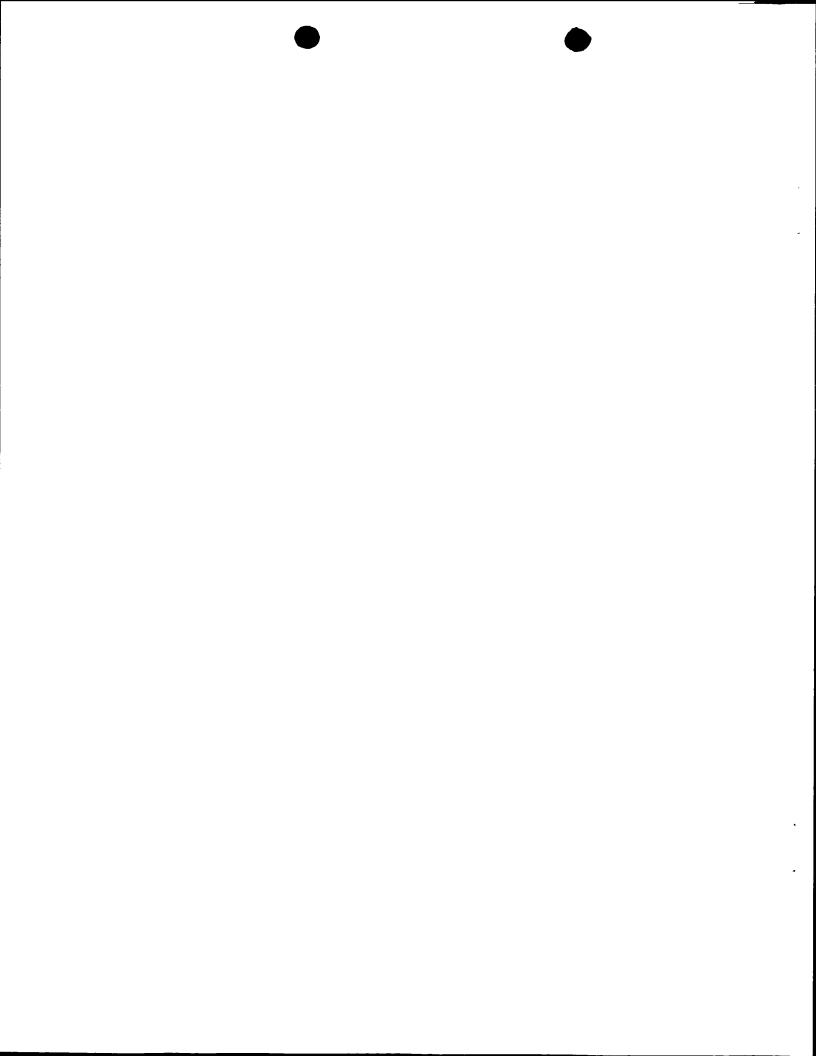
<sup>\*</sup> No curing observed; sample evaporates before curing.

While the present invention has been exemplified as shown above, it is clear that variations are also intended to be within the spirit and scope of the present invention and may be practiced in accordance herewith, with only routine, rather than undue, experimentation. Any variations and equivalents should provide suitable, if not comparable results, when viewed in connection with the results obtained from the above examples. Accordingly, such variations and equivalents are also intended to be encompassed by the claims.



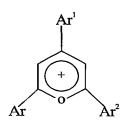
## What Is Claimed Is:

- 1. A photocurable composition comprising:
  - (a) a 2-cyanoacrylate component,
  - (b) a photoinitiated radical generating component, and
    - (c) a photoinitiator component.
- 2. The composition according to Claim 1, wherein the cyanoacrylate component includes a cyanoacrylate monomer represented by  $H_2C=C(CN)-COOR$ , wherein R is selected from the group consisting of  $C_{1-15}$  alkyl, alkoxyalkyl, cycloalkyl, alkenyl, aralkyl, aryl, allyl and haloalkyl groups.
- 3. The composition according to Claim 2, wherein the cyanoacrylate monomer is selected from the group consisting of methyl cyanoacrylates, ethyl-2-cyanoacrylate, propyl-2-cyanoacrylates, butyl-2-cyanoacrylates, octyl-2-cyanoacrylates, allyl cyanoacrylate, ß-methoxyethyl cyanoacrylates, and combinations thereof.
- 4. The composition according to Claim 2, wherein the cyanoacrylate monomer is ethyl-2-cyanoacrylate.
- 5. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of  $\alpha$ -haloacetophenones, azo compounds, aromatic carbonyl compounds, peroxides, hydroperoxides, peresters, azoisobutyronitrile, and combinations thereof.
- 6. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of 1,1'-azo-bis(cyclohexanecarbonitrile), 4,4'-azo-bis(4-cyanovaleric acid), 1,1'-(azodicarbonyl)-dipiperidine, 1,1-bis(t-butylperoxy)cyclohexane, 2,5-bis(t-butylperoxy)-2,4-dimethylhexane, bis[1-(t-butylperoxy)-1-methyl-



ethyl]benzene, benzoin methylether, cumylhydroperoxide, dibenzoylperoxide, di-t-butylperoxide, 2,2-diethoxyacetophenone, 2,2-dimethoxy-phenylacetophenone, dicumylperoxide, diphenyl(2,4,6-trimethylbenzoyl)-phosphine oxide, desylchloride, lauroylperoxide, t-butylperoxybenzoate, t-butylhydroperoxide and combinations thereof.

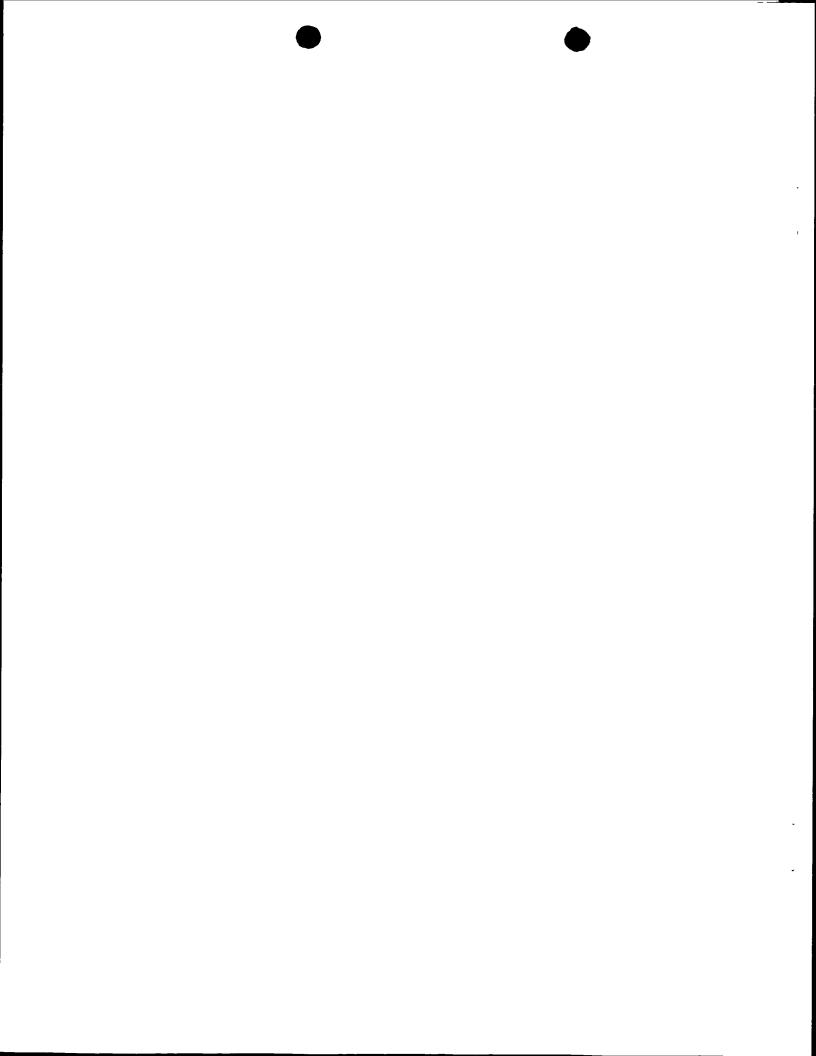
- 7. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials 1-hydroxycyclohexyl phenyl ketone, 2-methyl-1-[4-(methylthio)phenyl]-2-morpholino propan-1-one, benzophenone, 2-benzyl-2-N,N'-dimethylamino-1-(4-morpholinophenyl)-1-butanone, 2,2-dimethoxy-2-phenyl acetophenone, bis(2,6-dimethoxybenzoyl-2,4-, trimethyl pentyl phosphine oxide, 2-hydroxy-2-methyl-1-phenyl-propan-1-one, 2-hydroxy-2-methyl-1-phenyl-1-propane, 2,4,6-trimethylbenzoyldiphenyl-phosphine oxide, 2-hydroxy 2-methyl-1-phenyl-propan-1-one, and combinations thereof.
- 8. The composition according to Claim 1, wherein the photoinitiator component is selected from the group consisting of within the following structure:



X

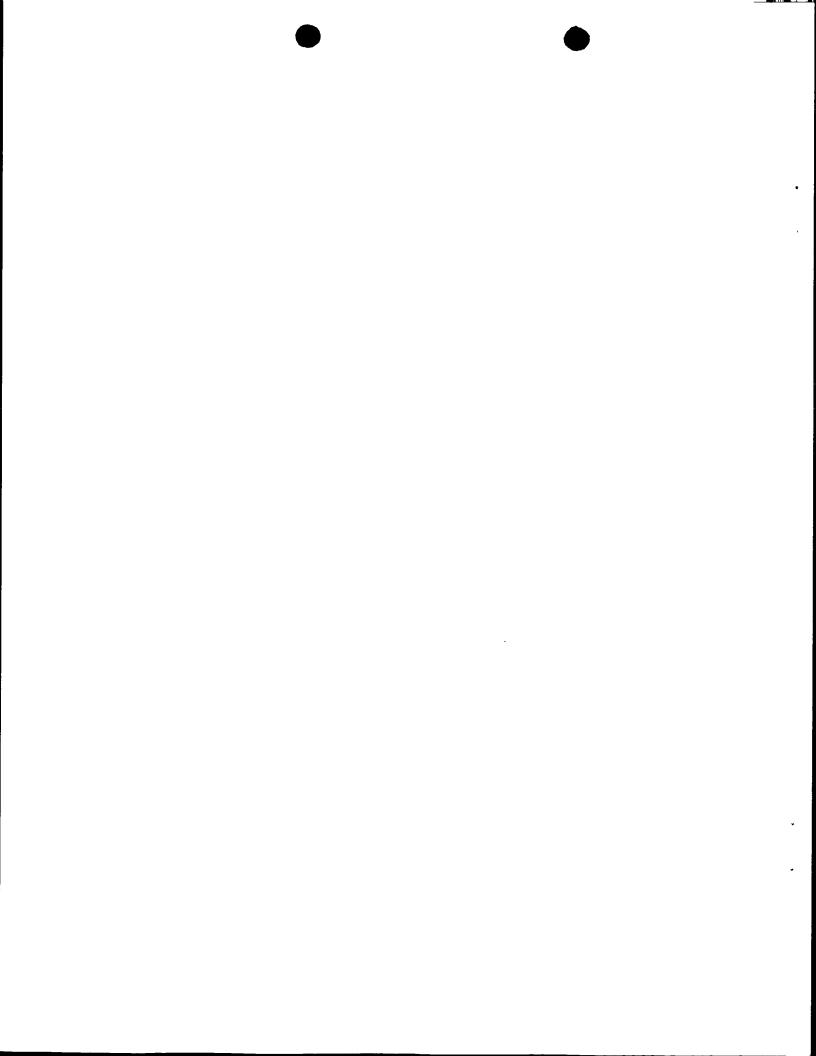
wherein each of Ar,  $Ar^1$  and  $Ar^2$  are aryl groups, with or without substitution, and  $X^-$  is an anion.

9. The composition according to Claim 1, wherein the photoinitiator component is selected from the group consisting of 2,4,6-triphenylpyrylium tetrafluoroborate, 1,4-phenylene-4,4'-bis-(2,6-diphenyl-4-pyrylium tetrafluoroborate), 2,4-diphenylnaphto-(1,2-B) pyrylium tetrafluoroborate, 2,4,6-triphenyl-pyrylium trifluoromethane

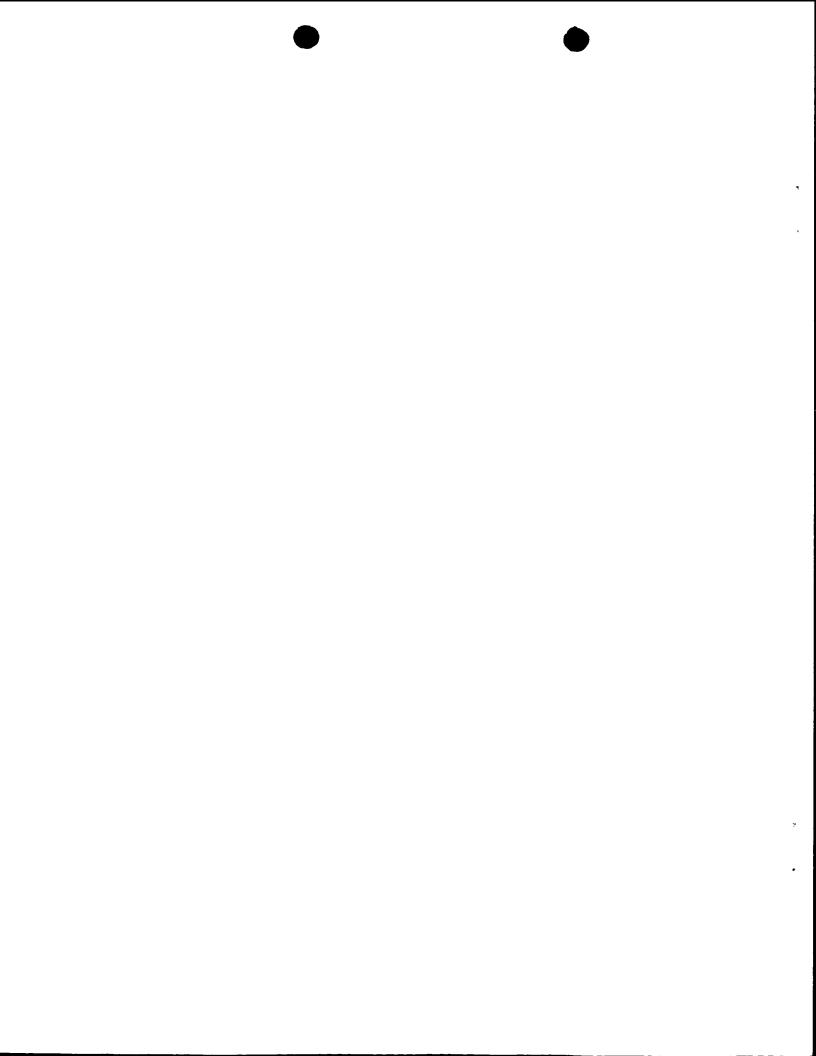


sulfonate, 2,6-diphenyl-4(p-tolyl)-pyrylium tetrafluoroborate and combinations thereof.

- 10. The composition according to Claim 1, further comprising (d) a non-cyanoacrylate radical curable component.
- 11. The composition according to Claim 8, wherein the non-cyanoacrylate radical curable component is a member selected from the group consisting of styrene and derivatives thereof, (meth)acrylates, and combinations thereof.
- 12. The composition according to any one of Claims 111, wherein radiation in the electromagnetic spectrums
  appropriate for photocuring the composition is selected from
  the group consisting of ultraviolet light, visible light,
  electron beam, x-rays, infrared radiation and combinations
  thereof.
- 13. The composition according to any one of Claims 1-11, further comprising a member selected from the group consisting of viscosity-modifying agents, rubber toughening agents, thixotropy conferring agents, thermal-stabilizing agents, and combinations thereof.
- 14. The composition according to any one of Claims 1-11, wherein the composition is useful as an adhesive, a sealant or a coating.
- 15. A method of polymerizing a photocurable composition, said method comprising the steps of:
- (a) providing an amount of the photocurable composition according to any one of Claims 1-14; and
- (b) subjecting the composition to radiation in the electromagnetic spectrum effective to cure the composition.



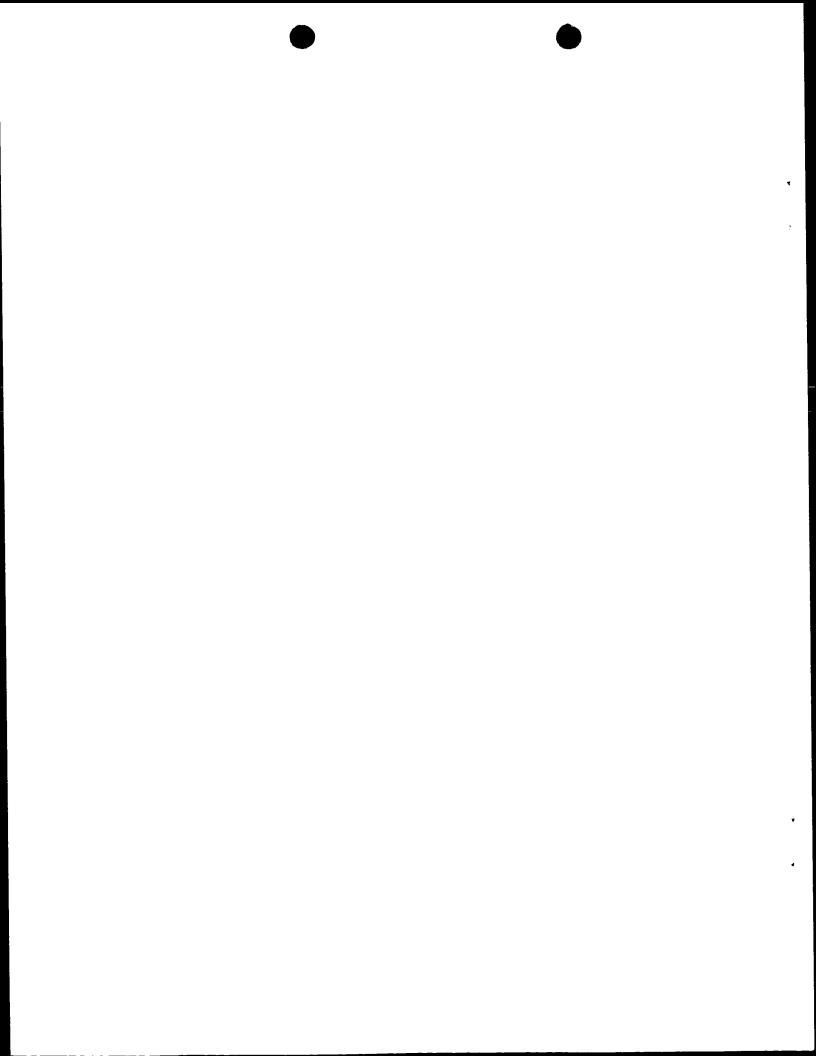
- 16. The composition according to any one of Claims 1-14 in a two-part formulation.
- 17. The composition according to any one of Claims 1-14 in a one-part formulation.
- 18. A composition comprising a reaction product formed from the composition according to any one of Claims 1-14 after exposure to radiation in the electromagnetic spectrum.
- 19. The composition according to any one of Claims 1-14, for use in the manufacture of articles having porous substrates and/or substrates with gaps greater than about 0.5 mils therebetween.



# INTERNATIONAL SEARCH REPORT

International application No. PCT/US00/24620

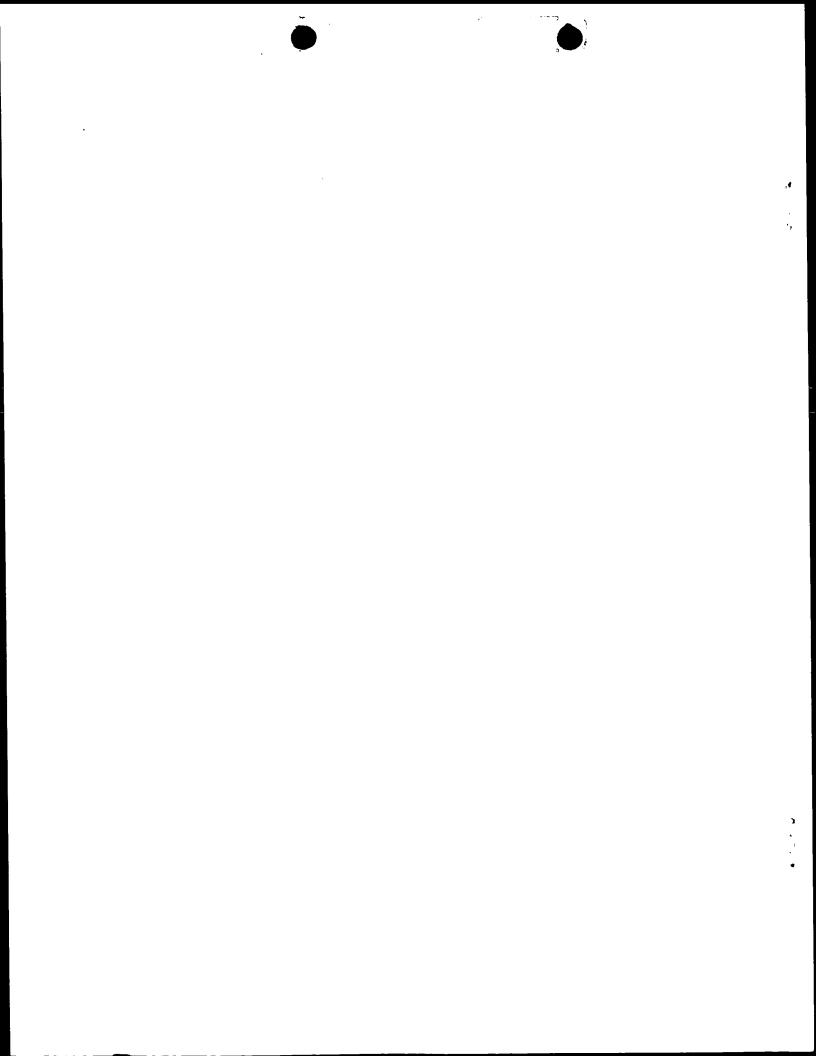
IPC(7) US CL					
B. FIEL	DS SEARCHED				
Minimum d	ocumentation searched (classification system follow	red by classification symbols)			
U.S. :	526/172, 298, 170, 171; 522/18, 19, 20, 25,28, 2	29, 173.			
Documentat	tion searched other than minimum documentation to the	ne extent that such documents are included	in the fields searched		
	data base consulted during the international search (a.0; STN CA PLUS	name of data base and, where practicable	e, search terms used)		
C. DOC	UMENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where a	appropriate, of the relevant passages	Relevant to claim No.		
X	US 4,139,388 A [REICH et al] 13 Fe lines 47-57, Example 1, claims 1,2.	ebruary 1979, abstract, col.2,	1-4, 12/5-9, 14-18		
Y	mes 47 37, Example 1, claims 1,2.		1-4, 12/5-9, 14-18		
Y	US 5,922,783 A [WOJCIAK] 13 July 21, 54-64, col.4, lines 41-67, col.5, li lines 2220-34, 42-50, col.7, 43-53, E	nes 1-24, 42-45, 53-60, col.6,	1-19		
<del></del>	er documents are listed in the continuation of Box (	C. See patent family annex.			
"A" doc	cial categories of cited documents:  ument defining the general state of the art which is not considered  oe of particular relevance	"T" later document published after the inter date and not in conflict with the appli- the principle or theory underlying the	cation but cited to understand		
"L" doc	ier document published on or after the international filing date ument which may throw doubts on priority claim(s) or which is d to establish the publication date of another citation or other	"X" document of particular relevance; the considered novel or cannot be consider when the document is taken alone			
spec	cial reason (as specified)  ument referring to an oral disclosure, use, exhibition or other	"Y" document of particular relevance; the considered to involve an inventive combined with one or more other such being obvious to a person skilled in the	step when the document is documents, such combination		
"P" doc the	ument published prior to the international filing date but later than priority date claimed	"&" document member of the same patent			
Date of the	actual completion of the international search	Date of mailing of the international sea	rch report		
11 ОСТОВ	BER 2000	12 MAR 2001			
Commission	ailing address of the ISA/US er of Patents and Trademarks	Authorized officer L. Oliks	in for		
_	D.C. 20231	TANYA ZALUKAEVA	0		
Facsimile No	o. (703) 305-3230	Telephone No. (703) 308-8819			



# INTERESTIONAL SEARCH REPORT

Interactional application No. PCT/US00/24620

· · · · · · · · · · · · · · · · · · ·		
C (Continua	ation). DOCUMENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant pas	ssages Relevant to claim No.
X  Y	US 5,530,037 A [MCDONELL et al] 25 June 1996, abstract lines 60-66, col.4, 5, col.7, lines 14-16, Example 1, claims 11, 16, 17.	1-5, 7, 12, 14- 18/6, 8-11, 13, 19 
A	EP 0 274 595 A (GENERAL ELECTRIC COMPANY) 20 Ji 1988, entire document.	
A  Y A	US 3,940,362 A [OVERHULTS] 24 February 1976, abstract lines 42-45, col.3, lines 15-18, 44-50, col.4, lines 55-60, col. lines 10-20, Examples I-XXVI, claims 1-9, 19, 21.  US 5,824,180 A [MIKUNI et al] 20 October 1998, entire do	5,   1-19
A  Y	EP 0 769 721 A (THREE BOND CO., LTD) 23 April 1997, abstract, page 3, page 10, 19-59, page 11, lines 1-5, 40-46, p 12, Table 1.	1-19  1-19
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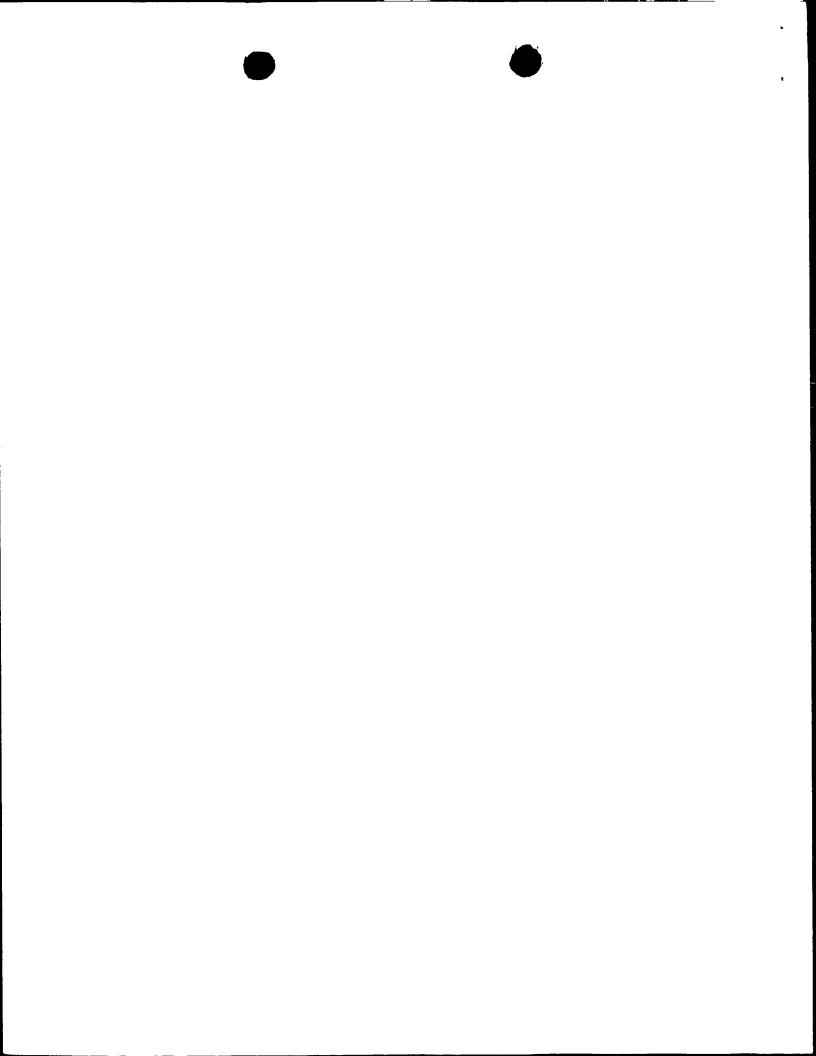
# **PCT**

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# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference	t's file reference FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)				
ICC-211/PCT	International filing date (day/n				
International application No.	08 SEPTEMBER 2000	09 SEPTEMBER 1999			
PCT/US00/24620	<u> </u>				
International Patent Classification (IPC) Please See Supplemental Sheet.	or national classification and IF	PC			
Applicant LOCTITE CORPORATION					
This international prelimin Examining Authority and is	ary examination report has transmitted to the applicant	been prepared by this International Preliminary according to Article 36.			
2. This REPORT consists of a	total of sheets.				
This report is also accombeen amended and are the (see Rule 70.16 and Sect	npanied by ANNEXES, i.e., she ne basis for this report and/or sh ion 607 of the Administrative I	ets of the description, claims and/or drawings which have neets containing rectifications made before this Authority. Instructions under the PCT).			
These annexes consist of a to	tal of sheets.				
3. This report contains indication	ns relating to the following it	tems:			
I X Basis of the repo	ort				
II Priority		\(\)			
III Non-establishme	ent of report with regard to no	ovelty, inventive step or industrial applicability			
IV Lack of unity of	invention	<u>,</u>			
V X Reasoned statemen	nt under Article 35(2) with regarantions supporting such statem	ard to novelty, inventive step or industrial applicability;			
VI Certain documents	cited	·			
VII Certain defects in	the international application				
VIII Certain observation	ns on the international applicat	tion			
Date of submission of the demand	Date of submission of the demand  Date of completion of this report				
30 MARCH 2001	1	18 OCTOBER 2001			
Name and mailing address of the IPEA	, 65	horized officer			
Commissioner of Patents and Trade Box PCT		TANYA ZALUKAEVA DEBORAH THOMAS  Phone No. (703) 308-0651 PARALEGAL SPECIALIST			
Washington, D.C. 20231	ľ	ephone No. (70s) sos-ossi PARALEGAL SPECIALIST			
Facsimile No. (703) 305-3230	1 Tele	Priorie 1.00 000-0001			



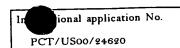


In ional application No.	
PCT/US00/24620	_

I. Basis of the report					
1. With re	gard to the elements of the international application:*				
	e international application as originally filed				
x th	ne description: ages(See Attached)	as originally filed			
p	ages (See Attached)	, filed with the demand			
p	ages, filed with the letter of	,			
P.	ages, filed with the fetter of				
X th	ne claims:	*. * 11=. <i>E</i> :1 - 4			
	ages(See Attached)	, as originally filed			
n	ages, as amended (together with a	filed with the demand			
p	pages, filed with the letter of	, med with the demand			
p	ages, filed with the letter of				
[ <del>]</del> +1	he drawings:				
X t	(See Attached)	, as originally filed			
		, filed with the demand			
ŀ	pages, filed with the letter of				
X	he sequence listing part of the description:	as originally filed			
	pages (See Attached)	filed with the demand			
I	pages filed with the letter of	, filed with the demand			
	regard to the language, all the elements marked above were available or furnished to the				
	the language of the translation furnished for the purposes of international searche language of the translation furnished for the purposes of international searche language of publication of the international application (under Rule 48.1) the language of the translation furnished for the purposes of international preliminar	rch (under Rule 23.1(b)). 3(b)).			
L,	or 55.3).				
3. With preli	n regard to any nucleotide and/or amino acid sequence disclosed in the internationinary examination was carried out on the basis of the sequence listing:	tional application, the international			
🔲 (	contained in the international application in printed form.				
1	filed together with the international application in computer readable form.				
	furnished subsequently to this Authority in written form.	<b>o</b> .			
<u> </u>					
	furnished subsequently to this Authority in computer readable form.	as havend the disalogues in the			
1 —	The statement that the subsequently furnished written sequence listing does not international application as filed has been furnished.				
	The statement that the information recorded in computer readable form is identical been furnished.	to the writen sequence listing has			
4. X	The amendments have resulted in the cancellation of:				
ر ت	NONE				
	the description, pages				
	the claims, 190s.	ŧ			
	X the drawings, sheets/fig NONE	at a base base considered to so			
5.	This report has been drawn as if (some of) the amendments had not been made, single 70.2(c))	ce they have been considered to go			
in th	beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)) accement sheets which have been furnished to the receiving Office in response to an invitatis report as "originally filed" and are not annexed to this report since they do not 70.17).	tion under Atticle 14 are referred to			
**Anv	replacement sheet containing such amendments must be referred to under item 1 a	and annexed to this report.			







v.	Reasoned statement under Article 35(2 citations and explanations supporting	e) with regard such stateme	to novelty, inventive step or inc nt	lustrial applicability;
1.	statement			
	Novelty (N)	Claims	5-7,9, 10-20	YES
	Hoveley (11)	Claims	1-4, 12	NO
	Inventive Step (IS)	Claims	NONE	YES
	Historia arch (12)	Claims	1-7, 9-19	NO
	Industrial Applicability (IA)	Claims	1-7, 9-19	YES
	Industrial Applicantity (111)			270

2. citations and explanations (Rule 70.7)

Claims 1-7, 9-19 lack an inventive step under PCT Article 55(3) as obvous over McDONNELL et al (U.S. 5,550,037)

NONE

Claims

McDONNELL discloses a curable cyanoacrylate adhesive composition intended for medical and/or veterinary uses is sterilized in liquid form by gamma irradiation. The composition comprises

a) a cyanoacrylate monomer

b) a combination of an anionic stabilizer and a free-radical stabilizer in amounts effective to stabilize the composition during irradiation and to stabilize the sterilized composition during storage prior to cure, wherein the free radical stabilizer is a selected phenolic antioxidant (abstract, col.3, lines 60-66). Phenolic antioxidant is presented by compounds of formula (I), (col. 4, lines 1-5), which depicts a p-benzoquinone.

The cyanoacrylate monomer may be selected from methyl, ethyl, n-propyl, iso-propyl, n-butyl, iso-butyl, sec-butyl, tert-butyl, n-pentyl, iso-pentyl, n-hexyl, iso-hexyl, n-heptyl, iso-heptyl, n-octyl, n-nonyl, allyl, methoxyethyl, ethoxyethyl, 3-methoxybutyl and methoxyisopropyl cyanoacrylate esters (col. 4, lines 61-67).

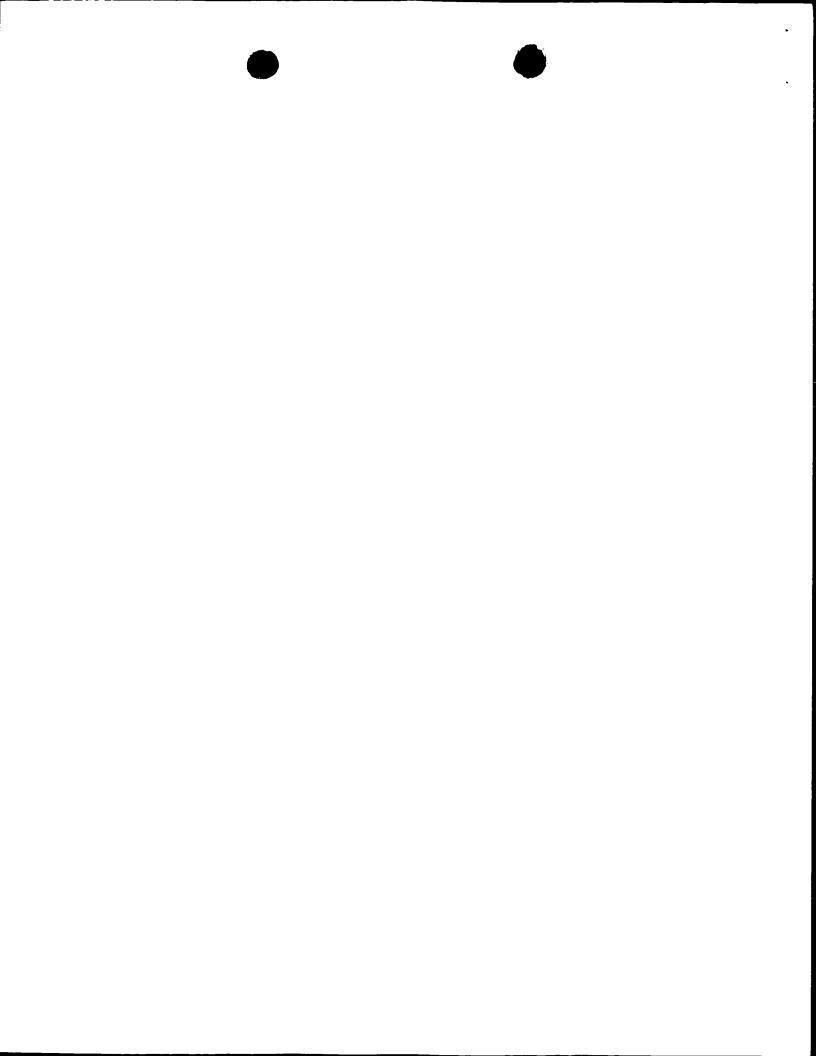
One of preferred antioxidants is butyl hydroxy toluene (BHT, or 4-methyl-2,6-di-tert-butylphenol

Other anti-oxidants which may be used include methyl hydroquinone, catechol, tert-butyl hydroquinone, 4-tert-butoxyphenol, 4-ethoxyphenol, 3-methoxyphenol, 2-tert-butyl-4-methoxyphenol, and

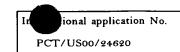
2,2-methylene-bis-(4-methyl-6-tert-butylphenol).

Anionic (acid) stabilizers for cyanoacrylate adhesives include Sulphur Dioxide, Sulphonic Acids, Sulphuric Acid, Sulphur Trioxide, Phosphorous Acids, Carboxylic Acids, Picric Acid, Boron Trifluoride, etc. (col. 5, lines 35-66, col. 6, lines 1-5.

In specific regard toclaims 6, 8-11 McDONNELL discloses a cyanoacrylate adhesives as instantly (Continued on Supplemental Sheet.)



# INTERNATIONAL PRELIMINARY EXAMINATION REPORT



Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

### **CLASSIFICATION:**

The International Patent Classification (IPC) and/or the National classification are as listed below: IPC(7): C08F 2/50, 236/12, 2/50, 4/42; C09J 7/04 and US Cl.: 526/172, 298, 170, 171; 522/18, 19, 20, 25,28, 29, 173.

#### I. BASIS OF REPORT:

This report has been drawn on the basis of the description, page(s) 1, 2, 5-10, 12-20, 22-27, as originally filed. page(s) NONE, filed with the demand. and additional amendments:

3, 4, 11, 21, filed with the letter of 13 August 2001

This report has been drawn on the basis of the claims,

page(s) NONE, as originally filed.

page(s) NONE, as amended under Article 19.

page(s) NONE, filed with the demand.

and additional amendments:

28-31, filed with the letter 13 August 2001

This report has been drawn on the basis of the drawings,

page(s) NONE, as originally filed.

page(s) NONE, filed with the demand.

and additional amendments:

NONE

This report has been drawn on the basis of the sequence listing part of the description: page(s) NONE, as originally filed.
pages(s) NONE, filed with the demand.
and additional amendments:
NONE

### V. 2. REASONED STATEMENTS - CITATIONS AND EXPLANATIONS (Continued):

claimed, however does not disclose the same photoinitiators, as per instant claims 6, 8-11. Because the polymerization of MCDONNEL takes place under gamma-irradiation, one skilled in the art would have found it obvious to introduce imitators capable of producing radicals under irradiation with very short wavelength, which are mostly the instantly claimed compounds, and thus to arrive at the instant claims.

Claims 1-7, 9 lack an inventive step under PCT Article 33(3) as being obvious over WOJCIAK (U.S. 5,922,783).

WOJCIAK discloses radiation-curable composition which includes a cyanoacrylate component or a cyanoacrylate-containing formulation, a metallocene component and a polymerizingly effective amount of a photoinitiator to accelerate the rate of cure (abstract).

The cyanoacrylate monomer is selected from methyl cyanoacrylate, 2-ethyl cyanoacrylate, 2-propyl cyanoacrylate, 2-butyl cyanoacrylate, 2-octyl cyanoacrylate, allyl cyanoacrylate, beta-methoxyethyl cyanoacrylate and combination thereof. A particularly desirable cyanoacrylate monomer for use herein is 2-ethyl cyanoacrylate (col. 3, lines 54-66).

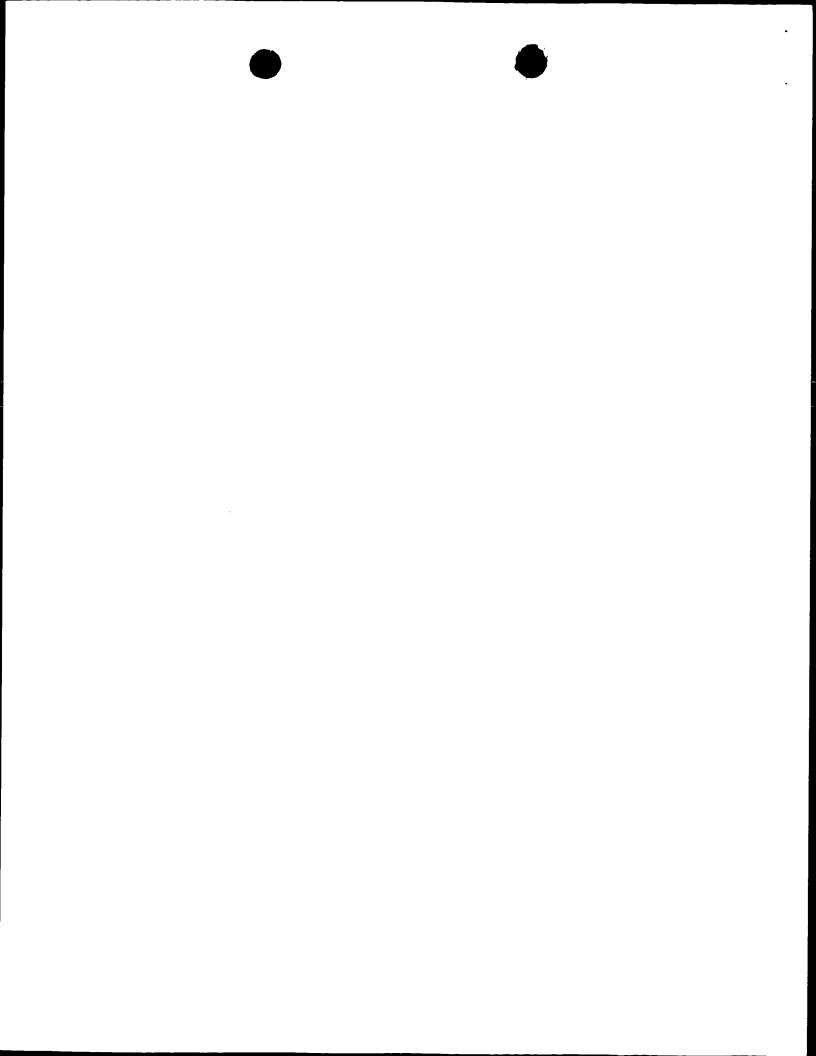
. A number of photoinitiators are employed by WOJCIAK

Such materials include, but are not limited to, photoinitiators available commercially from Ciba-Geigy Corp., under the "IRGACURE" and "DAROCUR" tradenames, specifically "IRGACURE" 184 (1-hydroxycyclohexyl phenyl ketone), 907 (2-methyl-1-[4-(methylthio)phenyl]-2-morpholino propan-1-one),

369(2-benzyl-2-N,N-dimethylamino-1-(4-morpholinophenyl)-1-butanone), 500 (the combination of 1-hydroxy cyclohexyl phenyl ketone and benzophenone), 651 (2,2-dimethoxy-2-phenyl acetophenone), 1700 (the combination of

bis(2,6-dimethoxybenzoyl-2,4-,4-trimethyl pentyl phosphine oxide and 2-hydroxy-2-methyl-1-phenyl-propan-1-one) and "DAROCUR" 1173 (2-hydroxy-2-methyl-1-phenyl-1-propane) and 4265 (the combination of

2,4,6trimethylbenzoyldiphenyl-phosphine oxide and 2-hydroxy 2-methyl-1-phenyl-propan-1-one); photoinitiators vailable commercially from Union Carbide Chemicals and Plastics Co. Inc., Danbury, Conn. under the "CYRACURE". The



### Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 11

list of photoinitiators, which clearly overlaps with the instantly claimed photoinitiators, is presented in col. 4, lines 41-67, col.5, lines 1-24.

Although the predominant mechanism by which cyanoacrylate monomers undergo polymerization is an anionic one, free-radical polymerization is also known to occur in this regard under prolonged exposure to heat or light of an appropriate wavelength. See e.g., Coover et al., supra. Ordinarily, however, free-radical stabilizers, such as quinones or hindered phenols, are included in cyanoacrylate-containing adhesive formulations to extend their shelf life. Thus Example 1, col. 10, 11 teaches the following:

A photocurable composition in accordance with the present invention was prepared from about 95.9 grams of 2-ethyl cyanoacrylate, about 0.1 grams of ferrocene and about 4 grams of "DAROCUR" 1173 as a photoinitiator. Typically, commercially available cyanoacrylate-containing compositions (such as "PRISM" Adhesive 4061, commercially available from Loctite Corporation, Rocky Hill, Conn.) are stabilized against free-radical formation by the addition of an acidic material, such as boron trifluoride or methane sulfonic acid. In this example, therefore, the ethyl cyanoacrylate contained about 20 ppm of boron trifluoride as an acid anionic stabilizer.

Although not all the groups of photoinitiators are disclosed by WOJCIAK, he motivates one skilled in the art to utilize stabilizers, comprising complexes of boron tetrafluoride and stabilizers against free radical formation as instantly claimed.

Claims 112 meet the criteria set out in PCT Article 33(4) for industrial applicability, the compositions as claimed are used as cyanoacrylate adhesives.

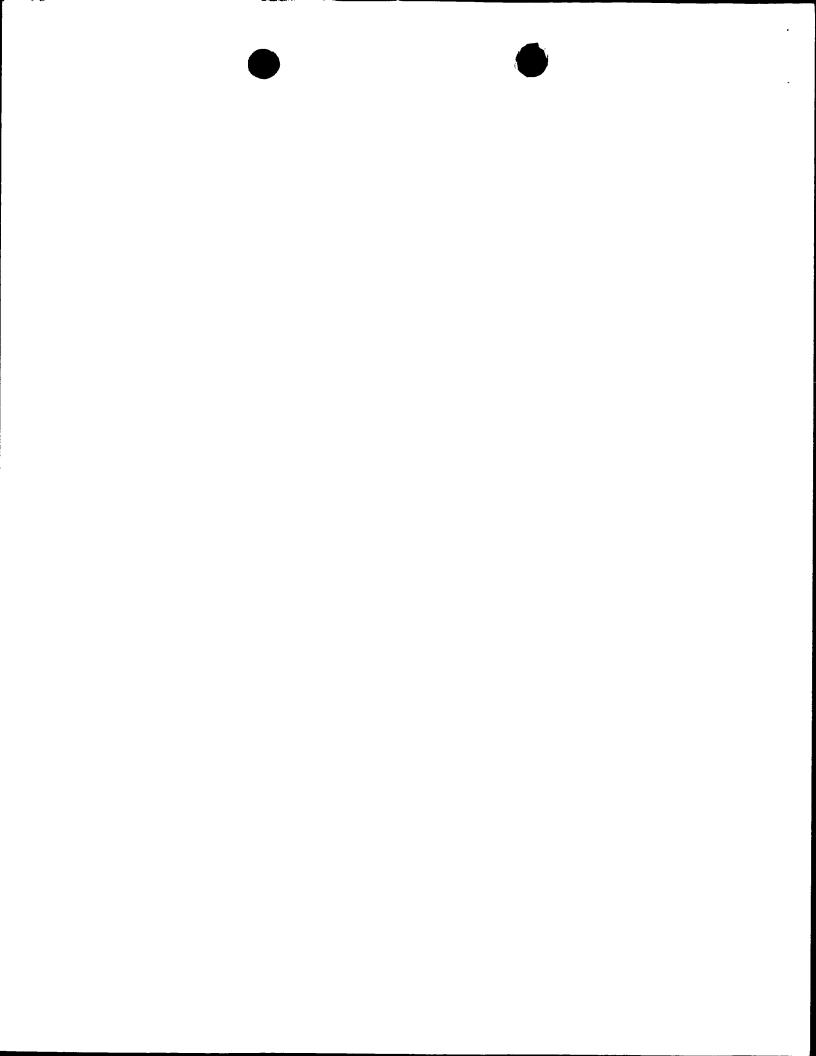
Response to Arguments

Applicants' arguments were fully considred, but they are not persuasive. Since the reference to REICH was removed from the scope of rejection, Examiner does not address the arguments on this reference. In regard to McDONALD reference the very first word of the abstarct cites "curable adhesive compositions comprising cyanoacrylate monomer, combination of stabilizers including a compound capable of generating free radicals

As for Applicant's arguments about the intended use of the instant composition compare to that of McDonald, it is well set that a new use of otherwise known or obvious composition does not impact its patentability.

In regard to WOJCIAK reference, which in fact uses the mixture of a metallocene and a free radical component, Examiner notices, that first of all the transitional word "comprising", as per instant claim 1 leaves the possibility of the presence of any other components, even in the major amounts. Secondly, the rejection was made as an obviousness rejection, not as anticipation one, and as shown above reference itself suggests to one skilled in the art the particularities of the instantly claimed composition.

	NEW CITATIONS	
NONE		



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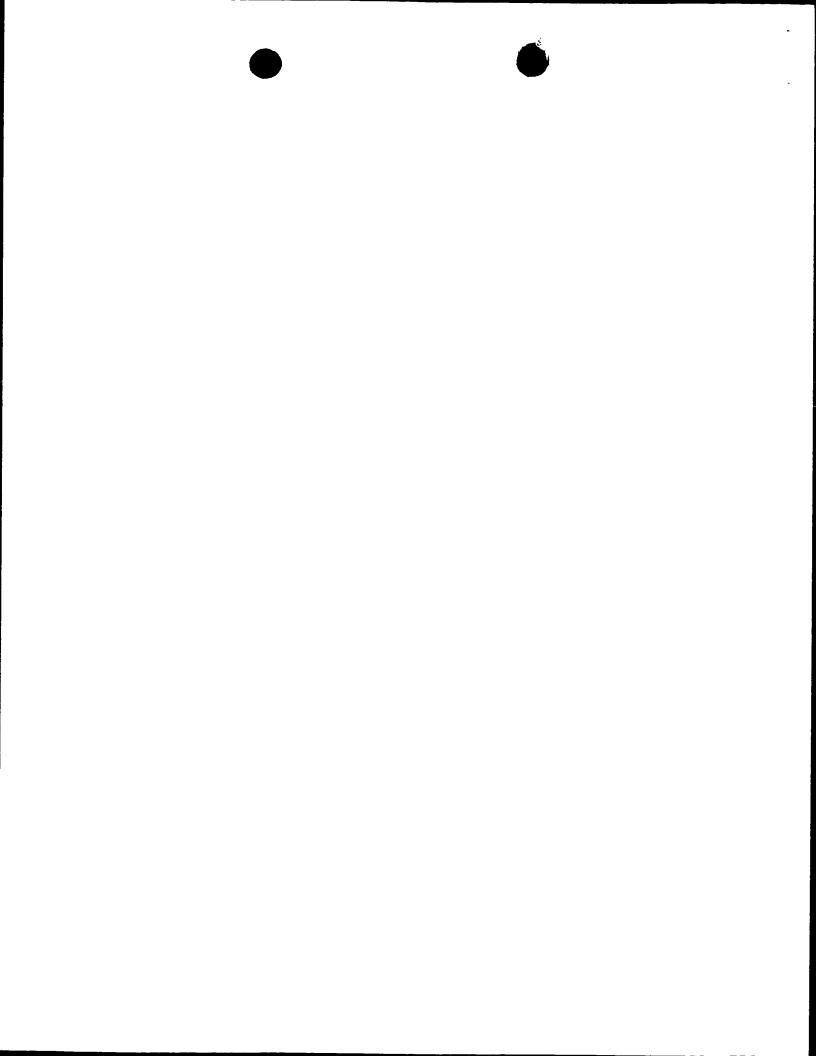
includes monofunctional acrylates and acrylate esters, such as cyano-functionalized acrylates and acrylate esters, examples of which are expressed as 2-cyanoethyl acrylate (CH<sub>2</sub>=CHCOOCH<sub>2</sub>CH<sub>2</sub>CN) and 3-cyanopropyl acrylate (CH<sub>2</sub>=CHCOOCH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CN). (See page 5, lines 19-26.)

U.S. Patent No. 4,707,432 (Gatechair) speaks to a free radical polymerizable composition which includes (a) polymerizable partial esters of epoxy resins and acrylic and/or methacrylic, and partial esters of polyols and acrylic acid and/or methacrylic acid, and (b) a photoinitiator blend of a cyclopentadienyl iron complex and a sensitizer or photoinitiator, such as an acetophenone.

In C. Kutal, P.A. Grutsch and D.B. Yang, "A Novel Strategy for Photoinitiated Anionic Polymerization", Macromolecules, 24, 6872-73 (1991), the authors note that ethyl cyanoacrylate is "unaffected by prolonged (24-h) irradiation with light of wavelength >350 nm" whereas in the presence of NCS, cyanoacrylate is observed to solidify immediately, generating heat in the process. Though the NCS was not in that case generated as a result of irradiation, it was generated from the Reineckate anion upon ligand field excitation thereof with near-ultraviolet/visible light. See also U.S. Patent Nos. 5,652,280 (Kutal) 5,691,113 (Kutal) and 5,877,230 (Kutal).

International Patent Application PCT/US98/03819 describes photocurable compositions including a cyanoacrylate component, a metallocene component and a photoinitiator component.

European Patent Publication No. EP 769 721 A1 describes a photocurable compositions of (a) an  $\alpha$ -cyanoacrylate and (b) a metallocene compound comprising a transition metal of group VIII of the periodic table and aromatic electron system ligands selected from  $\pi$ -arenes, indenyl, and  $\eta$ -cyclopentadienyl. The photocurable composition may further include (c) a cleavage-type photoinitiator. U.S. Patent No. 5,814,180 (Mikuni) describes such compositions in the context of a method of bonding artificial nails.



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describes such compositions in the context of a method of bonding artificial nails.

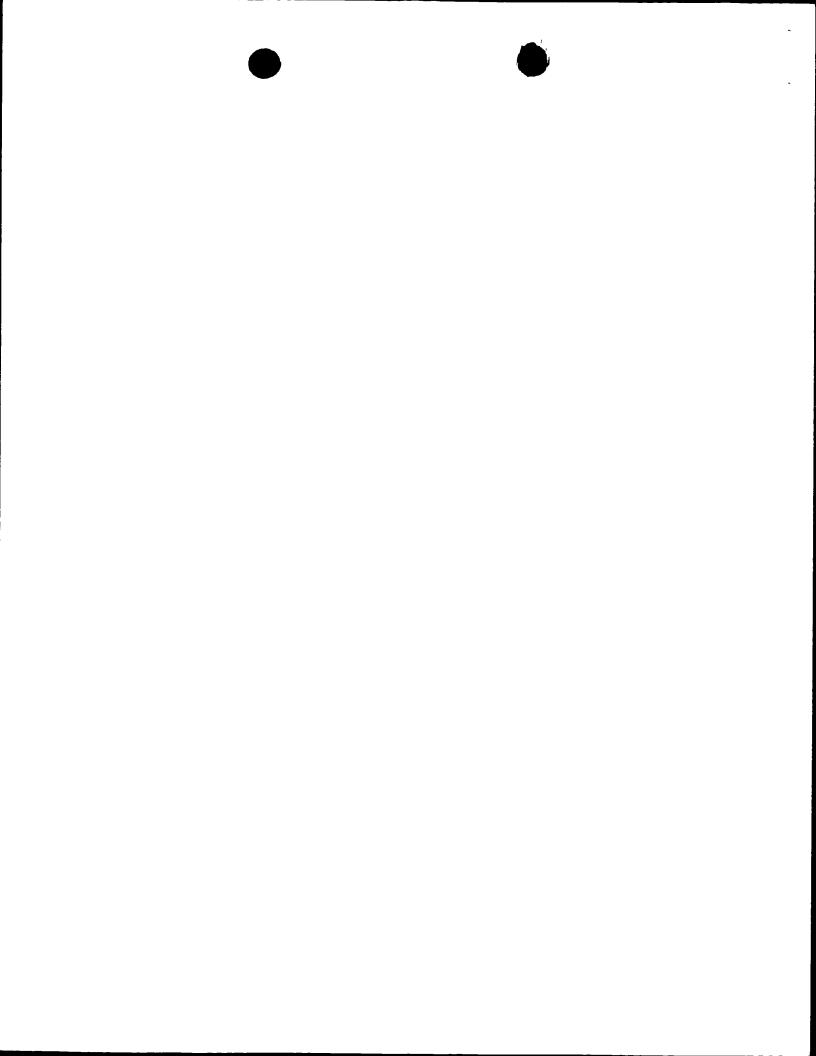
Although the predominant mechanism by which cyanoacrylate monomers undergo polymerization is an anionic one (which as noted above is typically initiated using a nucleophile), free-radical polymerization is also known to Such free radical polymerization is however seen as troublesome since it tends to reduce shelf-life stability under prolonged exposure to heat or light of an appropriate See e.g., Coover et al., supra. Ordinarily, wavelength. free-radical stabilizers, such as quinones or hindered phenols, are included in cyanoacrylate-containing adhesive compositions to consume free radicals that are generated by light and under typical non-airtight storage conditions, thereby extending the adhesive's shelf life. extent of any free-radical polymerization of commercial cyanoacrylate-containing adhesive compositions is especially undesirable for at least the reason stated and in practice is typically minimal due to the inclusion of such freeradical stabilizers.

It is not believed to date that a cyanoacrylate-based adhesive composition has been developed to rapidly cure through a photoinitiated free radical mechanism, while retaining commercially acceptable shelf life stability. Such a composition would be desirable as possessing the benefits and advantages of cyanoacrylate-containing compositions while curing through at least a photo-induced free radical polymerization mechanism.

## SUMMARY OF THE INVENTION

The present invention provides compositions which include a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoinitiated radical generating component and a photoinitiator component. Such compositions cure after exposure to radiation in the electromagnetic spectrum.

The photocurable compositions of this invention retain those benefits and advantages of traditional



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applications in which elevated temperature conditions may be experienced, such as with potting compounds particularly where large cure through volume is present and non-tacky surfaces are desirably formed in less than about five seconds.

The inclusion of such materials to a photocurable composition in accordance with the present invention may provide a formulation having particular advantages for certain applications, and should be appealing from a safety perspective as the possibility is decreased of splashing or spilling the composition on exposed skin of the user or bystanders.

Another desirable component to include in the inventive compositions is a photosensitizer to render the composition more reactive toward exposure to electromagnetic radiation. Desirable examples of such photosensitizers include benzophenone or dyes like xanthene dyes, acridinium dyes or phenazine dyes. Inclusion of such photosensitizers often lessens the intensity and/or duration of exposure to the electromagnetic radiation used to initiate cure.

The relative amount of the various components of the photocurable compositions according to this invention is a matter of choice left to those persons of skill in the art, depending of course on the identity of the particular components chosen for a specific composition.

As a general guide, however, it is desirable to include in the photocurable compositions a photoinitiated radical generating component, such as peroxide, perester, azo compounds, benzoin derivatives (e.g., DMPAP),  $\alpha$ -halo acetophenones (e.g., DC), acylphosphine oxides (e.g., DPTPO or related phospine oxide compounds), in an amount within the range of about 0.005% to about 4% or greater (desirably within the range of about 0.01% to about 1.5%) by weight of the total composition. It is also desirable for the compositions to include a photoinitiator component, such as substituted pyrylium salts or anthracene and derivatives thereof, e.g., substituted anthracenes, or anthraquinone or

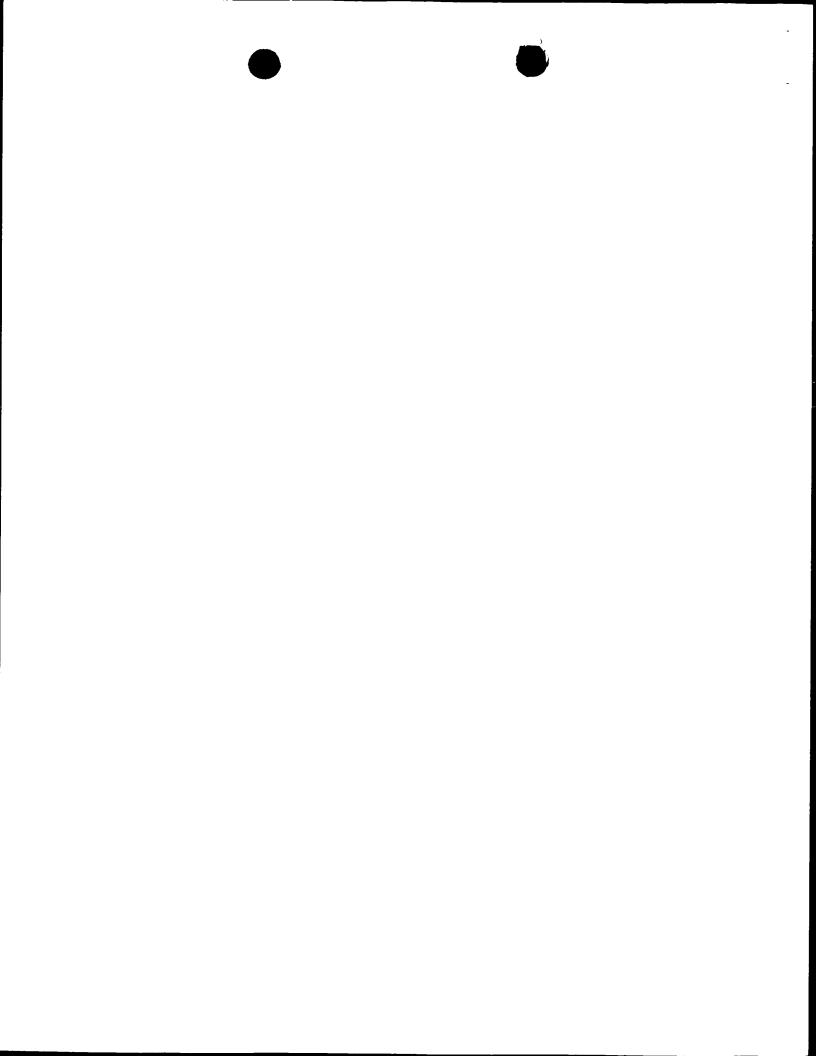


Table 1

Sample	DBPO	TPT	After Exposure	
No.	[ppm]	[ppm]	to 1000 W light	
			[30 secs]	
1	0	1000	No curing	
2	100	0	No curing	
3	500	0	No curing	
4	2500	0	No curing	
5	10000	0	No curing	
6	100	100	High viscous	
7	100	1000	Tack-free curing	
8	500	100	High viscous	
9	500	1000	Tack-free curing	
10	2500	100	High viscous	
11	2500	1000	Cured	
12	10000	100	High viscous	
13	10000	1000	High viscous	

The information shown in Table 1 indicates that the combination of the radical initiator component and the photoinitiator component (see Sample Nos. 6-13) enables the cyanoacrylate to cure when exposed to UV light, whereas when only one or the other of the radical initiator component or photoinititor component are present (see Sample Nos. 1-5), no curing of the cyanoacrylate occurs. It may also be seen that vast amounts of the photoinitiated radical generating component is not necessary to observe the behavior of the inventive compositions (see Sample Nos. 6-9).

#### Example 2

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In this example, a variety of radical initiator components were used in the formulation.

Again starting with ethyl-2-cyanoacrylate, and keeping the photoinitiator component constant as "TPT", a variety of different radical initiator components were used in the amounts noted to determine whether and to what extent the so-prepared formulations would cure when exposed to UV light. Table 2 below sets forth the specific identity and amounts of the radical initiator components used in the samples. Table 2 also sets forth the curing speed attained in seconds when one drop of the sample was placed on a glass slide (from Smiths Glassware) and exposed to 1000 W of

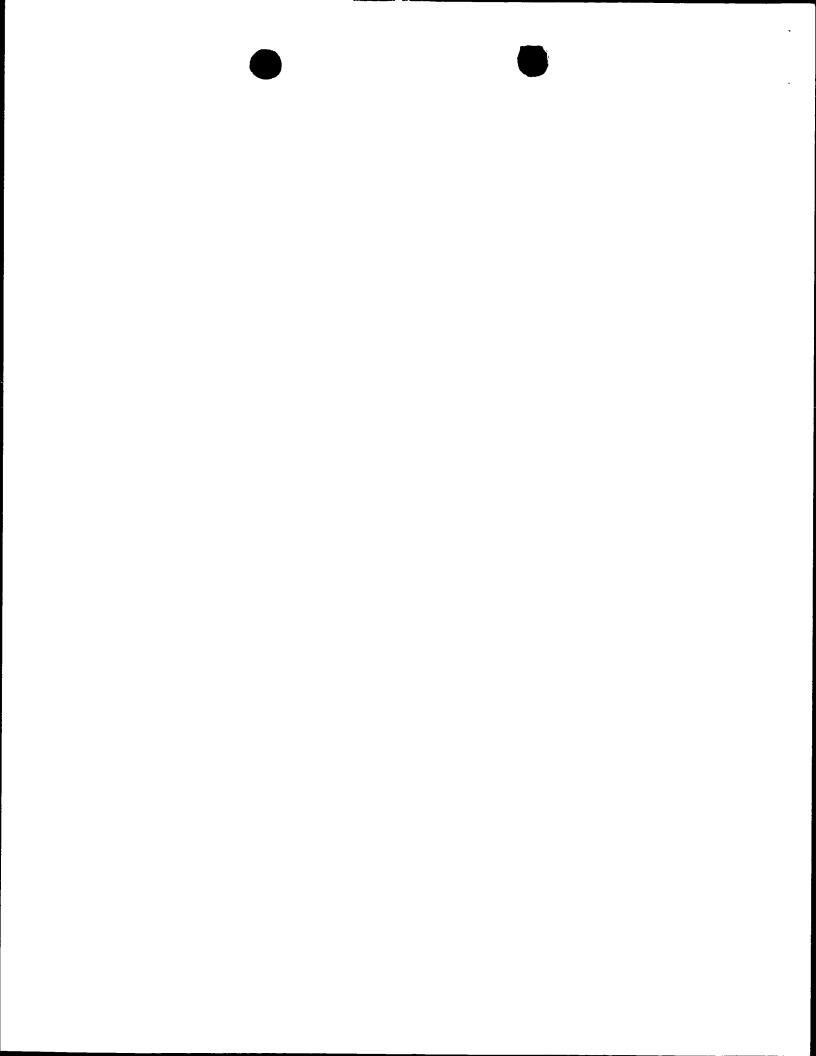


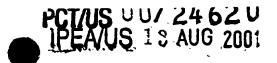
## What Is Claimed Is:

- 1. A photocurable composition comprising:
  - (a) a 2-cyanoacrylate component,
  - (b) a photoinitiated radical generating component, and
- (c) a photoinitiator component, wherein the photoinitator component is selected from the compounds within the following structure:

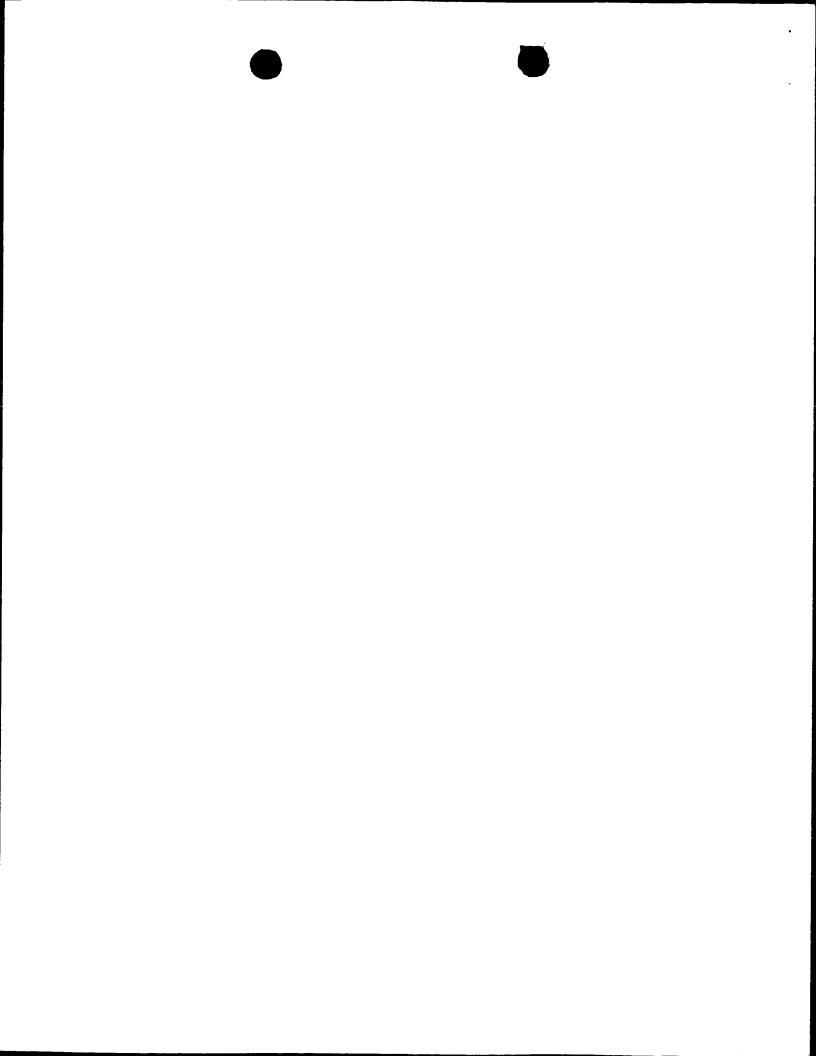
wherein each of Ar,  $Ar^1$  and  $Ar^2$  are aryl groups, with or without substitution, and  $X^-$  is an anion.

- 2. The composition according to Claim 1, wherein the cyanoacrylate component includes a cyanoacrylate monomer represented by  $H_2C=C(CN)-COOR$ , wherein R is selected from the group consisting of  $C_{1-15}$  alkyl, alkoxyalkyl, cycloalkyl, alkenyl, aralkyl, aryl, allyl and haloalkyl groups.
- 3. The composition according to Claim 2, wherein the cyanoacrylate monomer is selected from the group consisting of methyl cyanoacrylates, ethyl-2-cyanoacrylate, propyl-2-cyanoacrylates, butyl-2-cyanoacrylates, octyl-2-cyanoacrylates, allyl cyanoacrylate, ß-methoxyethyl cyanoacrylates, and combinations thereof.
- 4. The composition according to Claim 2, wherein the cyanoacrylate monomer is ethyl-2-cyanoacrylate.

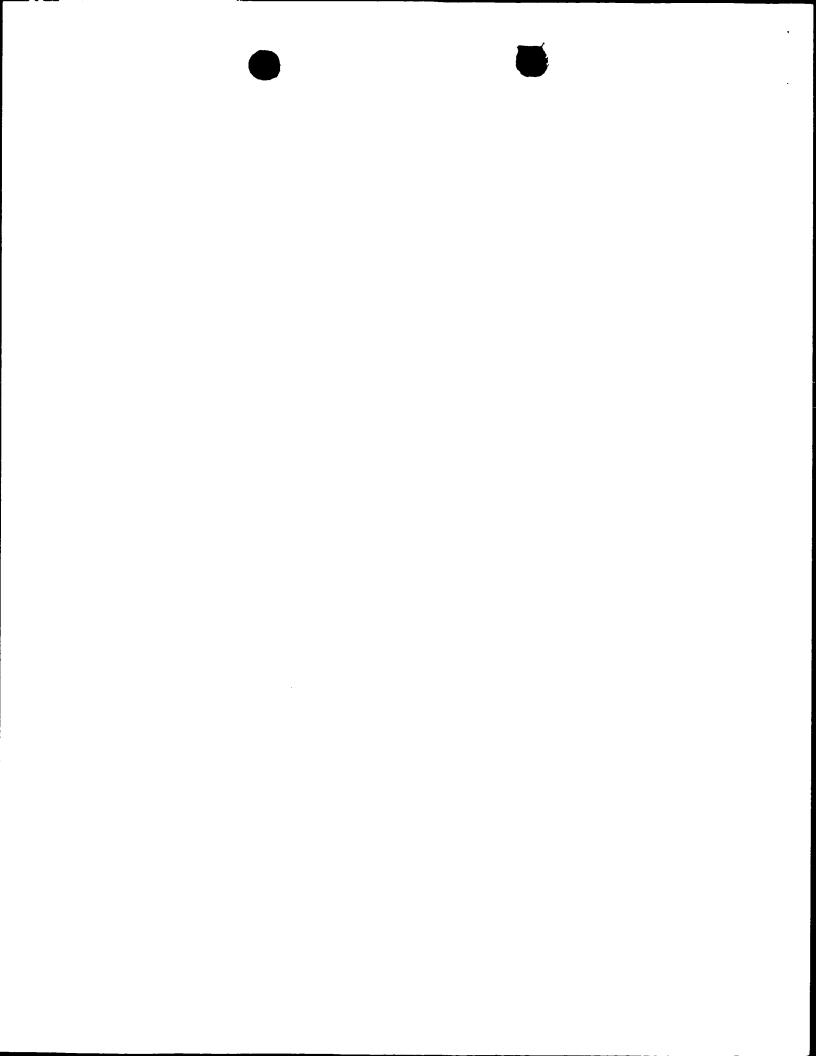




- 5. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of  $\alpha$ -haloacetophenones, azo compounds, aromatic carbonyl compounds, peroxides, hydroperoxides, peresters, azoisobutyronitrile, and combinations thereof.
- 6. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of1,1'-azo-bis(cyclohexanecarbonitrile), 4,4'-azo-bis(4-cyanovaleric acid), 1,1'-(azodicarbonyl)-dipiperidine, 1,1-bis(t-butylperoxy)cyclohexane, 2,5-bis(t-butylperoxy)-2,4-
- 7. (Amended) The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of 1-hydroxycyclohexyl phenyl ketone, 2-methyl-1-[4-(methylthio)phenyl]-2-morpholino propan-1-one, benzophenone, 2-benzyl-2-N,N'-dimethylamino-1-(4-morpholinophenyl)-1-butanone, 2,2-dimethoxy-2-phenyl acetophenone, bis(2,6-dimethoxybenzoyl-2,4-trimethyl pentyl phosphine oxide, 2,4,6-trimethyl benzoyldiphenyl-phosphine oxide, 2-hydroxy 2-methyl-1-phenyl-propan-1-one, and combinations thereof.
- 9. The composition according to Claim 1, wherein the photoinitiator component is selected from the group consisting of 2,4,6-triphenylpyrylium tetrafluoroborate, 1,4-phenylene-4,4'-bis-(2,6-diphenyl-4-pyrylium tetrafluoroborate), 2,4-diphenylnaphto-(1,2-B) pyrylium tetrafluoroborate, 2,4,6-triphenyl-pyrylium trifluoromethane sulfonate, 2,6-diphenyl-4(p-tolyl)-pyrylium tetrafluoroborate and combinations thereof.

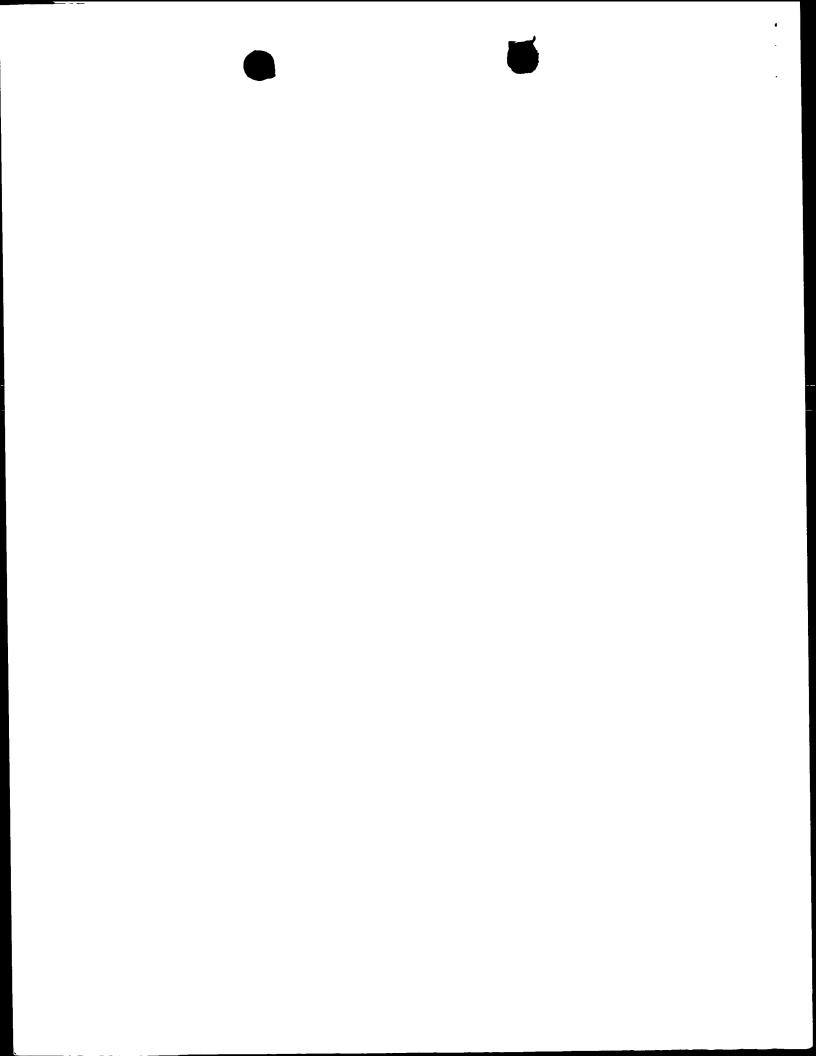


- 10. The composition according to Claim 1, further comprising (d) a non-cyanoacrylate radical curable component.
- 11. The composition according to Claim 8, wherein the non-cyanoacrylate radical curable component is a member selected from the group consisting of styrene and derivatives thereof, (meth) acrylates, and combinations thereof.
- 12. The composition according to any one of Claims 1-11, wherein radiation in the electromagnetic spectrums appropriate for photocuring the composition is selected from the group consisting of ultraviolet light, visible light, electron beam, x-rays, infrared radiation and combinations thereof.
- 13. The composition according to Claim 1, further comprising a member selected from the group consisting of viscosity-modifying agents, rubber toughening agents, thixotropy conferring agents, thermal-stabilizing agents, and combinations thereof.
- 14. The composition according to Claim 1, wherein the composition is useful as an adhesive, a sealant or a coating.
- 15. A method of polymerizing a photocurable composition, said method comprising the steps of:
- (a) providing an amount of the photocurable composition according to Claim 1; and
- (b) subjecting the composition to radiation in the electromagnetic spectrum effective to cure the composition.
- 16. The composition according to Claim 1 in a two-part formulation.





- 17. The composition according to Claim 1 in a one-part formulation.
- 18. A composition comprising a reaction product formed from the composition according to Claim 1 after exposure to radiation in the electromagnetic spectrum.
- 19. The composition according to Claim 1, for use in the manufacture of articles having porous substrates and/or substrates with gaps greater than about 0.5 mils therebetween.



## PATENT COOPERATION TREATY

## **PCT**

#### **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

### From the INTERNATIONAL BUREAU

To:

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room
CP2/5C24
Arlington, VA 22202
ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year)
19 June 2001 (19.06.01)

in its capacity as elected Office

International application No.
PCT/US00/24620
ICC-211/PCT

International filing date (day/month/year)
08 September 2000 (08.09.00)

Applicant

Applicant's or agent's file reference
ICC-211/PCT

Priority date (day/month/year)
09 September 1999 (09.09.99)

MISIAK, Hanns, R.

1.	The designated Office is hereby notified of its election made:  X in the demand filed with the International Preliminary Examining Authority on:
	30 March 2001 (30.03.01)
	in a notice effecting later election filed with the International Bureau on:
•	The election X was
	was not
	made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Claudio Borton

Telephone No.: (41-22) 338.83.38

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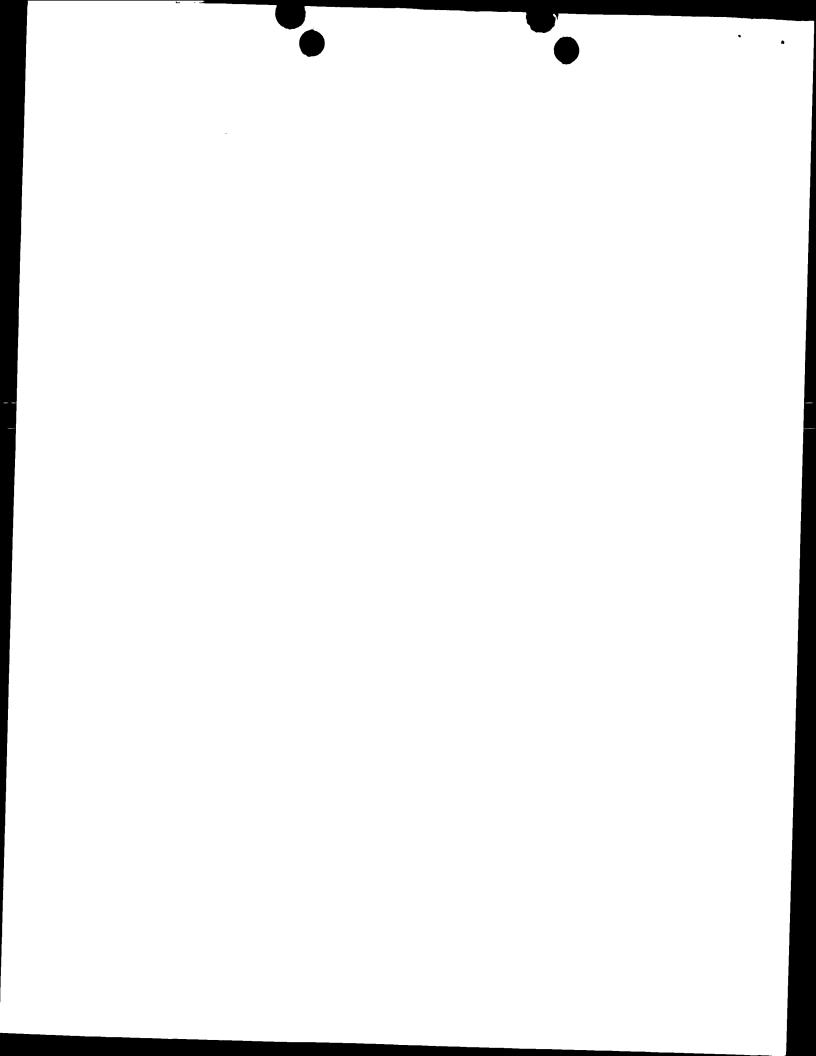
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ICC-211/PCT

## PCT REQUEST

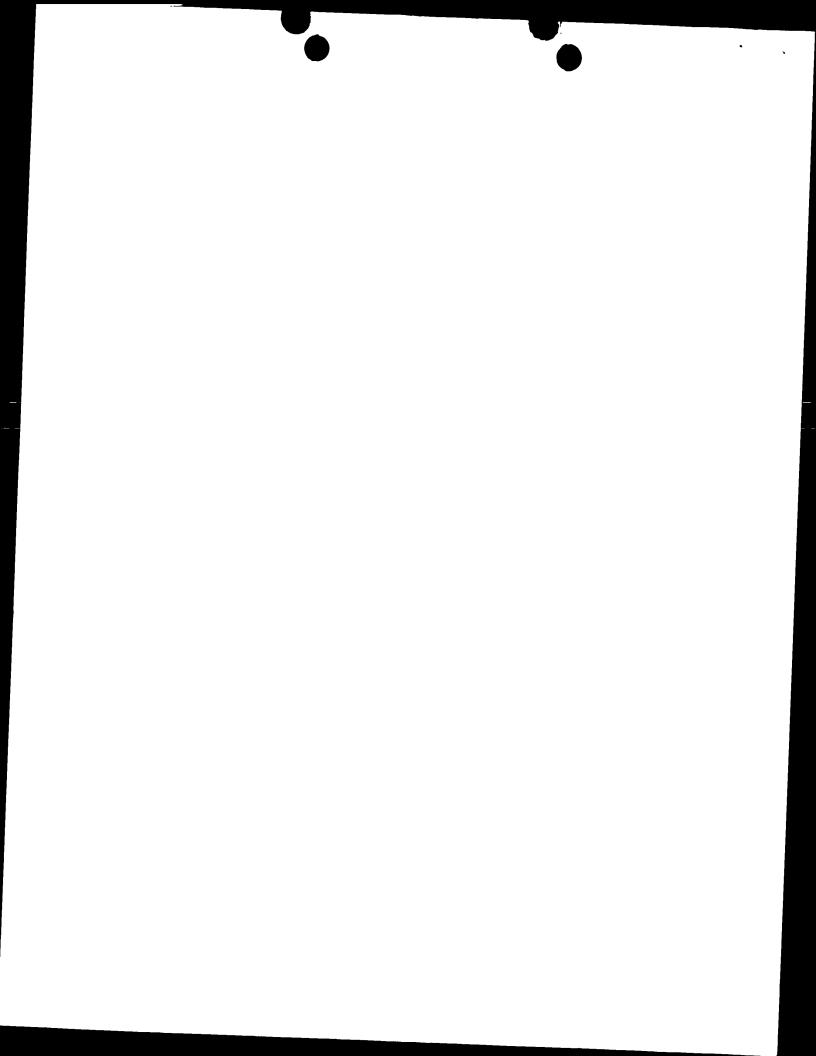
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· ·	For receiving Office use only	
	International Application No.	
)-2	International Filing Date	
)-3	Name of receiving Office and "PCT International Application"	
0-4	Form - PCT/RO/101 PCT Request	
0 <del>-4</del> 0-4-1	Prepared using	PCT-EASY Version 2.90 (updated 08.03.2000)
0-5	Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	United States Patent and Trademark Office (USPTO) (RO/US)
0-7	Applicant's or agent's file reference	ICC-211/PCT
1	Title of invention	RADIATION-CURABLE, CYANOACRYLATE-CONTAINING COMPOSITIONS
11	Applicant	•
II-1	This person is:	applicant only
11-2	Applicant for	all designated States except US
11-4	Name	LOCTITE CORPORATION
II-5	Address:	1001 Trout Brook Crossing Rocky Hill, CT 06067 United States of America
		1
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11-7	State of residence	US
11-8	Telephone No.	(860) 571-5100
11-9	Facsimile No.	(860) 571-5465
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111-1	Applicant and/or inventor	applicant and inventor
111-1-1		
III-1 <b>-</b> 2		US only
III-1- <del>4</del>	Name (LAST, First)	MISIAK, Hanns, R.
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		24 Dublin
		Ireland
III-1 <i>-</i> 6	State of nationality	DE
111-1-7	State of residence	IE



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/-1 /	Agent or common representative; or address for correspondence	
	The person identified below is	agent
- 1	hereby/has been appointed to act on	
	behalf of the applicant(s) before the	
1	competent International Authorities as:	
V-1-1	Name (LAST, First)	BAUMAN, Steven, C.
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V-1-3	Telephone No.	
IV-1-4	Facsimile No.	(860) 571-5028
IV-1-5	e-mail	steve.bauman@loctite.com
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		European Patent Convention and of the PCT  OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT
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V-2	(other kinds of protection or treatment	European Patent Convention and of the PCT  OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT  AE AG AL AM AT AU AZ BA BB BG BR BY CA CH&LI CN CR CU CZ DE DK DM DZ EE ES FI GR CD GE GH GM HR HU ID IL IN IS JP KE
V-2	Lother kinds of protection or treatment	European Patent Convention and of the PCT  OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT  AE AG AL AM AT AU AZ BA BB BG BR BY CA CHELI CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE WC KB KB KZ LC LK LR LS LT LU LV MA MD
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V-2	(other kinds of protection or treatment	European Patent Convention and of the PCT  OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT  AE AG AL AM AT AU AZ BA BB BG BR BY CA CHELI CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD AND ME NO NZ PL PT RO RU SD SE
V-2	(other kinds of protection or treatment	European Patent Convention and of the PCT  OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT  AE AG AL AM AT AU AZ BA BB BG BR BY CA CH&LI CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ
	(other kinds of protection or treatment any, are specified between parenthes after the designation(s) concerned)	European Patent Convention and of the PCT  OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT  AE AG AL AM AT AU AZ BA BB BG BR BY CA CH&LI CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
V-2 V-5	(other kinds of protection or treatment any, are specified between parenthes after the designation(s) concerned)	European Patent Convention and of the PCT  OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT  AE AG AL AM AT AU AZ BA BB BG BR BY CA CH&LI CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
	(other kinds of protection or treatment any, are specified between parenthes after the designation(s) concerned)  Precautionary Designation Statem In addition to the designations made under items V-1 V-2 and V-3, the	European Patent Convention and of the PCT  OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT  AE AG AL AM AT AU AZ BA BB BG BR BY CA CH&LI CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
	(other kinds of protection or treatment any, are specified between parenthes after the designation(s) concerned)  Precautionary Designation Statem In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9	European Patent Convention and of the PCT  OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT  AE AG AL AM AT AU AZ BA BB BG BR BY CA CH&LI CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
	(other kinds of protection or treatment any, are specified between parenthes after the designation(s) concerned)  Precautionary Designation Statem In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9 all designations which would be	European Patent Convention and of the PCT  OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT  AE AG AL AM AT AU AZ BA BB BG BR BY CA CH&LI CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
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	(other kinds of protection or treatment any, are specified between parenthes after the designation(s) concerned)  Precautionary Designation Statem In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9 all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated and the permitted under the PCT except any designation(s) of the State(s) indicated	European Patent Convention and of the PCT  OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT  AE AG AL AM AT AU AZ BA BB BG BR BY CA CH&LI CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW  Hent G(b)
	Precautionary Designation Statem In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9 all designations which would be permitted under the PCT except any designation(s) of the State(s) indicat under item V-6 below. The applicant	European Patent Convention and of the PCT  OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT  AE AG AL AM AT AU AZ BA BB BG BR BY CA CH&LI CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW  Hent G(b)
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	Precautionary Designation Statem In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9 all designations which would be permitted under the PCT except any designation(s) of the State(s) indicat under item V-6 below. The applicant declares that those additional designations are subject to confirmation that any designation which is not that any designation which is not the state of	European Patent Convention and of the PCT  OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT  AE AG AL AM AT AU AZ BA BB BG BR BY CA CH&LI CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW  Ment  O(b)  O(c)

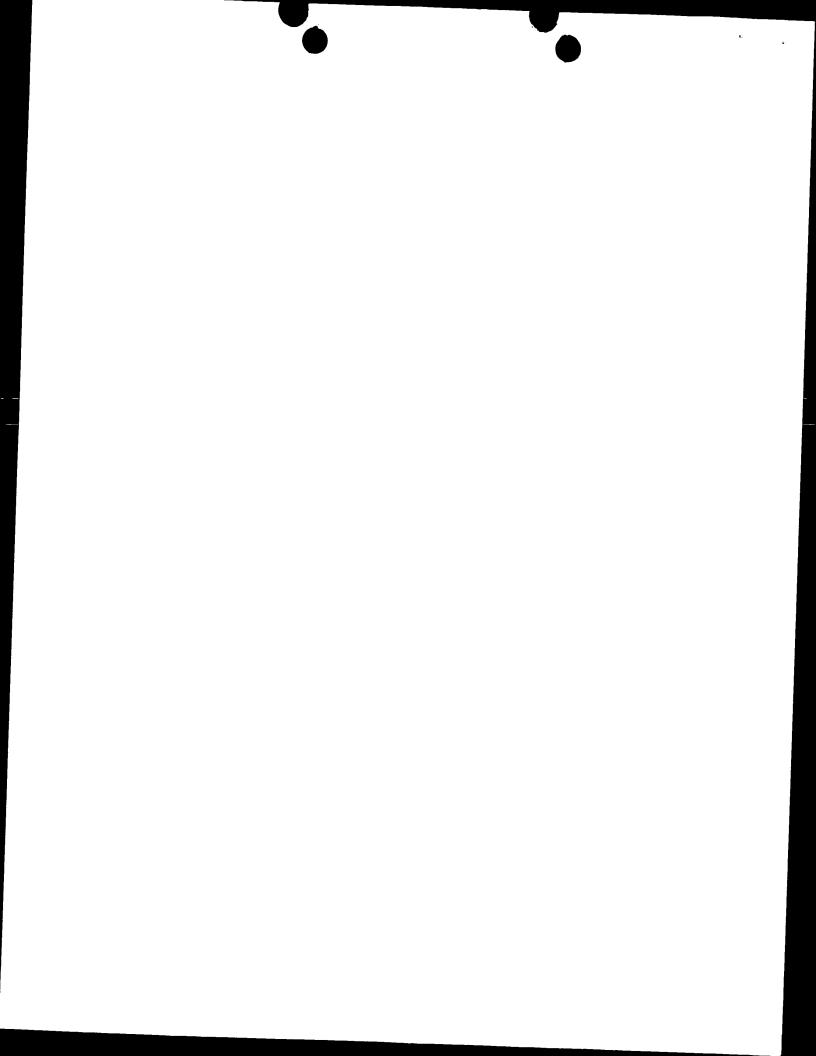


## Original (for SUBMISSION) - printed on 07.09.2000 01:22:07 PM

- 14	designations	NONE		
-1	Priority claim of earlier national application		0 09 1999)	
1-1-1	Filing date	09 September 1999 (09.09.1999)		
I-1-2	Number	60/152,945		
,	Country	US	1	
	International Searching Authority Chosen	United States Patent and Trademark Office (USPTO) (ISA/US)		
/11-2	Request to use results of earlier search; reference to that search			
/II-2-1	Date			
VII-2-2	Number Country (or regional Office)	us		
VII-2-3		number of sheets	electronic file(s) attached	
VIII	Check list	4	-	
/III-1	Request	<u> </u>	_	
VIII-2	Description	26	_	
VIII-3	Claims	4	icc-211.txt	
VIII-4	Abstract	1		
VIII-5	Drawings	0	-	
VIII-7	TOTAL	35		
	Accompanying items	paper document(s) attached	electronic file(s) attached	
VIII-8	Fee calculation sheet	<b>✓</b>		
VIII-16	PCT-EASY diskette	_	diskette	
VIII-17	Other (specified):	Return Receipt	-	
VIII-17	Other (specimes).	Postcard		
VIII-18	Figure of the drawings which should accompany the abstract			
VIII-19	application	English		
IX-1	Signature of applicant or agent	Skuu		
IX-1-1	Name (LAST, First)	BAUMAN Steven, C.		

## FOR RECEIVING OFFICE USE ONLY

10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/US
10-6	Transmittal of search copy delayed until search fee is paid	



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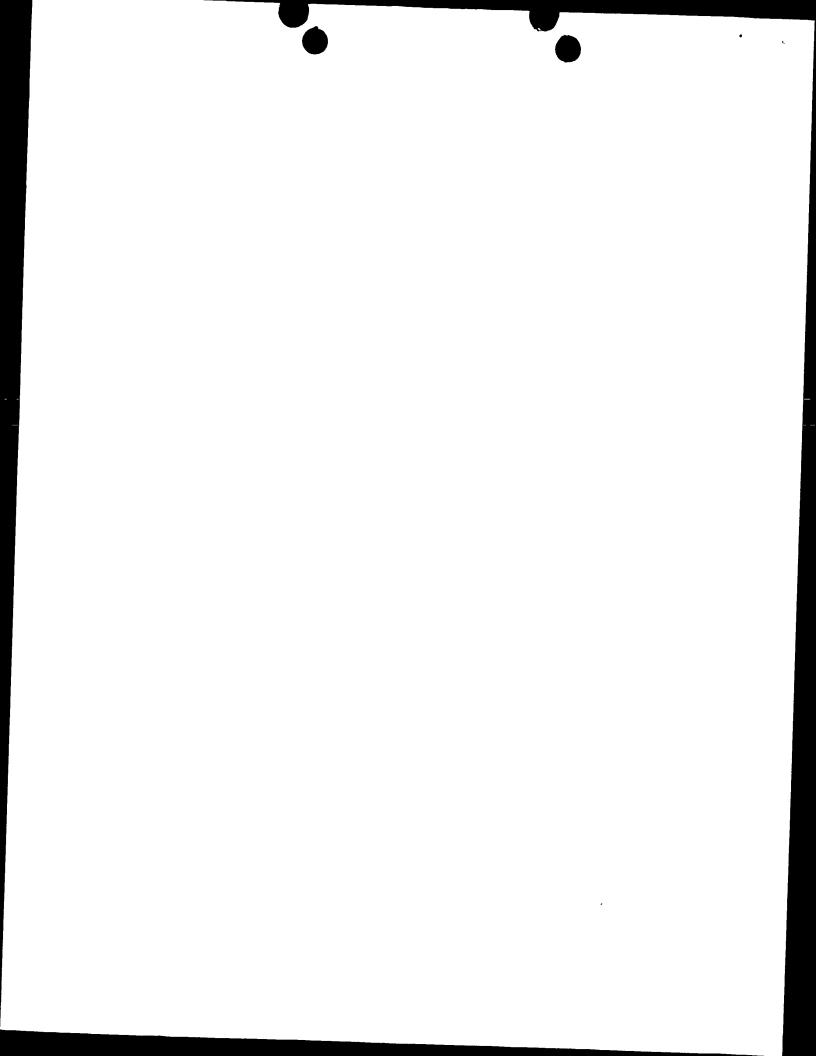
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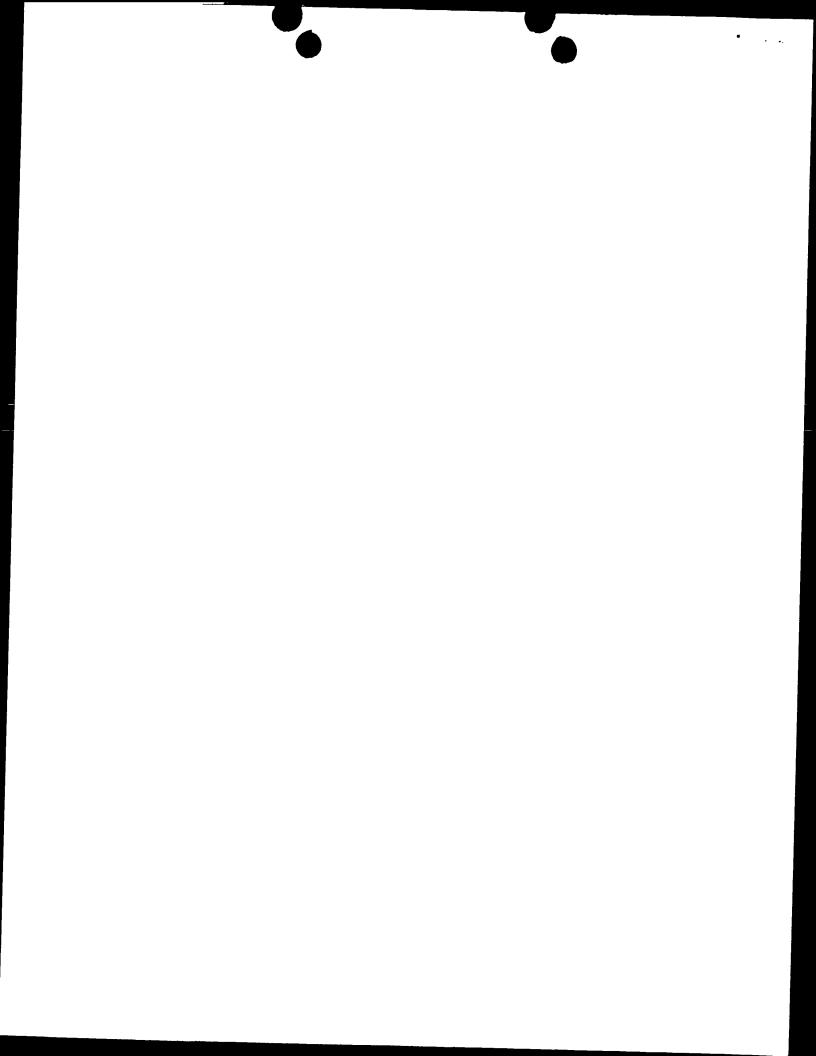
11-1	Date of receipt of the record copy by the International Bureau	
	the International Bureau	



PCT (ANNEX - FEE CALCULATION SHEET)
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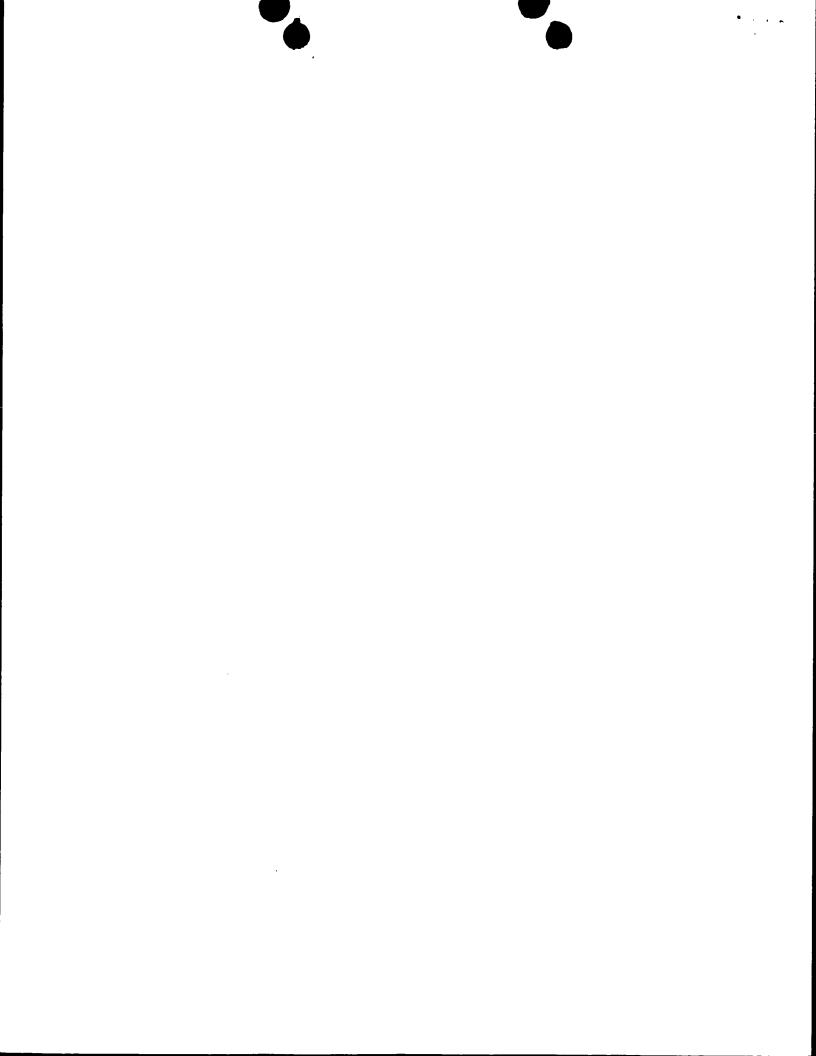
	Bureau of WIPO to my deposit account Deposit account No.	12-2135		
12-20-3	for preparation and transmittal of the			
	deficiency or credit any over-payment in the total fees indicated above to my deposit account			
	fees indicated above to my deposit account is hereby authorized to charge any			
12-20-1	is hereby authorized to charge the total	Office (USPTC	) (RO/03)	
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12-19 12-20	Deposit account instructions			
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12-13	TOTAL FEES PAYABLE (T+S+I+P)	· · · · · · · · · · · · · · · · · · ·	2,021	
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12-11	Total designation fees	736 -132	1	
12-10	Amount of designation fee (X			
	payable (maximum 8)			
12-9	in international application  Number of designation fees	8		
	Number of designations contained	85	,	
12-8	Designation fees			
12-7	b1 + b2 = B	477		
12-6	Total additional amount b2			
12-5	·	10		
12-4	Remaining sheets	5		
	(first 30 sheets) b1	427		
12-3	International fee Basic fee			
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	Calculation of prescribed fees	fee amount/multiplier	total amounts (USD)	
	Applicant	LOCTITE CORPOR		
.9	Applicant's or agent's file reference	ICC-211/PCT		
ŀ		(updated 08.03	.2000)	
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4	Form - PCT/RO/101 (Annex) PCT Fee Calculation Sheet			
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12-23	Name and signature	BAUMAN), Steven, C.
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		VALIDATION LOG AND REMARKS
13-2-6	Validation messages	Yellow!
	Contents	The power of attorney or a copy of the general power of attorney will need to
		be furnished unless all applicants sign the request form.
		Green?
		The international application contains
		no drawings. Please verify.
		Green?
		Priority 1. The priority document is not
		enclosed. (The applicant must furnish it
		within 16 months from the earliest
44.45	<u> </u>	priority date claimed)
13-2-7	Validation messages Fees	Green?
		Please confirm that fee schedule
		utilized is the latest available
13-2-8	Validation messages Payment	Green?
	rayment	Please ensure that you have a valid
		deposit account with the receiving
		Office selected.



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**PCT** 

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C08F 2/50,

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9 September 1999 (09.09.1999)

- (71) Applicant (for all designated States except US): LOC-TITE CORPORATION [US/US]; 1001 Trout Brook Crossing, Rocky Hill, CT 06067 (US).
- (75) Inventor/Applicant (for US only): MISIAK, Hanns, R. [DE/IE]; 22 Cypress Avenue, Scholarstown Road, 24 Dublin (IE).
- (74) Agent: BAUMAN, Steven, C.; Loctite Corporation, 1001 Trout Brook Crossing, Rocky Hill, CT 06067 (US).

- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, IP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: RADIATION-CURABLE, CYANOACRYLATE-CONTAINING COMPOSITIONS

(57) Abstract: A radiation-curable composition that includes a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoinitiated radical generating component, and a photoinitiator component is provided.

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# INTERNATIONAL SEARCH REPORT

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PCT/US00/24620	

	INTERNATIONAL SEARCH REPU			
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	NOT 2/50 236/12, 2/50, 4/42, COS 7/51		n and IPC	
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ording to 1	S SEARCHED 6-Wayned by C		impole)	
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DOC	Citation of document, with indication, where appropriate the control of the contr	oriate, of the r	elevant passages	Relevant to claim No.
ategory*	Citation of document, with indication, where appear			1-4, 12/5-9, 14-18
	US 4,139,388 A [REICH et al] 13 Febru	ary 1979,	abstract, coi.2,	1-4, 12/3 3, 17
	lines 47-57, Example 1, claims 1,2.			1-4, 12/5-9, 14-18
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.v.	orther documents are listed in the continuation of Box C.  Special categories of cited documents:  document defining the general state of the art which is not considered to be of particular relevance  to be document published on or after the international filing date	Set T later d date a the pr	e patent family anner occument published after the nd not in conflict with the inciple or theory underlyin ment of particular relevan- dered novel or cannot be oc- the document is taken alo	to international filing date or priority application but cited to understanding the invention cannot be specified to involve an inventive step one
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# INTERNATIONAL ARCH REPORT

Int nal application No. PCT/US00/24620

Category	mation). DOCUMENTS CONSIDERED TO BE RELEVANT	
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim
X  Y	US 5,530,037 A [MCDONELL et al] 25 June 1996, abstract, col.3, lines 60-66, col.4, 5, col.7, lines 14-16, Example 1, claims 1-3, 7, 11, 16, 17.	1-5, 7, 12, 14- 18/6, 8-11, 13,
		1-5, 7, 12, 14-
A	EP 0 274 595 A (GENERAL ELECTRIC COMPANY) 20 July 1988, entire document.	18/6, 8-11, 13, 1-19
A	US 3,940,362 A [OVERHULTS] 24 February 1976, abstract, col.2, lines 42-45, col.3, lines 15-18, 44-50, col.4, lines 55-60, col.5, lines 10-20, Examples I-XXVI claims 1.0, 10, 21, 21, 21, 21, 21, 21, 21, 21, 21, 21	1-19
Y A	lines 10-20, Examples I-XXVI, claims 1-9, 19, 21.	1-19
	US 5,824,180 A [MIKUNI et al] 20 October 1998, entire document	1-19
A	12, Table 1.	1-19  1-19

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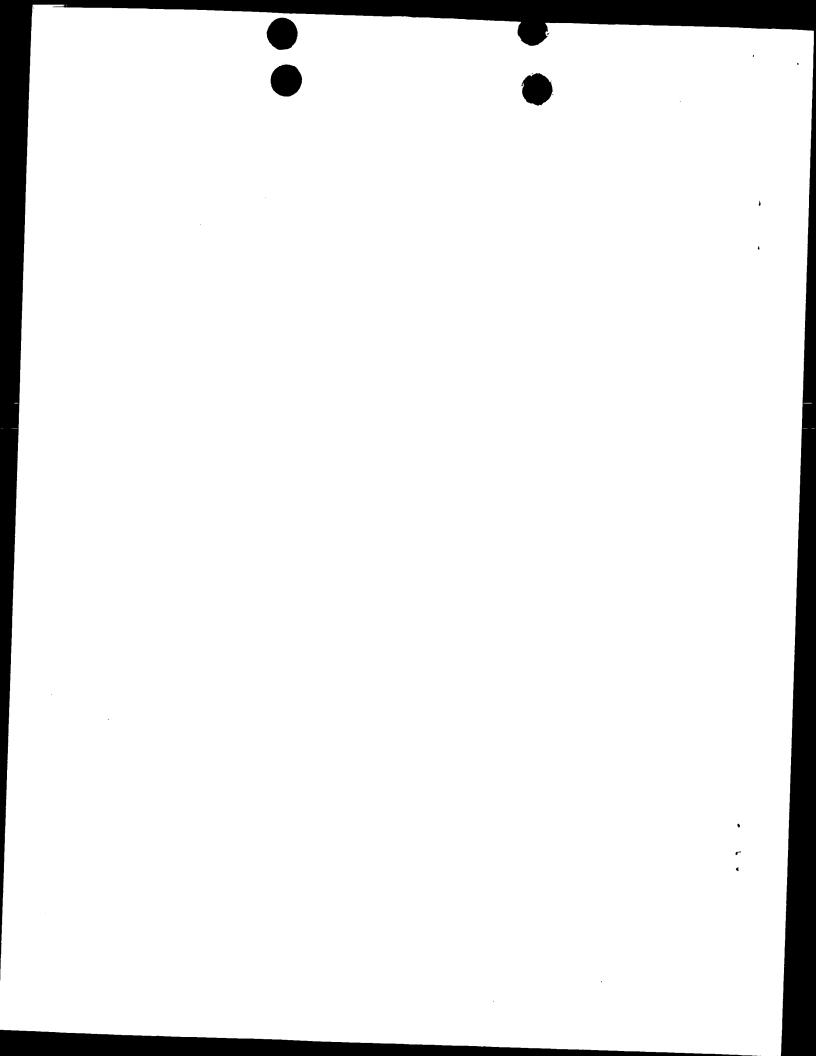
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(54) Title: RADIATION-CURABLE, CYANOACRYLATE-CONTAINING COMPOSITIONS

(57) Abstract: A radiation-curable composition that includes a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoinitiated radical generating component, and a photoinitiator component is provided.



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# RADIATION-CURABLE, CYANOACRYLATE-CONTAINING COMPOSITIONS

BACKGROUND OF THE INVENTION

### Field of the Invention

The present invention relates to radiation-curable compositions, which include a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoinitiated radical generating component and a photoinitiator component.

# Brief Description of Related Technology

Cyanoacrylates generally are quick setting materials which cure to clear, hard glassy resins, useful as sealants, coatings, and particularly adhesives for bonding together a variety of substrates [see e.g., H.V. Coover, D.W. Dreifus and J.T. O'Connor, "Cyanoacrylate Adhesives" in Handbook of Adhesives, 27, 463-77, I. Skeist, ed., Van Nostrand Reinhold, New York, 3rd ed. (1990)].

Ordinarily, upon contact with substrate materials possessing a surface nucleophile, cyanoacrylate-containing compositions spontaneously polymerize to form a cured material. The cured material exhibits excellent adhesive properties to materials such as metals, plastics, elastomers, fabrics, woods, ceramics and the like.

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Cyanoacrylate-containing compositions are thus seen as a versatile class of single-component, ambient temperature curing adhesives.

With conventional polymerizable compositions other than those containing cyanoacrylate monomers, radiation cure generally presents certain advantages over other known cure methods. Those advantages include reduced cure time, solvent elimination (which thereby reduces environmental pollution, and conserves raw materials and energy) and inducement of low thermal stressing of substrate material. Also, room temperature radiation cure prevents degradation of certain heat sensitive polymers, which may occur during a thermal cure procedure.

Radiation-curable, resin-based compositions are legion for a variety of uses in diverse industries, such as coatings, printing, electronic, medical and general engineering. Commonly, radiation-curable compositions are used for adhesives, and certain of the compositions are acrylate-based compositions.

Well-known examples of radiation-curable, acrylate-based resins include those having structural backbones of urethanes, amides, imides, ethers, hydrocarbons, esters and siloxanes. [See e.q., J.G. Woods, "Radiation-Curable Adhesives" in Radiation Curing: Science and Technology, 333-98, 371, S.P. Pappas, ed., Plenum Press, New York (1992).] The common cure mechanism for such radiation-curable, acrylate-based compositions is reported to be free-radical polymerization.

radiation-curable composition which includes a slow cure cationic polymerizable epoxide, a fast cure free radical polymerizable acrylic component and a photoinitiator. Upon exposure to radiation, the photoinitiator is said to be capable of generating a cationic species which is capable of initiating polymerization of the epoxide and a free radical species which is capable of initiating polymerization of the acrylic component. The polymerizable acrylic component

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includes monofunctional acrylates and acrylate esters, such as cyano-functionalized acrylates and acrylate esters, examples of which are expressed as 2-cyanoethyl acrylate (CH<sub>2</sub>=CHCOOCH<sub>2</sub>CH<sub>2</sub>CN) and 3-cyanopropyl acrylate (CH<sub>2</sub>=CHCOOCH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CN). (See page 5, lines 19-26.)

U.S. Patent No. 4,707,432 (Gatechair) speaks to a free radical polymerizable composition which includes (a) polymerizable partial esters of epoxy resins and acrylic and/or methacrylic, and partial esters of polyols and acrylic acid and/or methacrylic acid, and (b) a photoinitiator blend of a cyclopentadienyl iron complex and a sensitizer or photoinitiator, such as an acetophenone.

In C. Kutal, P.A. Grutsch and D.B. Yang, "A Novel Strategy for Photoinitiated Anionic Polymerization", Macromolecules, 24, 6872-73 (1991), the authors note that ethyl cyanoacrylate is "unaffected by prolonged (24-h) irradiation with light of wavelength >350 nm" whereas in the presence of NCS, cyanoacrylate is observed to solidify immediately, generating heat in the process. Though the NCS was not in that case generated as a result of irradiation, it was generated from the Reineckate anion upon ligand field excitation thereof with near-ultraviolet/visible light. See also U.S. Patent Nos. 5,652,280 (Kutal) 5,691,113 (Kutal) and 5,877,230 (Kutal).

International Patent Application PCT/US98/03819 describes photocurable compositions including a cyanoacrylate component, a metallocene component and a photoinitiator component.

European Patent Publication No. EP 769 721 Al describes a photocurable compositions of (a) an  $\alpha$ -cyanoacrylate and (b) a metallocene compound comprising a transition metal of group VII of the periodic table and aromatic electron system ligands selected from  $\pi$ -arenes, indenyl, and  $\eta$ -cyclopentadienyl. The photocurable composition may further include (c) a cleavage-type photoinitiator. U.S. Patent No. 5,814,180 (Mikuni)

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describes such compositions in the context of a method of bonding artificial nails.

Although the predominant mechanism by which cyanoacrylate monomers undergo polymerization is an anionic one (which as noted above is typically initiated using a nucleophile), free-radical polymerization is also known to occur. Such free radical polymerization is however seen as troublesome since it tends to reduce shelf-life stability under prolonged exposure to heat or light of an appropriate wavelength. See e.g., Coover et al., supra. Ordinarily, free-radical stabilizers, such as quinones or hindered phenols, are included in cyanoacrylate-containing adhesive compositions to consume free radicals that are generated by light and under typical non-airtight storage conditions, thereby extending the adhesive's shelf life. Thus, the extent of any free-radical polymerization of commercial cyanoacrylate-containing adhesive compositions is especially undesirable for at least the reason stated and in practice is typically minimal due to the inclusion of such freeradical stabilizers.

It is not believed to date that a cyanoacrylate-based adhesive composition has been developed to rapidly cure through a photoinitiated free radical mechanism, while retaining commercially acceptable shelf life stability. Such a composition component would be desirable as possessing the benefits and advantages of cyanoacrylate-containing compositions while curing through at least a photo-induced free radical polymerization mechanism.

# SUMMARY OF THE INVENTION

The present invention provides compositions which include a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoinitiated radical generating component and a photoinitiator component. Such compositions cure after exposure to radiation in the electromagnetic spectrum.

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The photocurable compositions of this invention retain those benefits and advantages of traditional cyanoacrylate-containing compositions while curing through at least a photo-induced free radical polymerization mechanism, thereby providing to the compositions (and cured reaction products formed therefrom) the benefits and advantages of curing through such a mechanism. specifically, photocurable compositions of this invention cure rapidly when used, and in so doing minimize the opportunity for undesirable blooming or crazing formation in the cured reaction product. In addition, the inventive photocurable compositions are capable of curing through larger gaps between substrate surfaces than conventional cyanoacrylates, or known photocurable cyanoacrylates. Moreover, as set forth in greater detail below, in one aspect of the invention the photocurable compositions include a non-cyanoacrylate-based radical curable component. The precense of such a component in the inventive compositions allows for the generation of copolymers and reaction products, which would not otherwise be accesible through typical anionic polymerization mechsanisms -- the predominant polymerization of cyanoacrylates.

In another aspect of the present invention, there is provided a method of polymerizing a photocurable composition by providing an amount of the composition to a desired surface and exposing the composition to radiation in an amount sufficient to effect cure thereof.

In yet another aspect of the present invention, there is provided the cured reaction product formed from a photocurable composition after exposure thereof to a curingly effective amount of radiation.

The present invention will be more readily appreciated by those persons of skill in the art based on a reading of the detailed description of the invention which follows and the examples presented thereafter for illustrative purposes.

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# DETAILED DESCRIPTION OF THE INVENTION

This invention relates to photocurable compositions, which include a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoiniated radical generating component and a photoinitiator component.

The cyanoacrylate component or cyanoacrylate-containing formulation includes cyanoacrylate monomers which may be chosen with a raft of substituents, such as those represented by H<sub>2</sub>C=C(CN)-COOR, where R is selected from C<sub>1-15</sub> alkyl, alkoxyalkyl, cycloalkyl, alkenyl, aralkyl, aryl, allyl and haloalkyl groups. Desirably, the cyanoacrylate monomer is selected from methyl cyanoacrylate, ethyl-2-cyanoacrylate, propyl-2-cyanoacrylates, such as n-propyl- or i-propyl-2-cyanoacrylate, butyl-2-cyanoacrylates, such as n-butyl- or i-butyl-2-cyanoacrylate, octyl-2-cyanoacrylates, such as n-alkyl-, 2-alkyl-2-cyanoacrylate, and the like, allyl cyanoacrylate, ß-methoxyethyl cyanoacrylate and combinations thereof. A particularly desirable cyanoacrylate monomer for use herein is ethyl-2-cyanoacrylate.

Among the different types of materials appropriate for use as the photoiniated radical generating component desirable ones share at least these common features: they possess electron withdrawing substituents present at at least one portion of the molecule, thereby rendering the portion(s) electron deficient. And when placed in contact with a photoexcitable material (such as a dye), which when exposed to radiation of an appropriate wavelength, absorbs energy sufficient to cause the radical initiator to cleave at the electron deficient portion(s) of the molecule, thereby generating free radicals.

A variety of photoiniated radical generating components are suitable for use herein, such as  $\alpha$ -haloacetophenones, azo compounds, aromatic carbonyl compounds, peroxides, hydroperoxides, and peresters. Of course, combinations of these compounds may also be used.

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More specific examples of such materials include azoisobutyronitrile ("AIBN"), 1,1'-azo-bis(cyclohexane carbonitrile) ("ABCH"), 4,4'-azo-bis(4-cyanovaleric acid) ("ABCV"), 1,1'-(azodicarbonyl)-dipiperidine ("ADCDP"), 1,1-bis(t-butylperoxy)cyclohexane ("BBPH"), 2,5-bis(t-butylperoxy)2,5-dimethylhexane ("BBPDMH"), bis[1-(t-butylperoxy)-1-methyl-ethyl]benzene ("BBPMEB"), benzoin methylether ("BME"), cumylhydroperoxide ("CHPO"), dibenzoylperoxide ("DBPO"), di-t-butylperoxide ("DTBPO"), 2,2-diethoxyacetophenone ("DEAP"), 2,2-dimethoxy-phenylacetophenone ("DMPAP"), dicumylperoxide ("DCPO"), diphenyl(2,4,6-trimethylbenzoyl)-phosphine oxide ("DPTPO"), desylchloride ("DC"), lauroylperoxide ("LPO"), t-butylperoxybenzoate ("TBPB"), and t-butylhydroperoxide ("TBPPO").

Other examples include those available commercially from Ciba Specialty Chemicals Corp., Tarrytown, New York under the "IRGACURE" and "DAROCUR" tradenames, specifically "IRGACURE" 184 (1-hydroxycyclohexyl phenyl ketone), 907 (2-methyl-1-[4-(methylthio)phenyl]-2-morpholino propan-1-one), 369 (2-benzyl-2-N,N-dimethylamino-1-(4-morpholinophenyl)-1-butanone), 500 (the combination of 1hydroxy cyclohexyl phenyl ketone and benzophenone), 651 (2,2-dimethoxy-2-phenyl acetophenone), 1700 (the combination of bis(2,6-dimethoxybenzoyl-2,4-,4-trimethyl pentyl) phosphine oxide and 2-hydroxy-2-methyl-1-phenylpropan-1-one), and 819 [bis(2,4,6-trimethyl benzoyl) phenyl phosphine oxide] and "DAROCUR" 1173 (2-hydroxy-2-methyl-1phenyl-1-propane) and 4265 (the combination of 2,4,6trimethylbenzoyldiphenyl-phosphine oxide and 2-hydroxy-2methyl-1-phenyl-propan-1-one); and the visible light [blue] photoinitiators, dl-camphorquinone and "IRGACURE" 784DC (bis  $(\eta^5-2, 4$ -cyclopentadien-1-yl)-bis [2, 6-difluoro-3-(1H-pyrrol-1-yl)phenyl]titanium).

Of course, combinations of these materials may also be employed herein.

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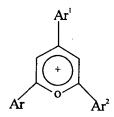
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Photoinitiators enhance the rapidity of the curing process when the radiation compositions are exposed to electromagnetic radiation. A number of photoinitiators may be employed herein, examples of which include, but are not limited to,

Photoinitiators useful herein include pyrylium-based materials having a core structure of



<u>X</u>-

where each of Ar, Ar<sup>1</sup> and Ar<sup>2</sup> are aryl groups, with or without substitution, and X<sup>-</sup> is an anion, such as halogen, hexahalophosphate, hexahaloarsenate, hexahaloantimonate, tetrahaloferrate, tetrahaloborate (e.g., fluoro, chloro, bromo and iodo), and sulfonate.

More specific representations of the pyrylium-based materials include: 2,4,6-triphenylpyrylium-tetrafluoroborate ("TPT"), 1,4-phenylene-4,4'-bis-(2,6-diphenyl-4-pyrylium-tetrafluoroborate) ("PBT"), 2,4-diphenylnaphto-(1,2-B) pyrylium-tetrafluoroborate ("DNT"), 2,4,6-triphenyl-pyrylium trifluoromethane sulfonate ("TPTS"), and 2,6-dipenyl-4(p-tolyl)-pyrylium tetrafluoroborate ("DTPT").

In the aspect of the invention where a non-cyanoacrylate-based radical curable component is included in the inventive compositions, such radical curable component may be selected from a wide variety of materials, such as alkenes or alkynes.

Of these, styrene and derivatives thereof, such as alkyl- and alkenyl-ether derivatives, (meth)acrylates, alkyl- and aryl or alkenyl acetylenes, as well as esters of vinyl alcohol (e.g., vinyl acetate), are particularly desirable.

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With respect to formulating photocurable compositions, generally the components may be introduced to one another in any convenient order. Alternatively, it may be desirable to prepare a premix of the radical initiator component and the photoinitiator component. In this way, a ready made premix of those components may be added to the cyanoacrylate component of the formulation to allow for a quick and easy one-part formulation of a photocurable composition prior to dispensing and curing thereof.

For packaging and dispensing purposes, it may be desirable for photocurable compositions in accordance with the present invention to be relatively fluid and flowable. Variations in the viscosity thereof may also be desirable in certain applications and may be readily achieved through routine changes in formulation, the precise changes being left to those persons of ordinary skill in the art.

For instance, ordinarily cyanoacrylate-containing compositions free from an added thickener or viscosity modifier are low viscosity formulations (such as in the range of 1 to 3 cps). While a composition with such a viscosity (or one whose viscosity has been modified to be up to about five times that viscosity) may be appropriate for a wicking application where a small gap exists between sustrates to be bound (e.g., less than about 0.1 mils) and/or an application where enhanced cure speed is desirable, such a viscosity may be too low for convenient use in certain industrial applications. At least for this reason, the viscosity of cyanoacrylate-containing compositions has at times been desirably modified through, for instance, the addition of polymethylmethacrylates and/or fumed silicas. See e.g., U.S. Patent Nos. 4,533,422 (Litke) and Re. 32,889 (Litke), the disclosures of each of which are hereby expressly incorporated herein by reference.

A medium viscosity formulation (such as in the range of 100 to 300 cps) may be more appropriate in applications where greater control of flowability is desirable. And a high viscosity formulation (such as in the

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range of 600 to 1000 cps) may be more appropriate in applications involving porous substrates and/or substrates with larger gaps (such as greater than about 0.5 mils).

Of course, those persons of skill in the art should make appropriate decisions regarding whether a viscosity modifier should be included in the photocurable composition, and if so which one(s) and at what level should one be included to achieve the desired viscosity for the intended applications.

In addition, it may be desirable to toughen the cured photocurable compositions of the present invention through the addition of elastomeric rubbers such as is taught by and claimed in U.S. Patent No. 4,440,910 (O'Connor), the disclosure of which is hereby expressly incorporated herein by reference. It may also be desirable to improve the hot strength of the cured photocurable compositions by addition of anhydrides, such as is taught by and claimed in U.S. Patent No. 4,450,265 (Harris) and the documents cited therein, the disclosures of each of which are hereby expressly incorporated herein by reference.

Moreover, the compositions of the present invention may be rendered into a thixotropic paste through addition of powdered organic fillers having a particle size of about 2 to 200 microns as is taught by U.S. Patent No. 4,105,715 (Gleave) or thickened by a copolymer or terpolymer resin to improve peel strength as is taught by U.S. Patent No. 4,102,945 (Gleave), the disclosures of each of which are hereby incorporated herein by reference.

Further, the compositions of the present invention may be rendered more resistant to thermal degradation at elevated temperature conditions by the inclusion of certain sulfur-containing compounds, such as sulfonates, sulfinates, sulfates, sultones and sulfites as set forth in U.S. Patent No. 5,328,944 (Attarwala), the disclosure of which is hereby expressly incorporated herein by reference. The inclusion of such compounds in the photocurable compositions of the present invention renders those compositions well-suited for

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applications in which elevated temperature conditions may be experienced, such as with potting compounds particularly where large cure through volume is present and non-tacky surfaces are desirably formed in less than about five seconds.

The inclusion of such materials to a photocurable composition in accordance with the present invention may provide a formulation having particular advantages for certain applications, and should be appealing from a safety perspective as the possibility is decreased of splashing or spilling the composition on exposed skin of the user or bystanders.

Another desirable component to include in the inventive compositions is a photosensitizer to render the composition more reactive toward exposure to electromagnetic radiation. Desirable examples of such photosensitizers include benzophenone or dyes like xanthene dyes, acridinium dyes or phenazine dyes. Inclusion of such photosensitizers often lessens the intensity and/or duration of exposure to the electromagnetic radiation used to initiate cure.

The relative amount of the various components of the photocurable compositions according to this invention is a matter of choice left to those persons of skill in the art, depending of course on the identity of the particular components chosen for a specific composition.

As a general guide, however, it is desirable to include in the photocurable compositions a phtotiniated radical generating component, such as peroxide, perester, azo compounds, benzoin derivatives (e.g., DMPAP), α-halo acetophenones (e.g., DC), acylphosphine oxides (e.g., DPTPO or related phospine oxide compounds), in an amount within the range of about 0.005% to about 4% or greater (desirably within the range of about 0.01% to about 1.5%) by weight of the total composition. It is also desirable for the compositions to include a photoinitiator component, such as substituted pyrylium salts or anthracene and derivatives thereof, e.g., substituted anthracenes, or anthraquinone or

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ketocoumarine derivatives, in an amount within the range of about 0.5% to about 10% by weight of the composition, with about 2% to about 4% or greater by weight of the total composition being desirable. The balance of the composition is composed predominantly of a cyanoacrylate component, such as ethyl-2-cyanoacrylate. Of course, the amount of all the components -- including stabilizers -- together in the composition totals 100%.

A method of curing a photocurable composition in accordance with this invention is also provided herein, the steps of which include (a) providing onto a desired surface an amount of a photocurable composition; and (b) subjecting the composition to radiation sufficient to effect cure thereof.

The amount of photocurable composition provided should be sufficient to cure and form an adequate bond to the substrate surfaces between which it is applied. For instance, application of the photocurable composition may be achieved by dispensing the composition in drop-wise fashion, or as a liquid stream, brush-applied, dipping, and the like, to form a thin film. Application of the photocurable composition may depend on the flowability or viscosity of the composition. To that end, viscosity modifiers, as noted above, may be included in the composition.

The photocurable compositions of the present invention have taken the ordinary undesirable by-product free radical reaction that compromises shelf life stability and turned it into a controlled free radical cure mechanism.

In use, such compositions are desirably readily dispensed onto a portion of a desired surface of a substrate onto which is to be bonded a portion of another substrate. The photocurable composition may be applied to certain portions of the substrate surface or over the entire surface of the substrate to be bonded, depending on the particular application.

The source of radiation emitting electromagnetic waves is selected from ultraviolet light, visible light,

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electron beam, x-rays, infrared radiation and combinations thereof. Desirably, ultraviolet light is the radiation of choice, with appropriate sources including "H", "D", "V", "X", "M" and "A" lamps, mercury arc lamps, and xenon arc lamps (such as those commercially available from Loctite Corporation, Rocky Hill, Connecticut; Fusion UV Curing Systems, Buffalo Grove, Illinois; Spectroline, Westbury, New York; or Xenon Corp., Woburn, Massachusetts; microwavegenerated ultraviolet radiation; solar power and fluorescent light sources. Any of these electromagnetic radiation sources may use in conjunction therewith reflectors and/or filters, so as to focus the emitted radiation onto a specific portion of a substrate onto which has been dispensed a photocurable composition and/or within a particular region of the electromagnetic spectrum. Similarly, the electromagnetic radiation may be generated directly in a steady fashion or in an intermittent fashion so as to minimize the degree of heat build-up. Although the electromagnetic radiation employed to cure the photocurable compositions into desired reaction products is often referred to herein as being in the ultraviolet region, that is not to say that radiation in other regions within the electromagnetic spectrum may not also be suitable. For instance, in certain situations, radiation in the visible region of the electromagnetic spectrum may also be advantageously employed, whether alone or in combination with, for instance, radiation in the ultraviolet region. course, microwave and infrared radiation may also be advantageously employed under appropriate conditions.

Higher or lower radiation intensities, greater or fewer exposures thereto and length of exposure and/or greater or lesser distances of the source of radiation to the composition may be required to complete curing, depending of course on the particular components of a chosen composition.

More specifically with respect to radiation intensity, the chosen lamp should have a power rating of at

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least about 100 watts per inch (about 40 watts per cm), with a power rating of at least about 300 watts per inch (about 120 watts per cm) being particularly desirable. Also, since the inclusion of a photoinitiator in the composition may shift the wavelength within the electromagnetic radiation spectrum at which cure occurs, it may be desirable to use a source of electromagnetic radiation whose variables (e.g., wavelength, distance, and the like) are readily adjustable.

During the curing process, the composition will be exposed to a source of electromagnetic radiation that emits an amount of energy, measured in mW/cm², determined by parameters including: the size, type and geometry of the source; the duration of the exposure to electromagnetic radiation; the intensity of the radiation (and that portion of radiation emitted within the region appropriate to effect curing); the absorbency of electromagnetic radiation by any intervening materials, such as substrates; and the distance the composition lies from the source of radiation. Those persons of skill in the art should readily appreciate that curing of the composition may be optimized by choosing appropriate values for these parameters in view of the particular components of the composition.

To effect cure, the source of electromagnetic radiation may remain stationary while the composition passes through its path. Alternatively, a substrate coated with the photocurable composition may remain stationary while the source of electromagnetic radiation passes thereover or therearound to complete the transformation from composition to reaction product. Still alternatively, both may traverse one another, or for that matter remain stationary, provided that the photocurable composition is exposed to electromagnetic radiation sufficient to effect cure.

Commercially available curing systems, such as the "ZETA" 7200 or 7400 ultraviolet curing chamber (Loctite Corporation, Rocky Hill, Connecticut), "UVALOC" 1000 (Loctite Deutschland GmbH, Münich, Germany), Fusion UV Curing Systems F-300 B (Fusion UV Curing Systems, Buffalo

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Grove, Illinois), Hanovia UV Curing System (Hanovia Corp., Newark, New Jersey), BlackLight Model B-100 (Spectroline, Westbury, New York), and RC500 A Pulsed UV Curing System (Xenon Corp., Woburn, Massachusetts), are well-suited for the purposes described herein. Also, a Sunlighter UV chamber fitted with low intensity mercury vapor lamps and a turntable may be employed herein.

The required amount of energy may be delivered by exposing the composition to a less powerful intensity of electromagnetic radiation for a longer period of time, through for example multiple passes, or alternatively, by exposing the composition to a more powerful intensity of electromagnetic radiation for a shorter period of time. addition, each of those multiple passes may occur with an intensity at different energy intensities. In any event, those persons of skill in the art should choose an appropriate intensity of electromagnetic radiation depending on the particular composition, and position the source of electronic radiation at a suitable distance therefrom which, together with the length of exposure, optimizes transformation. Also, it may be desirable to use a source of electromagnetic radiation that is delivered in an intermittent fashion, such as by pulsing or strobing, so as to ensure a thorough and complete cure without causing excessive heat build-up.

In use, a photocurable composition in accordance with the present invention may be dispensed, such as in the form of a thin film or droplet, onto a desired substrate. Substrates onto which the photocurable composition of the present invention may be applied may be chosen from a vast selection of different materials; basically, any material with which cyanoacrylates may be used is suitable as well for use herein. See supra.

Desirable choices among such materials include acrylics, epoxies, polyolefins, polycarbonates, polysulfones (e.g., polyether sulfone), polyvinyl acetates, polyamides, polyetherimides, polyimides and derivatives and co-polymers

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thereof with which may be blended or compounded traditional additives for aiding processibility or modifying the physical properties and characteristics of the material to be used as a substrate. Examples of co-polymers which may be employed as substrates include acrylonitrile-butadienestyrene, styrene-acrylonitrile cellulose, aromatic copolyesters based on terephthallic acid, p,p-dihydroxybiphenyl and p-hydroxy benzoic acid, polyalkylene (such as polybutylene or polyethylene) terephthalate, polymethyl pentene, polyphenylene oxide or sulfide, polystyrene, polyurethane, polyvinylchloride, and the like. Of course, other materials may also be employed for use herein. Particularly, desirable co-polymers include those which are capable of transmitting UV and/or visible radiation.

The composition-coated substrate may be positioned within an electromagnetic radiation curing apparatus, such as the "ZETA" 7200 ultraviolet curing chamber or the "UVALOC" 1000 ultraviolet curing chamber, equipped with an appropriate source of electromagnetic radiation, such as ultraviolet radiation, at an appropriate distance therefrom, such as within the range of about 1 to 2 inches, with about 3 inches being desirable. As noted above, the compositioncoated substrate may remain in position or may be passed thereunder at an appropriate rate, such as within the range of about 1 to about 60 seconds per foot, with about 5 seconds per foot. Such passage may occur one or more times, or as needed to effect cure of the composition on the substrate. The length of exposure may be in the range of a few seconds or less (for one time exposure) to tens of seconds or longer (for either a one time exposure or a multiple pass exposure) if desired, depending on the depth of the composition to be cured and of course on the components of the composition themselves.

A reaction product is also of course provided by the teaching of this invention. The reaction product is formed from photocurable compositions after exposure thereof

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to electromagnetic radiation sufficient to effect cure of the composition. The reaction product is formed rapidly, and ordinarily and desirably without observed formation of blooming or crazing, see infra.

The reaction product of the photocurable composition may be prepared by dispensing in low viscosity or liquid form a photocurable composition in accordance with present invention onto a substrate and mating that substrate with a second substrate to form an assembly. Thereafter, exposure to electromagnetic radiation on at least one substrate of the assembly for an appropriate period of time should transform the photocurable composition into an adhesive reaction product.

It is also within the scope of the present invention for reaction products to be prepared from a photocurable composition separately from the device, and thereafter positioned on a substrate surface with which it is to be used.

The viscosity of the photocurable composition may be controlled or modified to optimize its dispensability by, in addition to inclusion of an appropriate material to alter the viscosity thereof as noted above, adjusting the temperature of (1) the composition itself, or (2) the substrates on which the composition may be placed to assemble the device. For example, the temperature of the composition or the substrate(s) or combinations thereof may be decreased to increase the viscosity of the composition. In this way, the uniformity on the substrate of the dispensed photocurable composition may be enhanced using lamination techniques, centrifuge techniques, pressure applied from the atmosphere (such as with vacuum bagging), pressure applied from a weighted object, rollers and the like.

The substrates onto which the photocurable compositions of the present invention are intended to be dispensed may be constructed from the litany of materials recited <u>supra</u>, which may be substantially inflexible as well

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as flexible. The type of substrate chosen with respect to flexibility will of course depend on the application for which it is to be used. More specifically, the substrates may be constructed from substantially inflexible materials, such as glass, laminated glass, tempered glass, optical plastics, such as polycarbonates, acrylics and polystyrenes, and other alternatives as noted <u>supra</u>; and flexible materials, such as "MYLAR" film or polyolefin, such as polyethylene or polypropylene, tubing.

The choice of substrate material may influence the choice of processing technique used to prepare the photocurable composition into the cured reaction product or the type of device assembled. For example, when assembling a device from at least one flexible substrate, a composition may be advantageously applied to an end portion of the flexible substrate and allowed to wick along that end portion through a portion of another substrate, which is dimensioned to receive that end portion of the flexible substrate. A particular example of such an application is polyolefin tubing intended for medical applications, one end portion of which is dimensioned for receiving by an acrylic luer housing.

Since the photocurable compositions of the present invention cure to form reaction products through a photo-initiated free radical mechanism, the composition is exposed to the source of electromagnetic radiation to effect cure. The choice of substrate may affect the rate and degree at which cure occurs of the photocurable compositions of the present invention. For instance, it is desirable for the substrates to be bonded together to be substantially free of electromagnetic radiation-absorbing capabilities. That is, the greater degree of electromagnetic radiation transmitting capability the substrate possesses, the greater the rate and degree of cure of the composition, all else being equal of course.

It may be desirable to package the inventive compositions in a two part package, particularly in those

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instances where a cyanoacrylate component and a noncyanoacrylate radical curable component are present.

Blooming or crazing may be observed when compositions cure into reaction products and the cure itself is incomplete. That is, blooming refers to the evaporation of cyanoacrylate monomer (due to its relatively high vapor pressure) from uncured fillets, the result of which is formation of a precipitate on surfaces adjacent to the bond line which are also observed as a white haze. Crazing refers to the formation of stress cracks on certain synthetic materials, such as polycarbonates, acrylics and polysulfones, due in this instance to the presence thereon of cyanoacrylate monomer.

The result of incomplete curing may be observed with respect to adhesive uses of the photocurable composition as adhesive or cohesive failure of the cured composition when applied to or between substrates. Such observations may be minimized or even eliminated by using electromagnetic radiation transmitting (as contrasted to absorbing) substrates and placing the source of electromagnetic radiation at a strategic location so as to improve the degree of electromagnetic radiation to which the composition on the substrate is exposed. Similarly, additional sources of electromagnetic radiation, or as stated above reflectors which redirect onto desired portions of the substrate stray or errant electromagnetic radiation, may be employed to further enhance cure.

The compositions of the present invention minimize and often eliminate blooming and crazing in commercial applications of the compositions by curing through the photoinitiated mechanism.

In addition, the compositions of this invention provide a built-in secondary cure system (i.e., photo-initiated free radical initial in addition to the ordinary cyanoacrylate anionic initiation), which is particularly attractive in those applications where certain of the substrates which may be used in the assembly do not allow

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the transmission of light, rendering another type of adhesive (such as a dual cure acrylic adhesive) less desirable because a secondary heating step would then be required; elimination of a substrate primer step, which obviates the use of often flammable materials and invites automated processes; and improved cure through volume capabilities.

In view of the above description of the present invention, it is evident that a wide range of practical opportunities is provided by the teaching herein. Certain of those practical opportunities are exemplified below, as are many of the advantages and benefits of the present invention. However, the invention as so exemplified is for illustrative purposes only and is not to be construed in any way as limiting the broad aspects of the teaching herein provided.

#### **EXAMPLES**

#### Example 1

In this example, formulations were prepared to demonstrate the effect of one or the other of a radical initiator component and a photoinitiator component in ether to cyanoacrylate composition. The photoinitiated radical generating component chosen was dibenzoyl peroxide ("DBPO") and the photoinitiator component was 2,4,6-triphenylpyrylium tetraflouroborate ("TPT"). The formulations were prepared by mixing the appropriate components with the cyanoacrylate and thereafter dispensing a drop of the formulation onto a polycarbonate slide. The slide was then exposed to 1000 watts of mercury arc light in a "UVALOC" 1000 irradiation chamber for a period of time of about 30 seconds. The results of this example are shown below in Table 1.



Sample	DBPO	TPT	After Exposure
No.	[ppm]	[ppm]	to 1000 W light
			[30 secs]
1	0	1000	No curing
2	100	0	No curing
3	500	0	No curing
4	2500	0	No curing
5	10000	0	No curing
6	100	100	High viscous
7	100	1000	Tack-free curing
8	500	100	High viscous
9	500	1000	Tack-free curing
10	2500	100	High viscous
11	2500	1000	Cured
12	10000	100	High viscous
13	10000	1000	High viscous

The information shown in Table 1 indicates that the combination of the radical initiator component and the photoinitiator component (see Sample Nos. 6-13) enables the cyanoacrylate to cure when exposed to UV light, whereas when only one or the other of the radical initiator component or photoinititor component are present (see Sample Nos. 1-5), no curing of the cyanoacrylate occurs. It may also be seen that

vast amounts of the photoinitiated radical generating component is not necessary to observe the behavior of the inventive compositions (see Sample Nos. 6-9).

#### 15 Example 2

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In this example, a variety of radical initiator components were used in the formulation.

Again starting with ethyl-2-cyanoacrylate, and keeping the photoinitiator component constant as "TPT", a variety of different radical initiator components were used in the amounts noted to determine whether and to what extent the so-prepared formulations would cure when exposed to UV light. Table 2 below sets forth the specific identity and amounts of the radical initiator components used in the samples. Table 2 also sets forth the curing speed attained

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in seconds when one drop of the sample was placed on a glass slide (from Smiths Glassware) and exposed to 1000 W of mercury arc light and/or when one drop of the sample was placed on a glass slide and a second glass slide was positioned thereover, and thereafter exposing the single or double glass slide assembly to a Philips lamp HPR125 at 6 mW/cm<sup>2</sup> intensity or to no extra light at all.

Table 2

				Table 2		
Sample	ТРТ		dical	Cur	ing Speed [secs]	
No.	[ppm]	Inn	tiator	1000 W	2 glass	slides
		Type	[ppm]	1 glass slide	6 mW/cm <sup>2</sup>	
14	2000	DBPO	100	29	2 2	No light
15	2000	DBPO	150	28		>50
16	2000	DBPO	250	29	2	>50
17	2000	DBPO	350	31	1-2	>50
18	2000	DBPO	450	31		>50
19	2000	DBPO	600	34	1-2	>50
20	2000	DBPO	1000	35	1-2	>50
21	2000	DBPO	5000	35	<del> </del>	
22	2000	TBPB	600	35	+	
23	2000	TBPB	1000	35	<del></del>	
24	2000	TBPB	5000	35	-	
25	2000	DTBPO	400	35	<del>                                     </del>	
26	2000	DTBPO	1000	35		
27	2000	DTBPO	5000	35	+	
28	2000	DEAP	200	40	<del> </del>	
29	2000	DEAP	1000	30		<u></u>
30	2000	DEAP	5000	35	<del> </del>	
31	2000	DMPAP	200	35	<del> </del>	
32	2000	DMPAP	1000	30		
33	2000	DMPAP	5000	30	<del>                                     </del>	
34	2000	ABCH	400	33		
35	2000	ABCH	2000	40		
36	2000	BBPH	400	40		
37	2000	AIBN	100	31	<del> </del>	
.38	2000	AIBN	200	31	1	>50
39	2000	AIBN	400	29	1-2	>50
40	2000	AIBN	800	30	1-2	>50
41	2000	AIBN	2000	30	1-2	>50
42	2000	AIBN	4000	31	2-3	>50
43	4000	AIBN	2000	33	2-3	>50
44	4000	AIBN	4000	35	<u> </u>	
45	2000	DCPO	200	40		
46	2000	DCPO	1000	35		
47	2000	DCPO	5000	40 .		
48	2000	ABCV	400	55		
49	2000	ABCV	2000	55		

50	2000	ТВНРО	400	50	
51	2000	TBHPO	2000	45	
52	2000	BBPDMH	400	38	
53	2000	BBPDMH	2000	38	
54	2000	BBPDMH	400	35	
55	2000	BBPDMH	2000	40	
56	2000	ADCDP	400	22	
57	2000	ADCDP	2000	15	
58	2000	LPO	400	30	
59	2000	LPO	2000	32	
60	2000	DPTPO	400	35	
61	2000	DPTPO	2000	22	
	2000	DC	400	45	
62					
63	2000	DC	2000	55	
64	2000	СНРО	400	50	

Table 2 shows that a variety of photoinitiated radical generating components may be used to render a cyanoacrylate-containing formulation photocurable in the presence of a photoinitiator -- in this case TPT. Such photoinitiated radical generating components include, in addition to DBPO, other peroxides, peresters, azo-compounds, halo acetophenones (e.g., DC), aromatic carbonyl compounds (e.g., DMPAP and DEAP), acyl phosphine oxides (e.g., DPTPO) and related compounds.

#### Example 3

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In this example, a variety of different photoinitiator components were chosen for evaluation in an ethyl-2-cyanoacrylate formulation with DBPO as a phtoinitiated radical generating component, with one exception being AIBN in Sample No. 74. The specific photoinitiator components chosen and the amounts used are set forth below in Table 3, as well are the curing speeds of the formulations.

Table 3

Sample	No. Initiator		Photo	initiator	Curin	g Speed [secs]	<del></del>
No.					1000 W 1 slide glass		s slides
	Type	[ppm]	Type	[ppm]	]	6 mW/cm <sup>2</sup>	No light
65	DBPO	100	TPT	2000	29	2	>50
66	DBPO	150	TPT	2000	28	2	>50
67	DBPO	250	TPT	2000	29	2	>50
68	DBPO	350	TPT	2000	31	1-2	>50
69	DBPO	200	PBT	2000		25	>50
70	DBPO	200	DNT	2000		1 1	10
71	DBPO	200	DNT	5000	45	1-2	40
72	DBPO	200	TPTS	2000		4	45
73	DBPO	200	TPTS	5000	40	7 2	>50
74	AIBN	500	DTPT	2000	30	2	>50
75	DBPO	250	DTPT	2000	35	2	>50
76	DBPO	250			>60	>30	
77	DBPO	200	CPPP	2000	>50	1 20 1	>30
78	DBPO	200	CPPP	3000	>50	>50	>50
79	DBPO	200	DMPT	2000	>50	>50	>50
80	DBPO	200	DMPPC	2000	- 30	35	
81	DBPO	200	DMPPC	5000	40		
82	DBPO	200	BHNT	2000	70	20	20
83	DBPO	200	BHNT	3000	40	>50	
84	DBPO	200	DFPT	2000	#0	45	
85	DBPO	200	DFPT	5000	40	>50	
86	DBPO	250	CBMOC	2000	40	>50	
87	DBPO	250	ADCN		>60	>50	>50
	וטומכו	230	ADCN	2000	>60	>50	>50

#### Example 4

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In this example, a side-by-side evaluation of a cyanoacrylate composition (one with TPT at a 2000 ppm level and DBPO at a 200 ppm level) curing in the presence of air and in the presence of a nitrogen atmosphere demonstrated that the presence of oxygen inhibits the ability of the composition to cure.

In addition, cyanoacrylate compositions with TPT as a photoinitiator component at 1500 ppm and varied levels of DBPO as a radical initiator component, where the amount

was varied, were cured to illustrate that these compositions

cure through a free radical initiated mechanism.

In Table 4a below, the effect is shown of increasing the level of DBPO on the cure speed of a composition (Sample No. 65) on a polycarbonate slide when exposed to mercury arc light at an intensity of 1000 W.

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Increasing the level of DBPO shows an increase in the time required to achieve a tack free surface from the formulation.

radical generating component may indicate the radical nature of the photocuring effect. That is, at a low concentration, the decay of the photoinitiated radical generating component, e.g., DBPO, triggers the growth of macroradicals; at a higher concentration a part of the DBPO may also quench chain propagation.

Table 4a

DBPO [ppm]	Cure speed @ 1000 W
100	30
200	25
300	20
400	30
800	45

In Table 4b below, a composition within the scope of this invention (Sample No. 88) was prepared and evaluated for tack free time. A composition having the same radical initiator component and the same photoinitiator component in the same amounts (Sample No. 89) was also prepared, to which was added 2000 ppm of hydroquinone. Hydroquione is a known free radical scavenger. In the table it may be seen that the introduction of the hdroquinone vastly retards, if not completely impedes within a reasonable time period, the ability of the composition to cure when exposed to UV light. This data indicates that the inventive compositions cure through a radical initiated mechanism.

Table 4b

Sample No.	DBPO [ppm]	TPT [ppm]	Tack-free time @ 1000 W [secs]	
88	200	2000	26	
89	200 2000		>60	

#### Example 5

In this example, a non-cyanoacrylate radical curable component was included with the cyanoacrylate to illustrate the ability of the so-formed formulations to cure when exposed to appropriate radiation in the electromagnetic spectrum. The identity of such components, the percent by weight added and the speed of cure are set forth below in Table 5.

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Table 5

Sample No.	Non-Cyanoacrylate Ra Curable Componen	Curing speed	
	Туре	Amt[%]	[secs]
90			6
91	Styrene	25	6
92	Styrene	50	
93	Styrene	75	8
94	Tetrahydrofurfuryl	25	16 40
	methacrylate	_	40
95	Tetrahydrofurfuryl	50	32
	methacrylate	"	32
96	Isodecyl methacrylate	25	15
97	Phenylacetylene	50	
98	2-Phenylethyl	50	18
	methacrylate	30	34
99	Trimethylphenyl	50	36
	acrylate	١ ٠	20
100	Pentaerythritol	50	12
	tetraacrylate	55	12

### Example 6

In this example, a variety of photoinitiated radical generating components were added to ethyl-2-cyanoacrylate in various amounts, with and without 2500 ppm of TPT as a photoinitiator, to determine the cure speed after exposure to UV light at an intensity of 1000 W in an irradiation chamber ("UVALOC 1000", Loctite Deutschland GmbH).

More specifically, Sample Nos. 102, 104, 106, 108, 110, 112, 114, 116, 118, and 120 contained TPT.

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	Photo	Curing				
Sample No.	AIBN	LUCIRIN TPO	IRGACURE 184	IRGACURE 651	IRGACURE 907	Time [secs]
101	500	<u> </u>				>60
102	500					34
103	20000					*
104	20000					42
105		1500				*
106		1500				26
107		20000				*
108		20000				13
109			1500			*
110			1500		ļ	45
111			20000			*
112			20000			>60
113				1500		*
114				1500		50
115				20000	<u> </u>	*
116			<u> </u>	20000	<del> </del>	>60
117					1500	>60
118			<u> </u>		1500	4
119					20000	15
120				<u> </u>	20000	3

<sup>\*</sup> No curing observed; sample evaporates before curing.

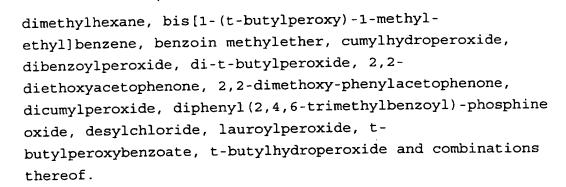
While the present invention has been exemplified as shown above, it is clear that variations are also intended to be within the spirit and scope of the present invention and may be practiced in accordance herewith, with only routine, rather than undue, experimentation. Any variations and equivalents should provide suitable, if not comparable results, when viewed in connection with the results obtained from the above examples. Accordingly, such variations and equivalents are also intended to be encompassed by the claims.

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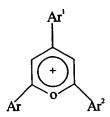
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### What Is Claimed Is:

- 1. A photocurable composition comprising:
  - (a) a 2-cyanoacrylate component,
  - (b) a photoinitiated radical generating component, and
    - (c) a photoinitiator component.
- 2. The composition according to Claim 1, wherein the cyanoacrylate component includes a cyanoacrylate monomer represented by  $H_2C=C(CN)-COOR$ , wherein R is selected from the group consisting of  $C_{1-15}$  alkyl, alkoxyalkyl, cycloalkyl, alkenyl, aralkyl, aryl, allyl and haloalkyl groups.
- 3. The composition according to Claim 2, wherein the cyanoacrylate monomer is selected from the group consisting of methyl cyanoacrylates, ethyl-2-cyanoacrylate, propyl-2-cyanoacrylates, butyl-2-cyanoacrylates, octyl-2-cyanoacrylates, allyl cyanoacrylate, ß-methoxyethyl cyanoacrylates, and combinations thereof.
- 4. The composition according to Claim 2, wherein the cyanoacrylate monomer is ethyl-2-cyanoacrylate.
- 5. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of  $\alpha$ -haloacetophenones, azo compounds, aromatic carbonyl compounds, peroxides, hydroperoxides, peresters, azoisobutyronitrile, and combinations thereof.
- 6. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of 1,1'-azo-bis(cyclohexanecarbonitrile), 4,4'-azo-bis(4-cyanovaleric acid), 1,1'-(azodicarbonyl)-dipiperidine, 1,1-bis(t-butylperoxy)cyclohexane, 2,5-bis(t-butylperoxy)-2,4-



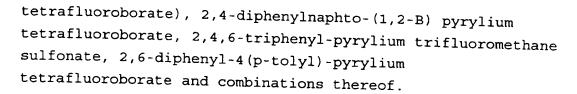
- 7. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials 1-hydroxycyclohexyl phenyl ketone, 2-methyl-1-[4-(methylthio)phenyl]-2-morpholino propan-1-one, benzophenone, 2-benzyl-2-N,N'-dimethylamino-1-(4-morpholinophenyl)-1-butanone, 2,2-dimethoxy-2-phenyl acetophenone, bis(2,6-dimethoxybenzoyl-2,4-, trimethyl pentyl phosphine oxide, 2-hydroxy-2-methyl-1-phenyl-1-phenyl-propan-1-one, 2-hydroxy-2-methyl-1-phenyl-1-propane, 2,4,6-trimethylbenzoyldiphenyl-phosphine oxide, 2-hydroxy 2-methyl-1-phenyl-propan-1-one, and combinations thereof.
- 8. The composition according to Claim 1, wherein the photoinitiator component is selected from the group consisting of within the following structure:



X-

wherein each of Ar, Ar<sup>1</sup> and Ar<sup>2</sup> are aryl groups, with or without substitution, and X is an anion.

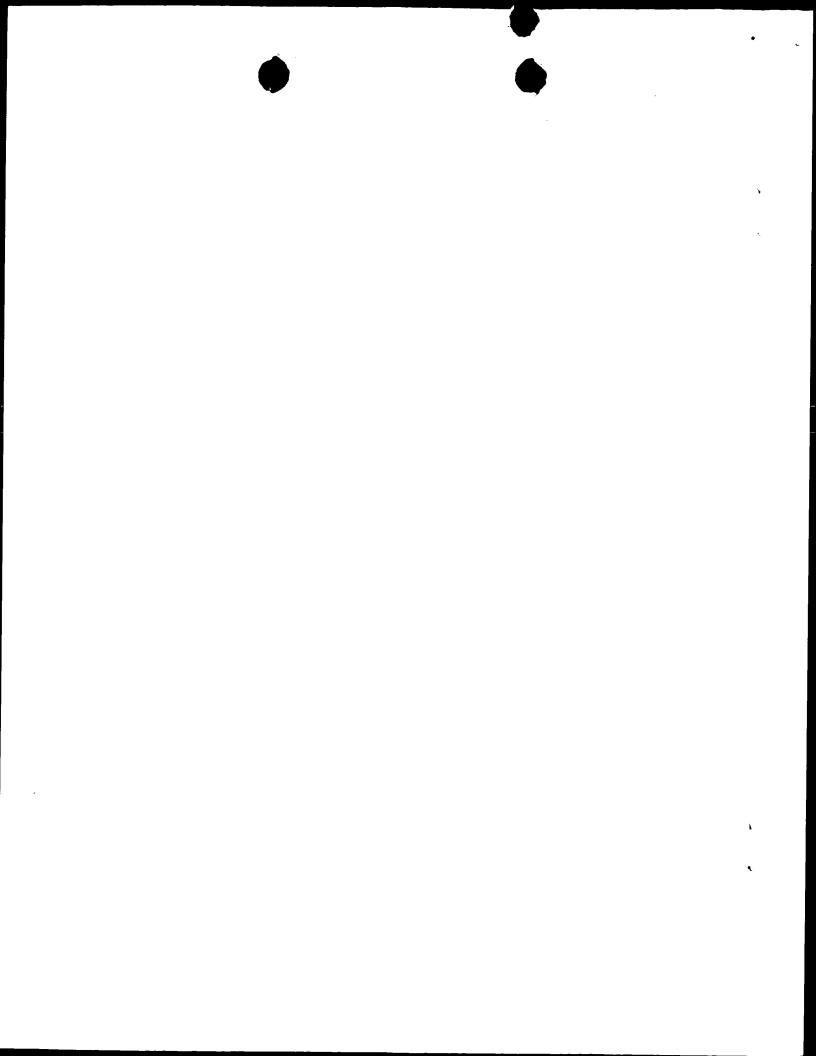
9. The composition according to Claim 1, wherein the photoinitiator component is selected from the group consisting of 2,4,6-triphenylpyrylium tetrafluoroborate, 1,4-phenylene-4,4'-bis-(2,6-diphenyl-4-pyrylium



- 10. The composition according to Claim 1, further comprising (d) a non-cyanoacrylate radical curable component.
- 11. The composition according to Claim 8, wherein the non-cyanoacrylate radical curable component is a member selected from the group consisting of styrene and derivatives thereof, (meth) acrylates, and combinations thereof.
- 12. The composition according to any one of Claims 1-11, wherein radiation in the electromagnetic spectrums appropriate for photocuring the composition is selected from the group consisting of ultraviolet light, visible light, electron beam, x-rays, infrared radiation and combinations thereof.
- 13. The composition according to any one of Claims 1-11, further comprising a member selected from the group consisting of viscosity-modifying agents, rubber toughening agents, thixotropy conferring agents, thermal-stabilizing agents, and combinations thereof.
- 14. The composition according to any one of Claims 1-11, wherein the composition is useful as an adhesive, a sealant or a coating.
- 15. A method of polymerizing a photocurable composition, said method comprising the steps of:
- (a) providing an amount of the photocurable composition according to any one of Claims 1-14; and



- (b) subjecting the composition to radiation in the electromagnetic spectrum effective to cure the composition.
- 16. The composition according to any one of Claims 1-14 in a two-part formulation.
- 17. The composition according to any one of Claims 1-14 in a one-part formulation.
- 18. A composition comprising a reaction product formed from the composition according to any one of Claims 1-14 after exposure to radiation in the electromagnetic spectrum.
- 19. The composition according to any one of Claims 1-14, for use in the manufacture of articles having porous substrates and/or substrates with gaps greater than about 0.5 mils therebetween.



### INTERNATIONAL SEARCH REPORT

International application No.
P 00/24620

IPC(7) :	SIFICATION OF SUBJECT MATTER  C08F 2/50, 236/12, 2/50, 4/42; CO9D 3/80; C08J 7/0 526/172, 298, 170, 171; 522/18, 19, 20, 25,28, 29, 10 International Patent Classification (IPC) or to both 1	73.				
	ocumentation searched (classification system followed	by classification symbols)				
U.S. :	526/172, 298, 170, 171; 522/18, 19, 20, 25,28, 29, 1	73.				
Documentat	ion searched other than minimum documentation to the	extent that such documents are included	in the fields searched			
	ata base consulted during the international search (nat	me of data base and, where practicable,	search terms used)			
C. DOC	UMENTS CONSIDERED TO BE RELEVANT					
Category*	Citation of document, with indication, where app	propriate, of the relevant passages	Relevant to claim No.			
X	US 4,139,388 A [REICH et al] 13 Fel	bruary 1979, abstract, col.2,	1-4, 12/5-9, 14-18			
Y	lines 47-57, Example 1, claims 1,2.		1-4, 12/5-9, 14-18			
Y	US 5,922,783 A [WOJCIAK] 13 July 1 21, 54-64, col.4, lines 41-67, col.5, lin lines 2220-34, 42-50, col.7, 43-53, Ex	es 1-24, 42-45, 53-60, col.6,	1-19			
X Furth	ner documents are listed in the continuation of Box C.	See patent family annex.				
"A" do	ecial categories of cited documents: cument defining the general state of the art which is not considered	"T" later document published after the integrated date and not in conflict with the applic principle or theory underlying the inv	ation but cited to understand the			
"E" ca	be of particular relevance  rlier document published on or after the international filing date  current which may throw doubts on priority claim(s) or which is	"X" document of particular relevance; the considered novel or cannot be conside when the document is taken alone				
cit	ed to establish the publication date of another citation or other ecial reason (as specified)	"Y" document of particular relevance; the considered to it volve an inventive	step when the document is			
-P" do	neument referring to an oral disclosure, use, exhibition or other means the mean published prior to the international filing date but later than the priority date claimed	combined with one or more other such being obvious to a person skilled in to document member of the same patent	he art			
	actual completion of the international search	Date of mailing of the international ser 0 2 JAN 20				
Name and Commission Box PCT	mailing address of the ISA/US oner of Patents and Trademarks	Authorized officer  TANYA ZALUKAEVA PAR	DEBORAH THOMAS DIA FOR I SOFT THE TOTAL SOFT THE TO			
	in, D.C. 20231 No (703) 305-3230	Telephone No. (703) 308-8819				

## INTERNATIONAL SEARCH REPORT

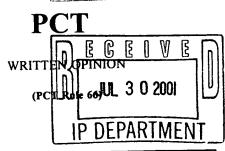
Trational application No.

·····		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
X  Y	US 5,530,037 A [MCDONELL et al] 25 June 1996, abstract, col.3, lines 60-66, col.4, 5, col.7, lines 14-16, Example 1, claims 1-3, 7, 11, 16, 17.	1-5, 7, 12, 14- 18/6, 8-11, 13, 1  1-5, 7, 12, 14- 18/6, 8-11, 13, 1
A	EP 0 274 595 A (GENERAL ELECTRIC COMPANY) 20 July 1988, entire document.	1-19
A  Y	US 3,940,362 A [OVERHULTS] 24 February 1976, abstract, col.2, lines 42-45, col.3, lines 15-18, 44-50, col.4, lines 55-60, col.5, lines 10-20, Examples I-XXVI, claims 1-9, 19, 21.	1-19  1-19
<b>A</b>	US 5,824,180 A [MIKUNI et al] 20 October 1998, entire document	1-19
A  r	EP 0 769 721 A (THREE BOND CO., LTD) 23 April 1997, abstract, page 3, page 10, 19-59, page 11, lines 1-5, 40-46, page 12, Table 1.	1-19  1-19
	·	

	E OUNCELLE
1	RY:
	COMMENTS:
1	

From the			
INTERNATIONAL	PRELIMINARY	EXAMINING	AUTHORITY

To:	STEVEN C. BAUMAN
	LOCTITE CORPORATION
	1001 TROUT BROOK CROSSING
	ROCKY HILL CT 06067



Date of Mailing (day/month/year)

REPLY DUE

26 JUL 2001

within TWO months from the above date of mailing

Applicant's or agent's file reference

ICC-211/PCT

PCT/US00/24620

International filing date (day/month/year)

Priority date (day/month/year)

International application No.

08 SEPTEMBER 2000

09 SEPTEMBER 1999

International Patent Classification (IPC) or both national classification and IPC Please See Supplemental Sheet.

Applicant

LOCTITE CORPORATION

1.	This written o	pinion is the first (first, etc.) dra	wn by this International Pre	iminary Examining Authority.			
2.	This opinion contains indications relating to the following items:						
	I X	Basis of the opinion	•				
	11	Priority					
	III Non-establishment of opinion with regard to novelty, inventive step or industrial applicability						
	ıv 🗌	<b>↓ ↓</b>					
	V X Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement						
	VI 🗌	Certain documents cited					
	VII	Certain defects in the international application		المنتف المنافقة المنا			
	VIII X Certain observations on the international application						
3. The applicant is hereby invited to reply to this opinion.							
When? See the time limit indicated above. The applicant may, before the expiration of that time limit, request Authority to grant an extension, see Rule 66.2(d).							
	How? By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3. For the form and the language of the amendments, see Rules 66.8 and 66.9.						
	Also  For an additional opportunity to submit amendments, see Rule 66.4.  For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 bis.  For an informal communication with the examiner, see Rule 66.6.						
If no reply is filed, the international preliminary examination report will be established on the basis of this opinion.							
4	4. The final date by which the international preliminary examination report must be established according to Rule 69.2 is: 09 JANUARY 2002						
Name and mailing address of the IPEA/US  Authorized officer  DEBORAH THOMAS  DARAI EGAL SPECIALIST							
Name and mailing address of the IPEA/US  Authorized officer  PARALEGAL SPECIALIST  PARALEGAL SPECIALIST							

TANYA ZALUKAEVA

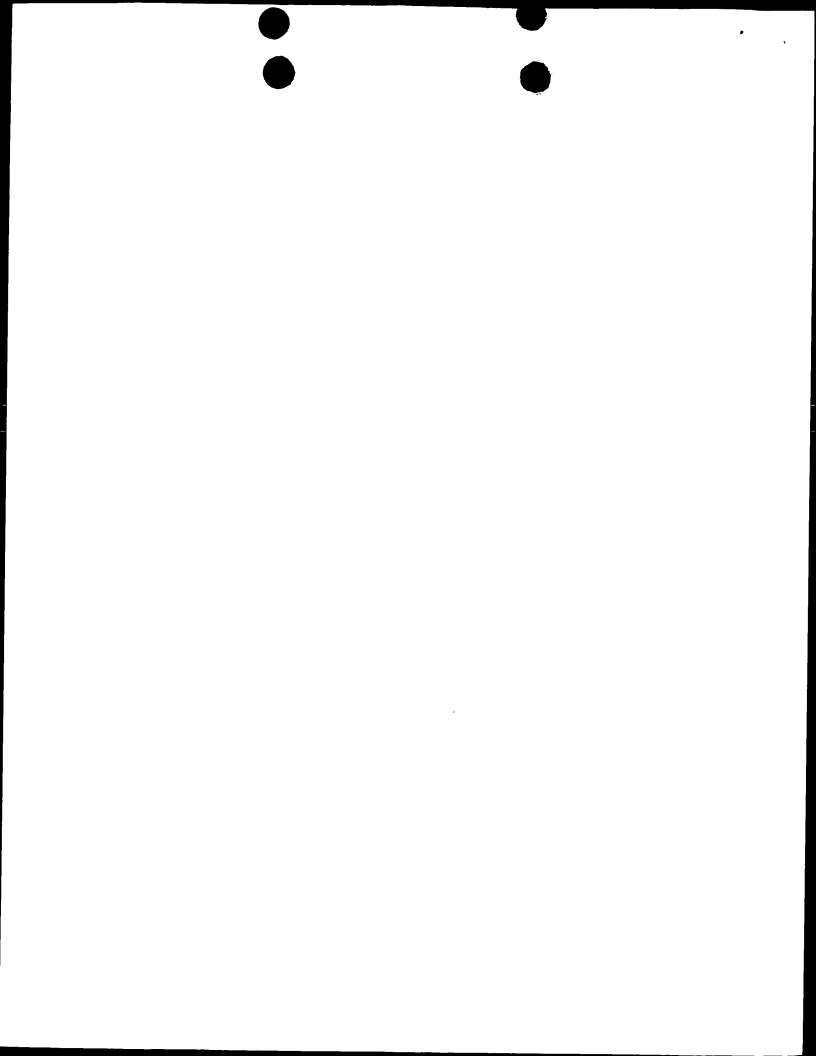
Telephone No.

(703) 308-8819

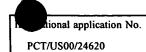
Box PCT Washington, D.C. 20231

Facsimile No. (703) 305-3230

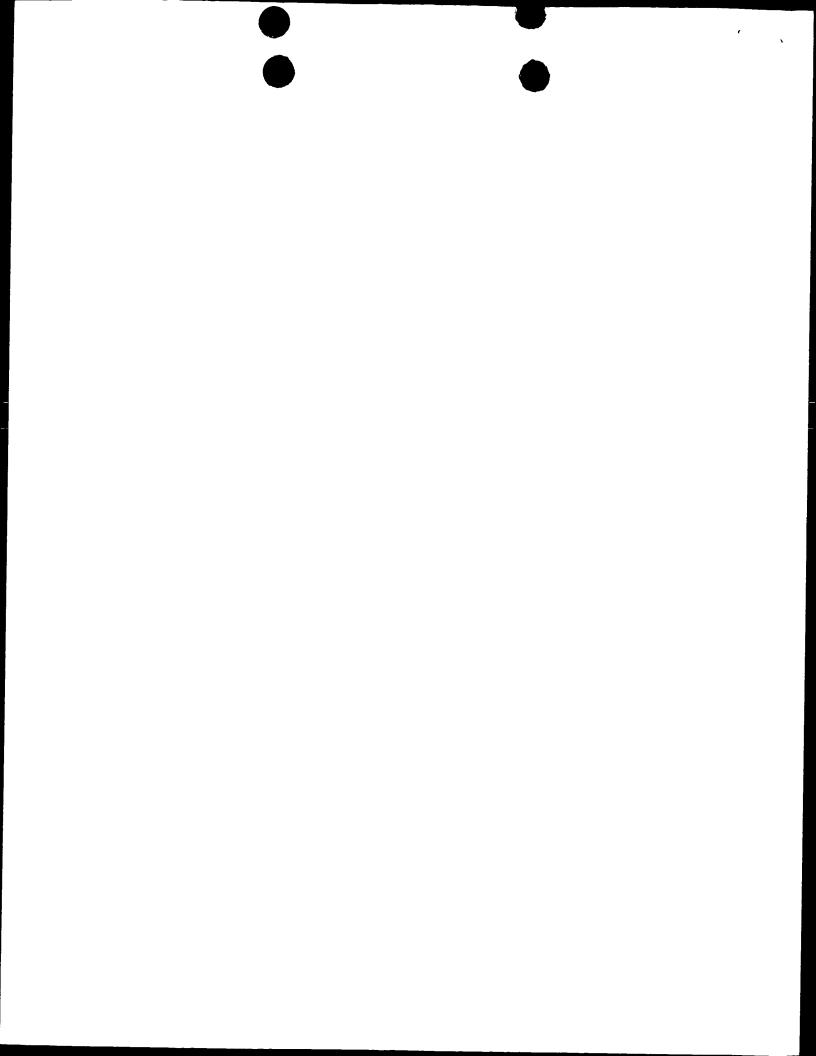
Commissioner of Patents and Trademarks







	VIII. Certain observations on the international application  The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:  Claims 13-19 are objected to under PCT Rule 66.2(a)(v) as lacking clarity under PCT Article 6 because the claims 13-19 are indefinite for the following reason(s): Multiple dependent claim cannot depend on other multiple dependent claim(s). Accordingly claims 13-19 have not been further treated on the merits.		
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PCT/US00/24620

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

TIME LIMIT:

The time limit set for response to a Written Opinion may not be extended. 37 CFR 1.484(d). Any response received after the expiration of the time limit set in the Written Opinion will not be considered in preparing the International Preliminary Examination Report.

#### CLASSIFICATION:

The International Patent Classification (IPC) and/or the National classification are as listed below: IPC(7): C08F 2/50, 236/12, 2/50, 4/42; C09J 7/04 and US Cl.: 526/172, 298, 170, 171; 522/18, 19, 20, 25,28, 29, 173.

V. 2. REASONED STATEMENTS - CITATIONS AND EXPLANATIONS (Continued): iso-hexyl, n-heptyl, iso-heptyl, n-octyl, n-nonyl, allyl, methoxyethyl, ethoxyethyl, 3-methoxybutyl and methoxyisopropyl cyanoacrylate esters (col. 4, lines 61-67).

One of preferred antioxidants is butyl hydroxy toluene (BHT, or 4-methyl-2,6-di-tert-butylphenol Other anti-oxidants which may be used include methyl hydroquinone, catechol, tert-butyl hydroquinone, 4-tert-butoxyphenol, 4-ethoxyphenol, 3-methoxyphenol, 2-tert-butyl-4-methoxyphenol, and

2,2-methylene-bis-(4-methyl-6-tert-butylphenol).

Anionic (acid) stabilizers for cyanoacrylate adhesives include Sulphur Dioxide, Sulphonic Acids, Sulphuric Acid, Sulphur Trioxide, Phosphorous Acids, Carboxylic Acids, Picric Acid, Boron Trifluoride, etc. (col. 5, lines 35-66, col. 6, lines 1-5.

Claims 5-9 lack an inventive step under PCT Article 33(3) as being obvious over REICH et al. REICH discloses the composition as instantly claimed, with the present of an initiator, but does not specifically disclose the nature of initiators used. However, one skilled in the art would have been reasonably motivated to utilize conventionally applicable intiators with the reasonable expectation of success.

Claims 6, 8-11 an inventive step under PCT Article 33(3) as being obvious over McDONNELL. McDONNELL discloses a cyanoacrylate adhesives as instantly claimed, however does not disclose the same photoinitiators, as per instant claims 6, 8-11. Because the poly; ymerization of MCDONNEL takes place under gammairradiation, one skilled in the art would have found it obvious to introduce imitators capable of producing radicals under irradiation with very short wavelength, which are mostly the instantly claimed compounds, and thus to arrive at the instant claims.

Claims 1-9 lack an inventive step under PCT Article 33(3) as being obvious over WOJCIAK (U.S. 5,922,783). WOJCIAK discloses radiation-curable composition which includes a cyanoacrylate component or a cyanoacrylate-containing formulation, a metallocene component and a polymerizingly effective amount of a photoinitiator to accelerate the rate of cure (abstract).

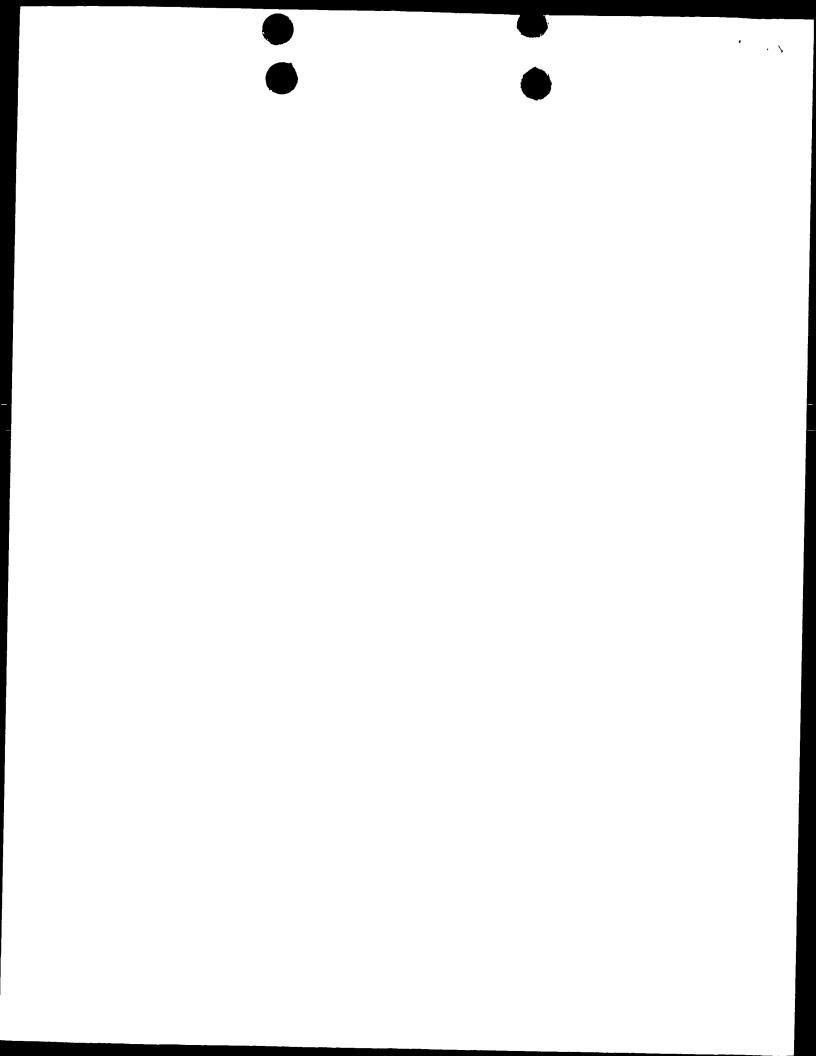
The cyanoacrylate monomer is selected from methyl cyanoacrylate, 2-ethyl cyanoacrylate, 2-propyl cyanoacrylate, 2-butyl cyanoacrylate, 2-octyl cyanoacrylate, allyl cyanoacrylate, beta-methoxyethyl cyanoacrylate and combination thereof. A particularly desirable cyanoacrylate monomer for use herein is 2-ethyl cyanoacrylate (col. 3, lines 54-66).

A number of photoinitiators are employed by WOJCIAK Such materials include, but are not limited to, photoinitiators available commercially from Ciba-Geigy Corp., under the "IRGACURE" and "DAROCUR" tradenames, specifically "IRGACURE" 184 (1-hydroxycyclohexyl phenyl ketone), 907 (2-methyl-1-[4-(methylthio)phenyl]-2-morpholino propan-1-one),

369(2-benzyl-2-N,N-dimethylamino-1-(4-morpholinophenyl)-1-butanone), 500 (the combination of 1-hydroxy cyclohexyl phenyl ketone and benzophenone), 651 (2,2-dimethoxy-2-phenyl acetophenone), 1700 (the combination of bis(2,6-dimethoxybenzoyl-2,4-,4-trimethyl pentyl phosphine oxide and 2-hydroxy-2-methyl-1-phenyl-propan-1-one) and

"DAROCUR" 1173 (2-hydroxy-2-methyl-1phenyl-1-propane) and 4265 (the combination of

2,4,6trimethylbenzoyldiphenyl-phosphine oxide and 2-hydroxy 2-methyl-1-phenyl-propan-1-one); photoinitiators vailable commercially from Union Carbide Chemicals and Plastics Co. Inc., Danbury, Conn. under the "CYRACURE". The







Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 11

list of photoinitiators, which clearly overlaps with the instantly claimed photoinitiators, is presented in col. 4, lines 41-67, col.5, lines 1-24.

Although the predominant mechanism by which cyanoacrylate monomers undergo polymerization is an anionic one, free-radical polymerization is also known to occur in this regard under prolonged exposure to heat or light of an appropriate wavelength. See e.g., Coover et al., supra. Ordinarily, however, free-radical stabilizers, such as quinones or hindered phenols, are included in cyanoacrylate-containing adhesive formulations to extend their shelf life. Thus Example 1, col. 10, 11 teaches the following:

A photocurable composition in accordance with the present invention was prepared from about 95.9 grams of 2-ethyl cyanoacrylate, about 0.1 grams of ferrocene and about 4 grams of "DAROCUR" 1173 as a photoinitiator. Typically, commercially available cyanoacrylate-containing compositions (such as "PRISM" Adhesive 4061, commercially available from Loctite Corporation, Rocky Hill, Conn.) are stabilized against free-radical formation by the addition of an acidic material, such as boron trifluoride or methane sulfonic acid. In this example, therefore, the ethyl cyanoacrylate contained about 20 ppm of boron trifluoride as an acid anionic stabilizer.

Although not all the groups of photoinitiators are disclosed by WOJCIAK, he motivates one skilled in the art to utilize stabilizers, comprising complexes of boron tetrafluoride and stabilizers against free radical formation as instantly claimed.

Claims 112 meet the criteria set out in PCT Article 33(4) for industrial applicability, the compositions as claimed are used as cyanoacrylate adhesives.

NEW CITATIONS ------NONE

The demand must be filed directly with the competent International Examining Authority or, if two or more Authorities are competent, with the one chosen by the applicant. The full nature or two-letter code of that Authority may be indicated by indicated by IPEA/ US

# **PCT**

CHAPTER II

#### **DEMAND**

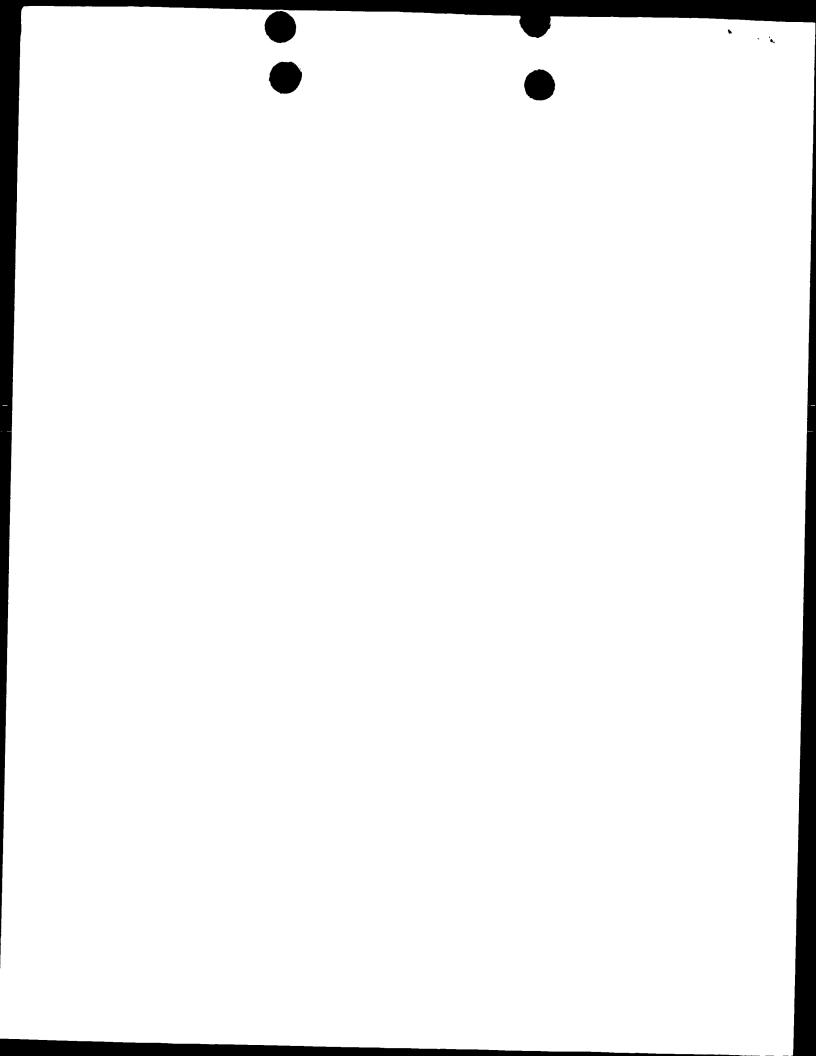
Under Article 31 of the Patent Cooperation Treaty:

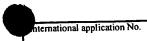
The undersigned requests that the international application specified below be the subject of International preliminary examination according to the Patent Cooperation Treaty and hereby elects all eligible States (except where otherwise indicated).

For Interna	ntional Preliminary Ex	camining Authority	use only
<del></del> _	1		·
Identification of IPEA		Date of receipt of I	
Identification of it 211			Applicant's or agent's file reference
Box No. I IDENTIFICATION OF TH	IE INTERNATION	AL APPLICATIO	N ICC-211/PCT
International application No.	International filing date	(day/month/year)	(Earliest) Priority date (day/month/year)
PCT/US00/24620	N. C.	MBER 2000 09.00)	09 SEPTEMBER 1999 (09.09.99)
Title of invention			THE CONTROLL TONS
RADIATION-CURAB	LE, CYANOACRY	LATE-CONTAIN	ING COMPOSITIONS
Box No. II APPLICANT(S)			
Name and address: (Family name followed by given	name; for a legal entity, full	official designation.	Telephone No.:
The address must include post	al code and name of country.)		860.571.5000
LOCTITE CORPORAT	ION		Facsimile No.:
1001 Trout Brook Crossin			860.571.5465
Rocky Hill, Connecticut	06067		Teleprinter No.:
US			
		State (that is, country)	of residence:
State (that is, country) of handhards			US
US	n name: for a legal entity full	official designation. The ac	idress must include postal code and name of country.)
Name and address: (Family name followed by give	n name, jor a tegai ciany, jun	- J.	
MISIAK, Hanns R.			
22 Cypress Avenue/	Scholarstown Road		
24 Dublin			
IRELAND			
		State (that is, country	) of residence:
State (that is, country) of nationality:			IE
Name and address: (Family name followed by giv	en name; for a legal entity, ful	l official designation. The a	ddress must include postal code and name of country.)
Name and address. (2 mm)			
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	continuation sheet		
Further applicants are indicated on a	Continuation sheet.		

Form PCT/IPEA/401 (first sheet) (July 1998; reprint July 1999)

See Notes to the demand form





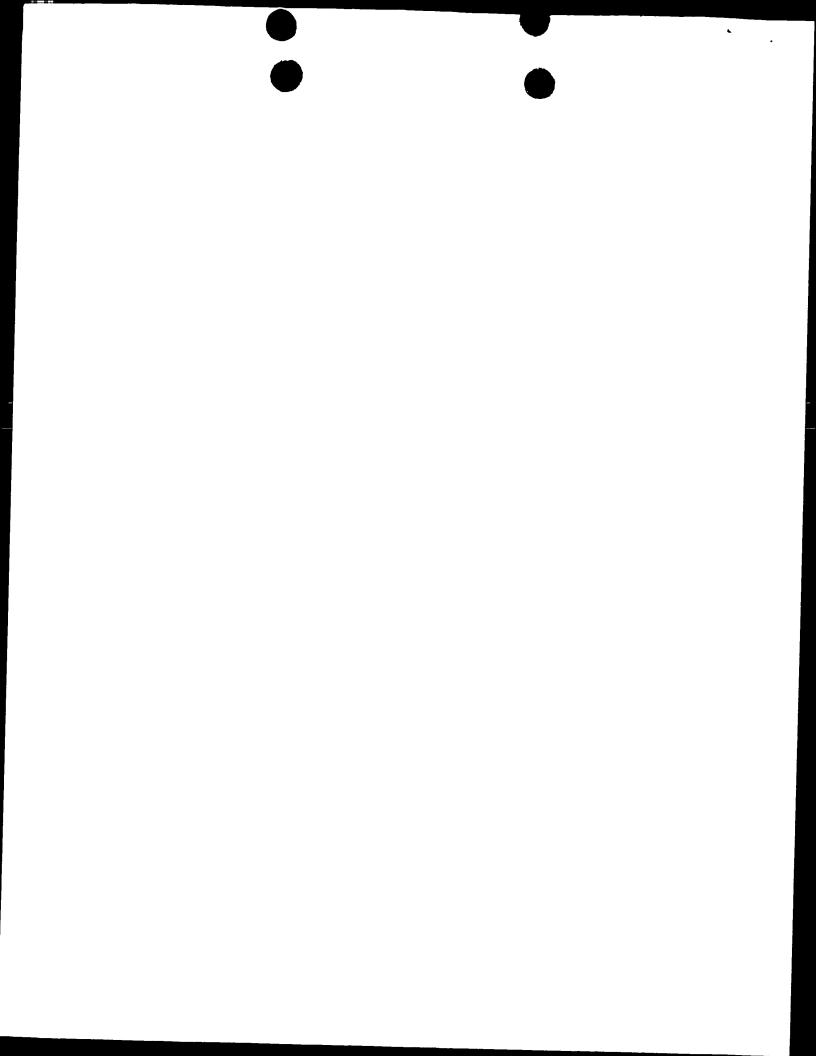
Sheet No. 2

PCT/US00/24620

he foll	owing person is	X Agent		common representative	
ınd	X has been a	appointed earlier and represents the a	pplicant(s) als	so for international prelimina	ry examination.
	is hereby	annointed and any earlier appointme	nt of (an) agei	nt(s)/common representative	is hereby revoked.
	is bereby	appointed, specifically for the proceed (s)/common representative appointed	dure before the	e International Preliminary E	examining Authority, in addition to
		mily name followed by given name; for a	legal entity, full	official designation.	Telephone No.:
Name a	and address: (Fa	mily name jollowed by given hame, jor a c e address must include postal code and no	me of country.)		860.571-5001
	RAUN	MAN, Steven C.			Facsimile No.:
	LOC	TITE CORPORATION			860.571.5028
	1001	Frout Brook Crossing			Teleprinter No.:
	Rocky	Hill, Connecticut 06067			
	US			no agent or common repres	entative is/has been appointed and the space ent.
	Address above is	for correspondence: Mark this che used instead to indicate a special add	dress to which	correspondence should be s	ent.
Box 3	No. IIV BAS	IS FOR INTERNATIONAL	PRELIM	INARY EXAMINAT	TION
Stater	nent concerning	amendments:*			
1.	The applicant wis	hes the international preliminary exa	mination to st	art on the basis of:	
_	X the internation	onal application as originally filed			'
	the description	X as originally filed			
		as amended under Art	ticle 34		
	the claims	X as originally filed			
as amended under Article 19 (together with any accompanying statement)		statement)			
		as amended under Ar			
	the drawings	X as originally filed as amended under A			
2. [	The applica	ant wishes any amendment to the claim	ims under Arti	icle 19 to be considered reve	rsed.
3. [	priority da notice fron	te unless the International Prefimina in the applicant that he does not wish under Article 19 has not yet expired.)	to make such	amendments (Rule 69.1(d)	oned until the expiration of 20 months from the of any amendments made under Article 19 or a (This check-box may be marked only where the
	where a copy of the International report, as so am	amendments to the claims under All Preliminary Examining Authority bended.	pefore it has be	egun to draw up a written op	the international application as originally filed or, tional application under Article 34 are received by binion or the international preliminary examination
Lan	guage for the pu	rposes of international preliminar	y examinatio		
	W which is the	he language in which the internations	al application '	was med.	
	which is t	he language of a translation furnished	d for the purpo	oses of international search.	
1	<b>=</b>		ernational app	lication.	I preliminary examination
	which is t	he language of the translation (to be)	furnished for	the purposes of internationa	i preminiary examination.
Во	x No. V ELE	CCTION OF STATES			I Class Harda DCT
I I	e applicant hereby	elects all eligible States (that is, all	States which	have been designated and w	hich are bound by Chapter II of the PCT)
The				elect:	

Form PCT/IPEA/401 (second sheet) (July 1998; reprint July 1999)

See Notes to the demand form



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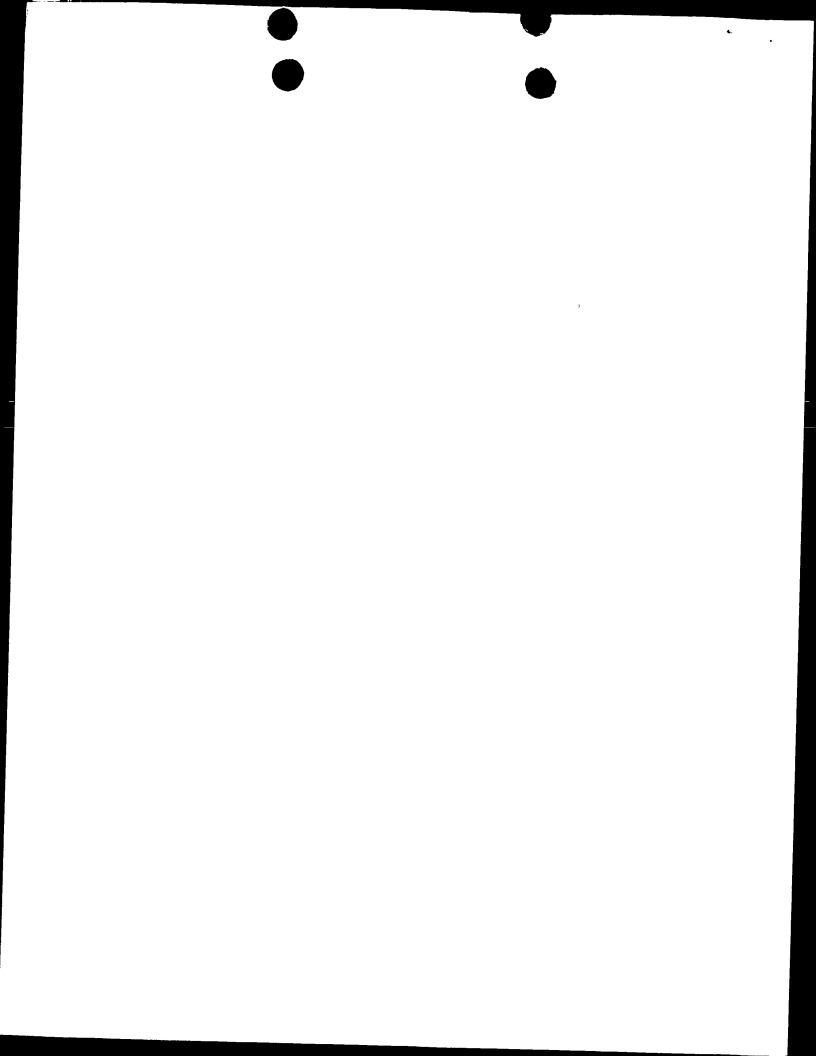
nternational application No.

PCT/US00/24620

ox No. VI CHECK LIST			
he demand is accompanied by the following elements, in the langua ox No. IV, for the purposes of international preliminary examination	ge referred to in n:	For International Examining Authorized	al Preliminary nority use only not received
translation of international application	sheets		
A Luci A milata 24	sheets		
amendments under Article 34	· sheets		
copy (or, where required, translation) of amendments under Article 19	· sheets		
. copy (or, where required, translation) of statement under Article 19	; 31000		
i. letter	: sheets		-
	: sheets		
other (specify)			
The demand is also accompanied by the item(s) marked below:		tutur task of cionoture	
. X fee calculation sheet	<u> </u>	ining lack of signature	ting in computer
2. separate signed power of attorney	readable form	or amino acid sequence lis	
copy of general power of attorney; reference number, if any:	6. X other (specify):	RETURN RECEIPT POS	SICARD
Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the demand).  BAUMAN, Steven C., Agent (Registration No. 33,832)			
For International Prel  1. Date of actual receipt of DEMAND:	liminary Examining Auth	ority use only	
Adjusted date of receipt of demand due to CORRECTIONS	under Rule 60.1(b):		
3. The date of receipt of the demand is AFTER the expir from the priority date and item 4 or 5, below, does not	тарргу.	The applicant has been informed accordingly.	
4 The date of receipt of the demand is WITHIN the peri			
5. Although the date of receipt of the demand is after the pursuant to Rule 82.	e expiration of 19 months from t	he priority date, the delay	in arrival is EXCUSED
For I	International Bureau use only		
Demand received from IPEA on:			

Form PCT/IPEA/401 (last sheet) (July 1998; reprint July 1999)

See Notes to the demand form







### FEE CALCULATION SHEET

# Annex to the Demand for international preliminary examination

	For International Preliminary Examining Authority use only
International application No. PCT/US00/24620	Date stamp of the IPEA
Applicant's or agent's file reference ICC-211 PCT	
LOCTITE CORPORATION MISIAK, Hanns, R.	
Calculation of prescribed fees	
Preliminary examination fee	490.00 P
2. Handling fee (Applicants from certain States are entitled to a reduction of 75% of the handling fee. Where the applicant is (or all applicants are) so entitled, the amount to be entered at H is 25% of the handling fee.)	137.00 H
Total of prescribed fees     Add the amounts entered at P and H     and enter total in the TOTAL box	627.00 TOTAL
Mode of Payment  X authorization to charge deposit account with the IPEA (see below)  Cheque  postal money order  bank draft	Cash Revenue stamps Coupons Other (specify):
	the total fees indicated above to my deposit account.  If a conditions for deposit accounts of the IPEA so permit) is hereby tiency or credit any overpayment in the total fees indicated above to my deposit account.
12-2135  Deposit Account Number  Date (day/n	28 March 2001 Signature Steven C. Bauman

Form PCT/IPEA/401 (Annex) (January 1996; reprint January 1998)

See Notes to the fee calculation sheet

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# **PCT**



### CHAPTER II



### FEE CALCULATION SHEET

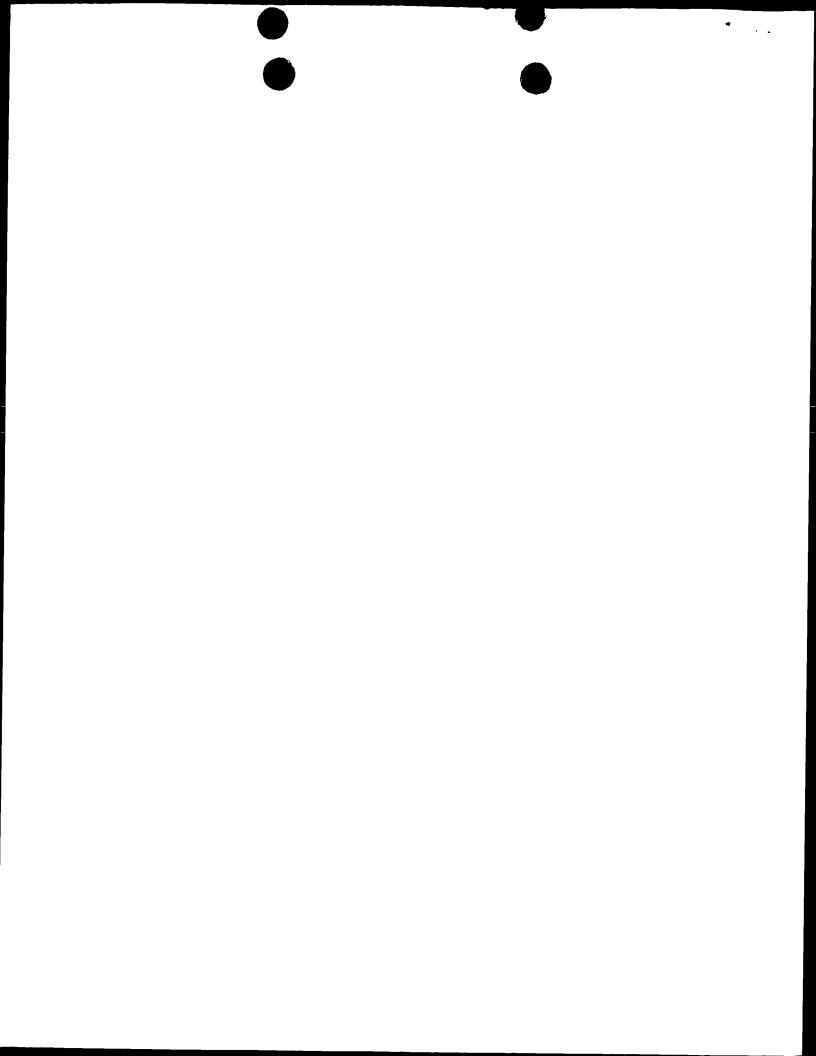
Annex to the Demand for international preliminary examination

International application No.	PCT/US00/24620	Date stamp of the IPEA
Applicant's or a file reference	ICC-211 PCT	Date stamp of the H EA
Applicant	LOCTITE CORPORATION MISIAK, Hanns, R.	
Calculation of	prescribed fees	
1. Prelimina	ary examination fee	490.00 P
entitled t Where th entitled	g fee (Applicants from certain States are o a reduction of 75% of the handling fee. we applicant is (or all applicants are) so the amount to be entered at H is 25% of the fee.)	137.00 H
Add the	prescribed fees amounts entered at P and H r total in the TOTAL box	627.00 TOTAL
X ac	thorization to charge deposit count with the IPEA (see below) neque estal money order unk draft	Cash  Revenue stamps  Coupons  Other (specify):
Deposit Acco	(this check-box may be marked authorized to charge any defic	the total fees indicated above to my deposit account.  d only if the conditions for deposit accounts of the IPEA so permit) is hereby ciency or credit any overpayment in the total fees indicated above to my deposit account.
Deposit	12-2135 Account Number Date (day/n	28 March 2001  Signature Steven C. Bauman
1		· · · ·

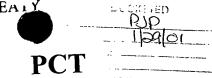
Form PCT/IPEA/401 (Annex) (January 1996; reprint January 1998)

See Notes to the fee calculation sheet



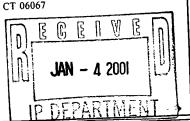


CATENT COOPERATION TREALY



KCHING AUTHORITY From the INTERNATIONAL

STEVEN C. BAUMAN LOCTITE CORPORATION 1001 TROUT BROOK CROSSING ROCKY HILL CT 06067



NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION

(PCT Rule 44.1)

Date of Mailing (day/month/year)

02 JAN 2001

I IP DEPARTMENT	(day/month/yeur) 0 2 JAN ZUU]
IF VECANING	
Applicant's or agent's file reference	FOR FURTHER ACTION See paragraphs 1 and 4 below
ICC-211/PCT	. gr. 144
International application No.	International filing date (day/month/year) 08 SEPTEMBER 2000
PCT/US00/24620	
Applicant LOCTITE CORPORATION	

1 [X]	The applicant is hereby notified that the international search report has been established and is transmitted herewith.
٠. [٨]	Filing of amendments and statement under Article 19:
	When? The time limit for filing such amendments is normally 2 months from the date of transmittal of the international search report; however, for more details, see the notes on the accompanying sheet.
	Where? Directly to the International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35
	For more detailed instructions, see the notes on the accompanying sheet.
2.	The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.
3.	With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:  the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.  no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. Further action(s): The applicant is reminded of the following:

Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in rules 90 bis 1 and 90 bis 3, respectively, before the completion of the technical preparations for international publication.

Within 19 months from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

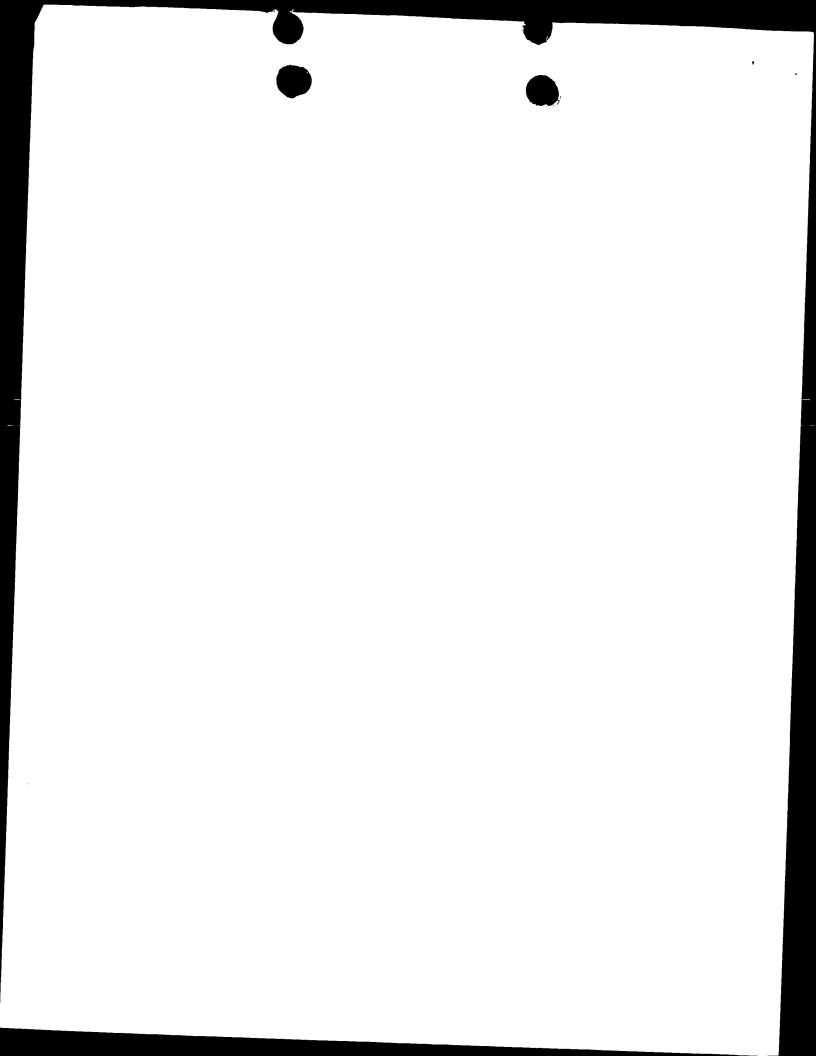
Within 20 months from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Authorized officer TANYA ZALUKAEVA

**DEBORAH THOMAS** PARALEGAL SPECIALIST

Washington, D.C. 20231 Facsimile No. (703) 305-3230

(703) 308-8819 Telephone No.



PATENT COOPERATION TREALY

CHING AUTHORITY From the INTERNATIONAL STEVEN C. BAUMAN LOCTITE CORPORATION 1001 TROUT BROOK CROSSING NOTIFICATION OF TRANSMITTAL OF **ROCKY HILL CT 06067** THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION JAN - 4 2001 (PCT Rule 44.1) 02 JAN 2001 Date of Mailing (day/month/year) Applicant's or agent's file reference See paragraphs 1 and 4 below FOR FURTHER ACTION ICC-211/PCT International filing date (day/month/year) International application No. 08 SEPTEMBER 2000 PCT/US00/24620 Applicant LOCTITE CORPORATION The applicant is hereby notified that the international search report has been established and is transmitted herewith. 1. X Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46): The time limit for filing such amendments is normally 2 months from the date of transmittal of the international search report; however, for more details, see the notes on the accompanying sheet. Where? Directly to the International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35 For more detailed instructions, see the notes on the accompanying sheet. The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith. With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that: the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices. no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made. 4. Further action(s): The applicant is reminded of the following: Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in rules 90 bis 1 and 90 bis 3, respectively, before the completion of the technical preparations for international publication. Within 19 months from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later). Within 20 months from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority

Name and mailing address of the ISA/US Commissioner of Patents and Trademarks

TANYA ZALUKAEVA

Authorized officer

Telephone No.

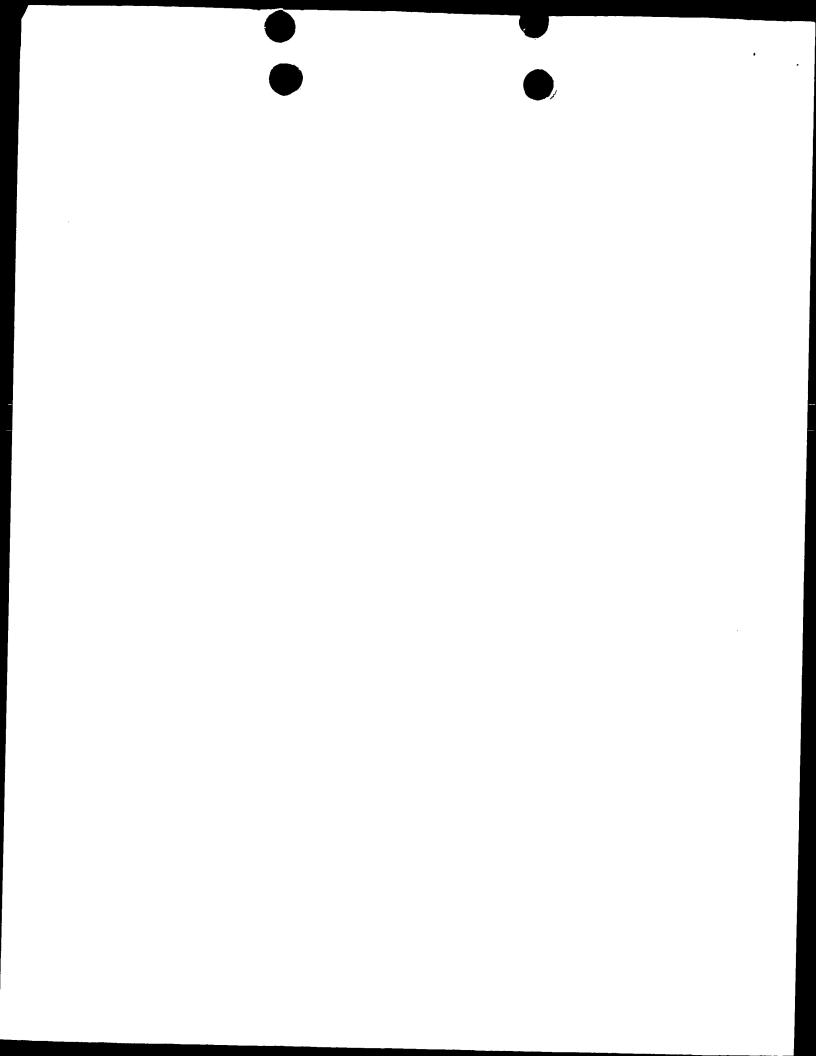
**DEBORAH THOMAS** 

Washington, D.C. 20231 Facsimile No. (703) 305-3230

PARALEGAL SPECIALIST

date or could not be elected because they are not bound by Chapter II.

(703) 308-8819



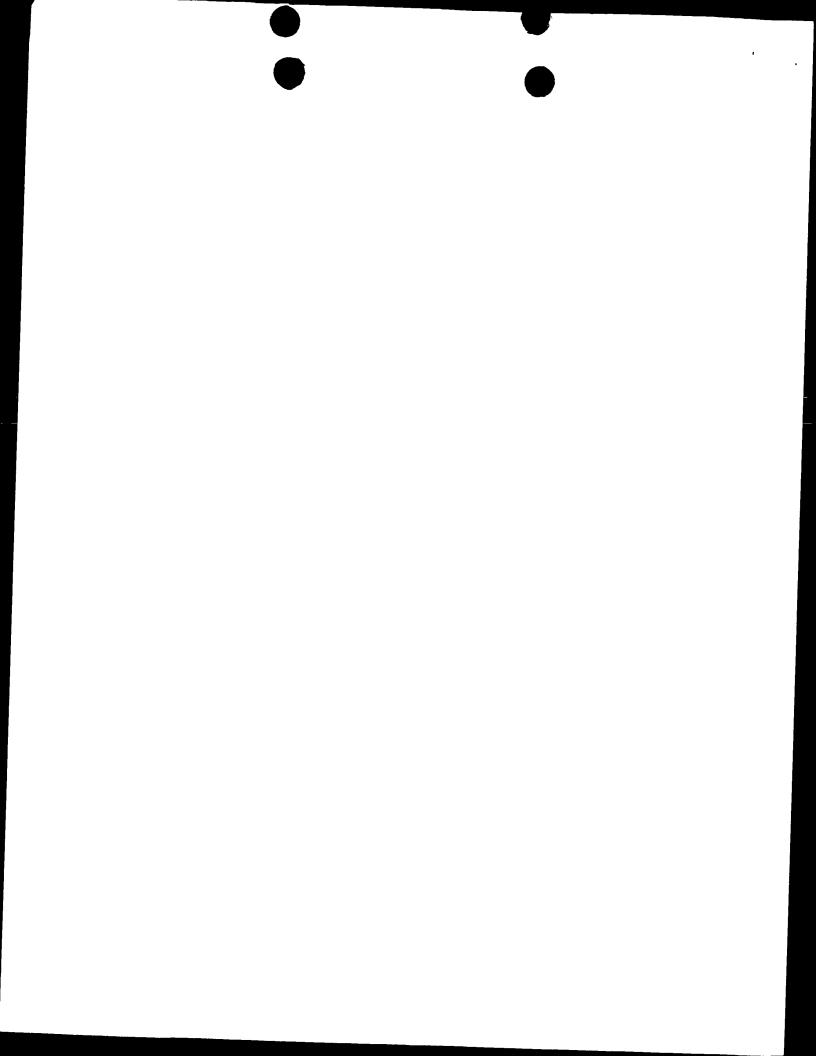
# CATENT COOPERATION TREAT

# **PCT**

# INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

applicant's or agent's file reference ICC-211/PCT	FOR FURTHER ACTION	(Form PC1/ISA/220	Transmittal of International Search Report ) as well as, where applicable, item 5 below.
nternational application No.	International filing date	e (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/US00/24620	08 SEPTEMBER 20		09 SEPTEMBER 1999
Applicant LOCTITE CORPORATION			
	en prepared by this Intern	ational Searching Au national Bureau.	uthority and is transmitted to the applicant
This international search report consis	ts of a total of 🔔 sheet	s.	
X It is also accompanied by a	copy of each prior art do	cument cited in this	report.
language in which it was file the international search w Authority (Rule 23.1(b)). b. With regard to any nucleotic was carried out on the basis contained in the internation filed together with the internation furnished subsequently to	as carried out on the basi de and/or amino acid seq of the sequence listing: onal application in written ternational application in o	s of a translation of uence disclosed in the form.  computer readable form.	basis of the international application in the the international application furnished to this e international application, the international searce.
furnished subsequently to this Authority in computer readable form.  the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in			does not go beyond the disclosure in
the statement that the su	bsequently furnished writ	iten sequence risting	artical to the written sequence listing has been
furnished.			entical to the written sequence listing has been
2. Certain claims were for	und unsearchable (See B	ox I).	
3. Unity of invention is la	cking (See Box 11).		
4. With regard to the title,	submitted by the applicant		
the text has been establi	shed by this Authority to	read as follows:	
the text has been estable  to the text has been establed to the text has been establed the text has been e	submitted by the applican lished, according to Rule 3 may, within one month fro omments to this Authority	38.2(b), by this Auth m the date of mailing	ority as it appears in g of this international
6. The figure of the drawings to	be published with the abs	tract is Figure No	· 
as suggested by the ap			None of the figures.
because the applicant	ailed to suggest a figure.		
	ter characterizes the inven	tion.	



### INTERNATIONAL SEARCH REPORT

International application No.

A. CLASSI	IFICATION OF SUBJECT MATTER		
IPC(7) :C0	08F 2/50, 236/12, 2/50, 4/42; CO9D 3/80; C08J 7/04,	4/04	
US CL : 52	26/172, 298, 170, 171; 522/18, 19, 20, 25,28, 29, 173 international Patent Classification (IPC) or to both nat	ional classification and IPC	
B. FIELDS	S SEARCHED umentation searched (classification system followed by	v classification symbols)	
	26/172, 298, 170, 171; 522/18, 19, 20, 25,28, 29, 173		
Documentation	n searched other than minimum documentation to the ex	xtent that such documents are included	in the fields searched
	•		
	a base consulted during the international search (name	of data base and where practicable.	search terms used)
		of data base and, states present,	
WEST 2.0;	STN CA PLUS		
C. DOCUI	MENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appre	opriate, of the relevant passages	Relevant to claim No.
X	US 4,139,388 A [REICH et al] 13 Feb	ruary 1979, abstract, col.2,	1-4, 12/5-9, 14-18
/ :	lines 47-57, Example 1, claims 1,2.		1-4, 12/5-9, 14-18
Y			1-4, 12/3-9, 14-16
		00 shatmant and 2 lines 14-	1-19
Y	US 5,922,783 A [WOJCIAK] 13 July 19	1 24 42 45 53 60 col 6	1 17
	21, 54-64, col.4, lines 41-67, col.5, line	es 1-24, 42-43, 33-00, coi.o,	
	lines 2220-34, 42-50, col.7, 43-53, Exa	umple 1.	
İ			
X Further	er documents are listed in the continuation of Box C.	See patent family annex.	
	ecial categories of cited documents:	"T" later document published after the indate and not in conflict with the appl	nternational filing date or priority
"A" doc	cument defining the general state of the art which is not considered	date and not in conflict with the appli principle or theory underlying the ir	evention
to t	be of particular relevance tier document published on or after the international filing date	"X" document of particular relevance; considered novel or cannot be consi	the claimed invention cannot be
	which may throw doubts on priority claim(s) or which is	when the document is taken alone	
cite	ed to establish the publication date of another citation or other citation or other citation (as specified)	"Y" document of particular relevance; considered to involve an inventi-	ve sten when the document is
	cument referring to an oral disclosure, use, exhibition or other means	combined with one or more other s	uch documents, such combination
"P" doc	cument published prior to the international filing date but later than	being obvious to a person skilled in	
	e priority date claimed	"&" document member of the same pate	
Date of the	actual completion of the international search	Date of mailing of the international s	search report
l l	DBER 2000	0 2 JAN 2001	,/
		Authorized officer	
Name and r	mailing address of the ISA/US oner of Patents and Trademarks	Authorized officer	DEBORAH THOMAS
I Box PCT	n, D.C. 20231	TANYA ZALUKAEVA P	ARALEGAL SPECIALIST
Facsimile N		Telephone No. (703) 308-8819	
1	· · · · · · · · · · · · · · · · · · ·		

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ţ		

### INTERNATIONAL SEARCH REPORT

h. .national application No.

C (Continua	tion). DOCUMENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
x / Y	US 5,530,037 A [MCDONELL et al] 25 June 1996, abstract, col.3, lines 60-66, col.4, 5, col.7, lines 14-16, Example 1, claims 1-3, 7, 11, 16, 17.	1-5, 7, 12, 14- 18/6, 8-11, 13, 19  1-5, 7, 12, 14-
		18/6, 8-11, 13, 19
A	EP 0 274 595 A (GENERAL ELECTRIC COMPANY) 20 July 1988, entire document.	1-19
A	US 3,940,362 A [OVERHULTS] 24 February 1976, abstract, col.2,	1-19
Y	lines 42-45, col.3, lines 15-18, 44-50, col.4, lines 55-60, col.5, lines 10-20, Examples I-XXVI, claims 1-9, 19, 21.	1-19
A /	US 5,824,180 A [MIKUNI et al] 20 October 1998, entire document	1-19
A	EP 0 769 721 A (THREE BOND CO., LTD) 23 April 1997,	1-19
Y /	abstract, page 3, page 10, 19-59, page 11, lines 1-5, 40-46, page 12, Table 1.	1-19
	·	
	·	

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#### NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under Article 19. The Notes are based on the requirements of the Patent Cooperation Treaty and of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule" and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

#### INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only.

#### What parts of the international application may be amended?

The claims only.

The description and the drawings may only be amended during international preliminary examination under Chapter II.

When? Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

#### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How? Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

#### What documents must/may accompany the amendments?

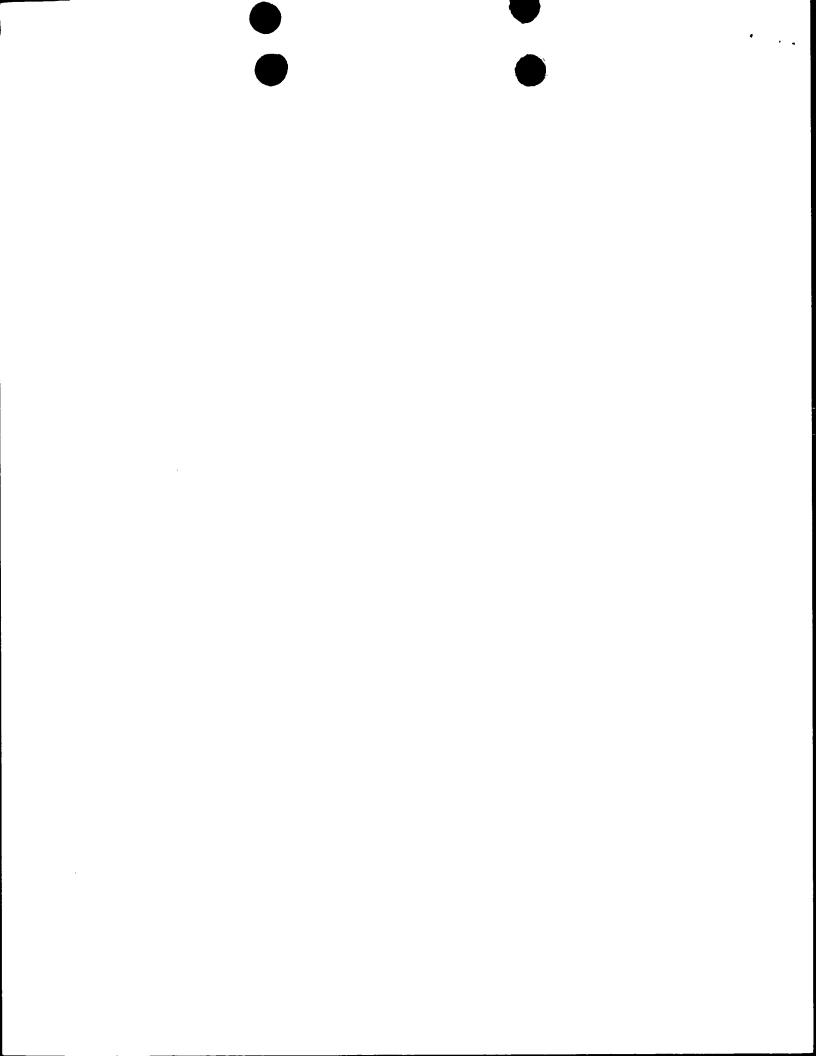
Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confounded with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.



PATENT COOPERATION TREATY

From the

INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To: STEVEN C. BAUMAN LOCTITE CORPORATION 1001 TROUT BROOK CROSSING ROCKY HILL CT 06067

NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL RELATINARY EXAMINATION REPORT

Date of Mailing (day/month/year)

21 NOV 2001

Applicant's or agent's file reference

ICC-211/PCT

IMPORTANT NOTIFICATION International filing date (day/month/year)

Priority Date (day/month/year)

PCT/US00/24620

International application No.

08 SEPTEMBER 2000

09 SEPTEMBER 1999

Applicant

LOCTITE CORPORATION

- The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international
- A copy of the report and its annexes, if any, is being transmitted to the International Bureau for 2. communication to all the elected Offices.
- Where required by any of the elected Offices, the International Bureau will prepare an English translation of 3. the report (but not of any annexes) and will transmit such translation to those Offices.

#### REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/US

Commissioner of Patents and Trademarks Box PCT

Washington, D.C. 20231

Authorized officer TANYA ZALUKAEVA

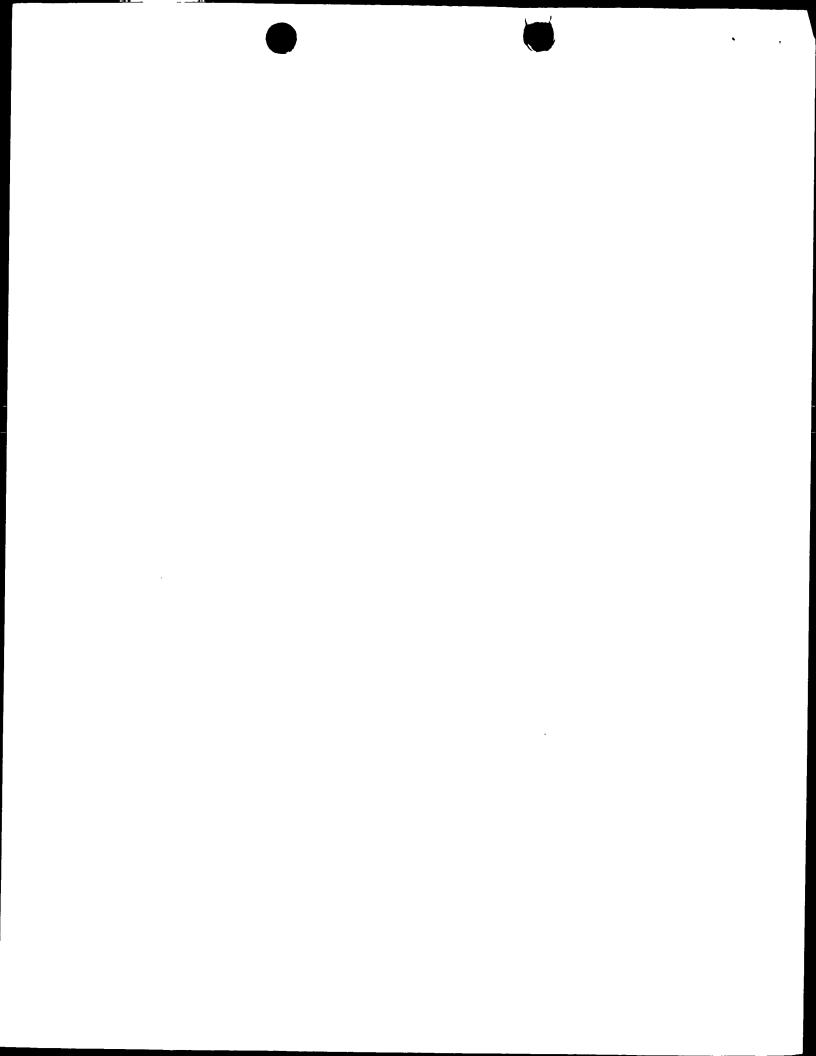
DEBORAH THOMAS

Facsimile No. (703) 305-3230

Telephone No. (703) 308-0651

rm PCT/IPEA/416 (July 1992)\*

PARALEGAL SPECIALIST



#### From the INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To: STEVEN C. BAUMAN LOCTITE CORPORATION 1001 TROUT BROOK CROSSING ROCKY HILL CT 06067

### PCT

### NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

(PCT Rule 71.1)

Date of Mailing (day/month/year)

21 NOV 2001

Applicant's or agent's file reference

ICC-211/PCT

IMPORTANT NOTIFICATION International filing date (day/month/year)

Priority Date (day/month/year)

PCT/US00/24620

International application No.

08 SEPTEMBER 2000

09 SEPTEMBER 1999

Applicant

LOCTITE CORPORATION

- The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith 1. the international preliminary examination report and its annexes, if any, established on the international
- A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
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#### REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

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For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/US

Commissioner of Patents and Trademarks

Box PCT Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

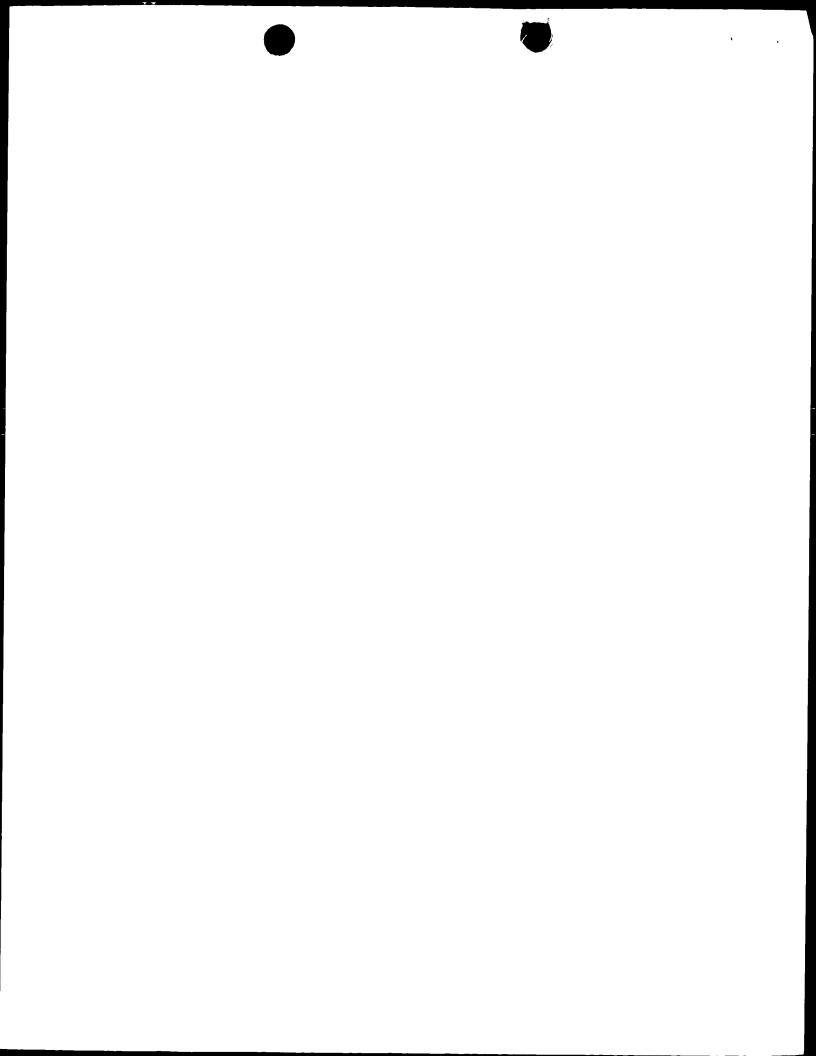
TANYA ZALUKAEVA

**DEBORAH THOMAS** 

Telephone No. (703) 508-0651

Form PCT/IPEA/416 (July 1992)\*

PARALEGAL SPECIALIST



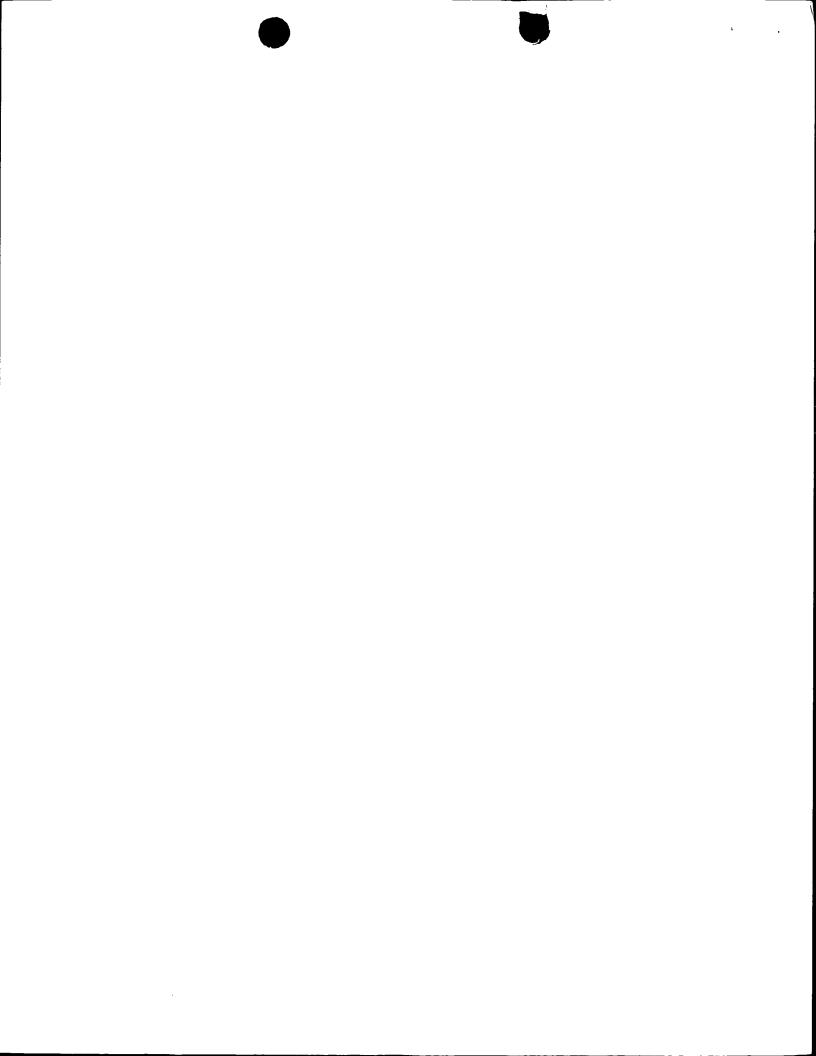


# **PCT**

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference  ICC-211/PCT	FOR FURTHER ACTIO	Preliminary	Examination Repo	mittal of International rt (Form PCT/IPEA/416)	
International application No.	International filing date (da	ay/month/year)	Priority date (da	ay/month/year)	
PCT/US00/24620	08 SEPTEMBER 2000		09 SEPTEME	BER 1999	
International Patent Classification (IPC Please See Supplemental Sheet.	C) or national classification an	I IPC			
Applicant LOCTITE CORPORATION					
This international prelim     Examining Authority and	is transmitted to the applic	nas been prepa ant according to	red by this Inte Article 36.	ernational Preliminary	
2. This REPORT consists of	a total of 5 sheets.				
This report is also accordant been amended and are (see Rule 70.16 and Se	mpanied by ANNEXES, i.e., the basis for this report and/oction 607 of the Administrati	r sheets containi	ng recuiications ii	nd/or drawings which have nade before this Authority.	
These annexes consist of a	total of sheets.				
3. This report contains indicati	ions relating to the following	g items:			
I X Basis of the re	port				
II Priority					
III Non-establishr	nent of report with regard t	o novelty, inve	itive step or indu	strial applicability	
IV Lack of unity	of invention				
·V X Reasoned statem	nent under Article 35(2) with planations supporting such st	regard to novelt atement	y, inventive step o	or industrial applicability;	
VI Certain documents cited					
VII Certain defects in the international application					
VIII Certain observations on the international application					
•					
Date of submission of the demand		Date of complet	on of this report		
30 MARCH 2001		18 OCTOBE	R 2001	¥	
Name and mailing address of the IP	EA/US	Authorized office		7/1	
Commissioner of Patents and Tr		TANYA ZA	LUKAEVA	OEBORAHTHOMAS ARALEGAL SPECIALIST	
Washington, D.C. 20231		-	(700) 000 000 P	ARALEGAL SPECIALIST	
Facsimile No. (703) 305-3230		Telephone No.	(703) 308-0651		





International application No.

PCT/US00/24620

I.	Ba	sis of tl	ne report	
_	*****		she elements of the international amplication:*	!
1.	With	regard to	o the elements of the international application:* crnational application as originally filed	
	Ш	-		
	$\mathbf{x}$	the des	cription: (See Attached)	_ , as originally filed
		pages.	(See Amara)	, filed with the demand
		pages .	, filed with the letter of	
		pages .		
	$\mathbf{x}$	the cla	ims:	on originally filed
		pages	(See Attached)	, as originally lifed
		pages	, as amended (together with any st	filed with the demand
		pages	, filed with the letter of	, mod (mid die demine
		pages	, med with the letter of	
	x	the dr	awings:	
		50.000	(See Attached)	, as originally filed
				, filed with the demand
		pages	, filed with the letter of	
	X	the se	quence listing part of the description:	as originally filed
			(See Attached)	filed with the demand
		pages	, filed with the letter of	
			to the language, all the elements marked above were available or furnished to this Au	
		the la	nents were available or furnished to this Authority in the following language  nguage of a translation furnished for the purposes of international search (unguage of publication of the international application (under Rule 48.3(b)).  nguage of the translation furnished for the purposes of international preliminary examples.	under Rule 23.1(b)).
	L	or 55.	3).	
	3. W	ith rega relimina	rd to any nucleotide and/or amino acid sequence disclosed in the international ry examination was carried out on the basis of the sequence listing:	application, the international
١		conta	ined in the international application in printed form.	
			together with the international application in computer readable form.	
	닏		shed subsequently to this Authority in written form.	
	Ļ	J		
	L		shed subsequently to this Authority in computer readable form.	around the disclosure in the
		intern	tatement that the subsequently furnished written sequence listing does not go b lational application as filed has been furnished.	
		The s	tatement that the information recorded in computer readable form is identical to the furnished.	e writen sequence listing has
	4. X	The	amendments have resulted in the cancellation of:	
		X	the description, pages NONE	
			the Claims, 140s.	
	_	_ [X]	the drawings, shoots/ng	
	5.	This	report has been drawn as if (some of) the amendments had not been made, since the	y nave been considered to go
	in	eplaceme this rep	and the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**  ont sheets which have been furnished to the receiving Office in response to an invitation used to a "originally filed" and are not annexed to this report since they do not contain the content of the content	nder Article 14 are referred to ain amendments (Rules 70.16
	a) **A	nd 70.17 Inv repla	c). Ocement sheet containing such amendments must be referred to under item 1 and ar	nexed to this report.





PCT/US00/24620

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

V.	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. statement

tatement.			
Novelty (N)	Claims	5-7,9, 10-20	YES
	Claims	1-4, 12	NO
Inventive Step (IS)	Claims	NONE	YES
• • •	Claims	1-7, 9-19	NO
	Claims	1-7, 9-19	YES
muusulai Appiloaniity (111)	Claims	NONE	NO
	Clams		

2. citations and explanations (Rule 70.7)

Claims 1-7, 9-19 lack an inventive step under PCT Article 33(3) as obvous over McDONNELL et al (U.S. 5,530,037)

- McDONNELL discloses a curable cyanoacrylate adhesive composition intended for medical and/or veterinary uses is sterilized in liquid form by gamma irradiation. The composition comprises
  - a) a cyanoacrylate monomer
- b) a combination of an anionic stabilizer and a free-radical stabilizer in amounts effective to stabilize the composition during irradiation and to stabilize the sterilized composition during storage prior to cure, wherein the free radical stabilizer is a selected phenolic antioxidant (abstract, col.3, lines 60-66). Phenolic antioxidant is presented by compounds of formula (I), (col. 4, lines 1-5), which depicts a p-benzoquinone.

The cyanoacrylate monomer may be selected from methyl, ethyl, n-propyl, iso-propyl, n-butyl, iso-butyl, sec-butyl, tert-butyl, n-pentyl, iso-pentyl, n-hexyl, iso-hexyl, n-heptyl, iso-heptyl, n-octyl, n-nonyl, allyl, methoxyethyl, ethoxyethyl, 3-methoxybutyl and methoxyisopropyl cyanoacrylate esters (col. 4, lines 61-67).

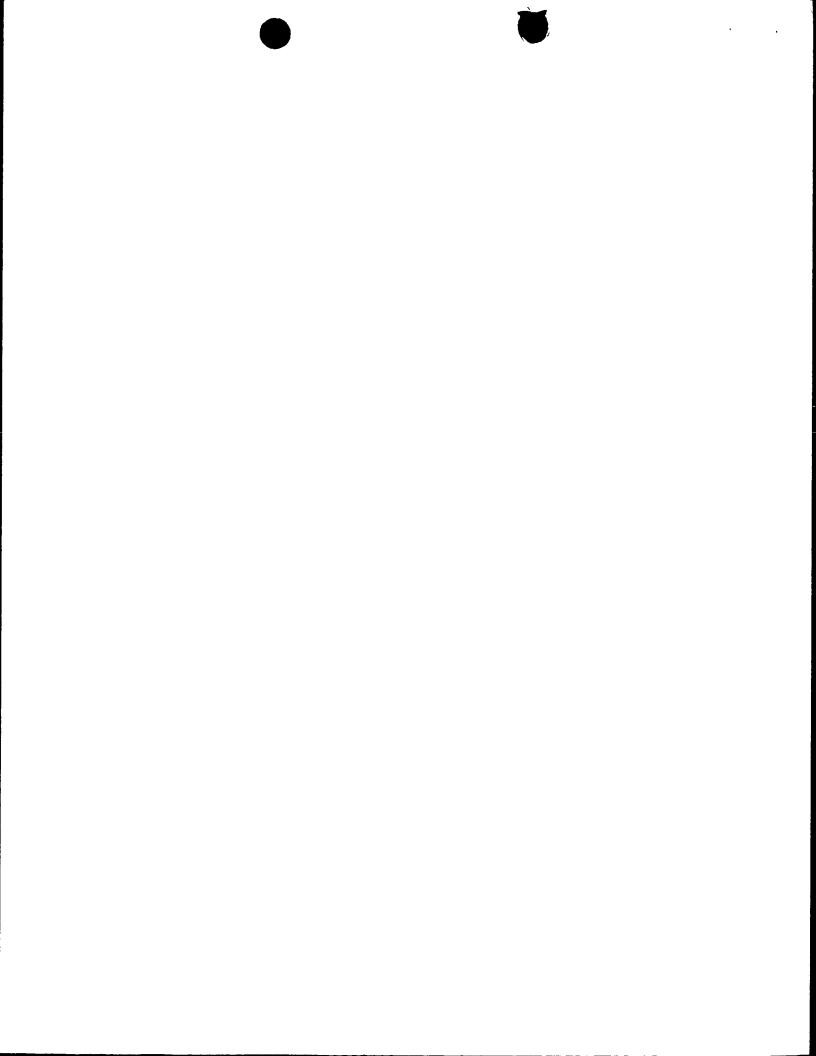
One of preferred antioxidants is butyl hydroxy toluene (BHT, or 4-methyl-2,6-di-tert-butylphenol

Other anti-oxidants which may be used include methyl hydroquinone, catechol, tert-butyl hydroquinone, 4-tert-butoxyphenol, 4-ethoxyphenol, 3-methoxyphenol, 2-tert-butyl-4-methoxyphenol, and 2,2-methylene-bis-(4-methyl-6-tert-butylphenol).

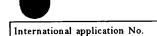
Anionic (acid) stabilizers for cyanoacrylate adhesives include Sulphur Dioxide, Sulphonic Acids, Sulphuric Acid, Sulphur Trioxide, Phosphorous Acids, Carboxylic Acids, Picric Acid, Boron Trifluoride, etc. (col. 5, lines 35-66, col. 6, lines 1-5.

In specific regard toclaims 6, 8-11

McDONNELL discloses a cyanoacrylate adhesives as instantly (Continued on Supplemental Sheet.)







PCT/US00/24620

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I · VIII

Sheet 10

#### CLASSIFICATION:

The International Patent Classification (IPC) and/or the National classification are as listed below: IPC(7): C08F 2/50, 236/12, 2/50, 4/42; C09J 7/04 and US Cl.: 526/172, 298, 170, 171; 522/18, 19, 20, 25,28, 29, 173.

#### I. BASIS OF REPORT:

This report has been drawn on the basis of the description, page(s) 1, 2, 5-10, 12-20, 22-27, as originally filed page(s) NONE, filed with the demand and additional amendments:

3, 4, 11, 21, filed with the letter of 13 August 2001

This report has been drawn on the basis of the claims, page(s) NONE, as originally filed.
page(s) NONE, as amended under Article 19.
page(s) NONE, filed with the demand.
and additional amendments:
28-31, filed with the letter 13 August 2001

This report has been drawn on the basis of the drawings, page(s) NONE, as originally filed. page(s) NONE, filed with the demand. and additional amendments:

NONE

This report has been drawn on the basis of the sequence listing part of the description: page(s) NONE, as originally filed.
pages(s) NONE, filed with the demand.
and additional amendments:
NONE

## V. 2. REASONED STATEMENTS - CITATIONS AND EXPLANATIONS (Continued):

claimed, however does not disclose the same photoinitiators, as per instant claims 6, 8-11. Because the polymerization of MCDONNEL takes place under gamma-irradiation, one skilled in the art would have found it obvious to introduce imitators capable of producing radicals under irradiation with very short wavelength, which are mostly the instantly claimed compounds, and thus to arrive at the instant claims.

Claims 1-7, 9 lack an inventive step under PCT Article 33(3) as being obvious over WOJCIAK (U.S. 5,922,783).

WOJCIAK discloses radiation-curable composition which includes a cyanoacrylate component or a cyanoacrylate-containing formulation, a metallocene component and a polymerizingly effective amount of a photoinitiator to accelerate the rate of cure (abstract).

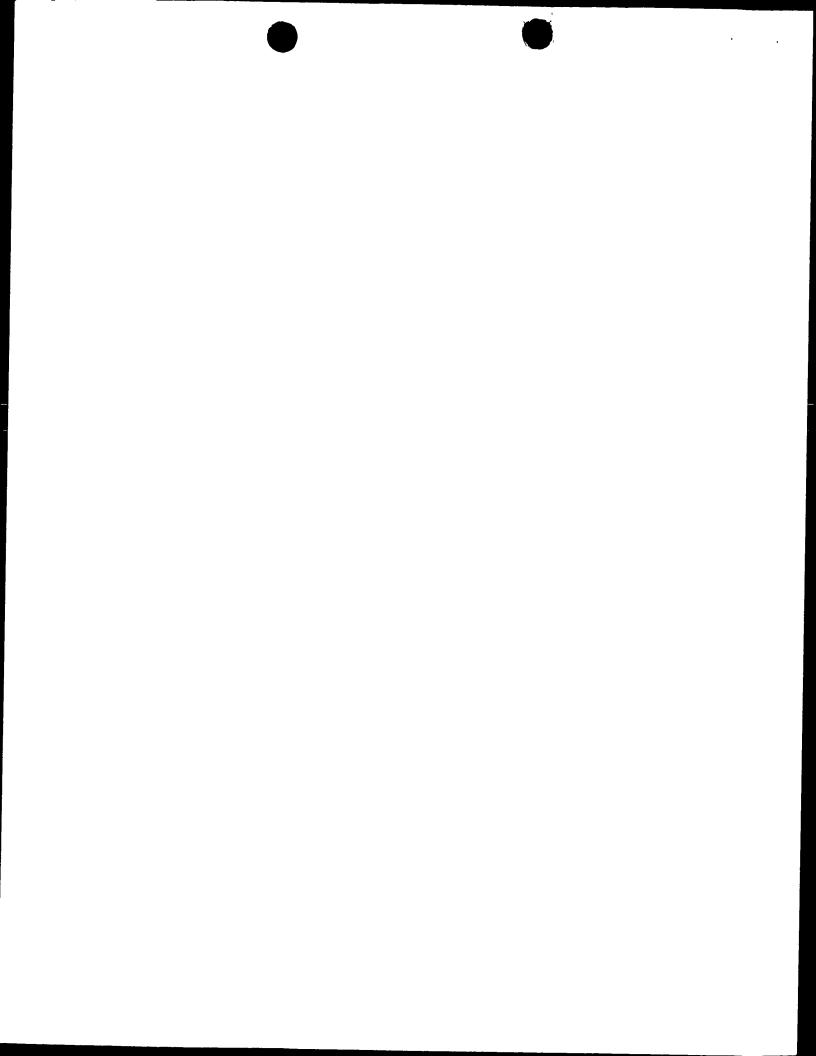
The cyanoacrylate monomer is selected from methyl cyanoacrylate, 2-ethyl cyanoacrylate, 2-propyl cyanoacrylate, 2-butyl cyanoacrylate, 2-octyl cyanoacrylate, allyl cyanoacrylate, beta-methoxyethyl cyanoacrylate and combination thereof. A particularly desirable cyanoacrylate monomer for use herein is 2-ethyl cyanoacrylate (col. 3, lines 54-66).

A number of photoinitiators are employed by WOJCIAK Such materials include, but are not limited to, photoinitiators available commercially from Ciba-Geigy Corp., under the "IRGACURE" and "DAROCUR" tradenames, specifically "IRGACURE" 184 (1-hydroxycyclohexyl phenyl ketone), 907 (2-methyl-1-[4-(methylthio)phenyl]-2-morpholino propan-1-one),

369(2-benzyl-2-N, N-dimethylamino-1-(4-morpholinophenyl)-1-butanone), 500 (the combination of 1-hydroxy cyclohexyl phenyl ketone and benzophenone), 651 (2,2-dimethoxy-2-phenyl acetophenone), 1700 (the combination of

bis(2,6-dimethoxybenzoyl-2,4-,4-trimethyl pentyl phosphine oxide and 2-hydroxy-2-methyl-1-phenyl-propan-1-one) and "DAROCUR" 1173 (2-hydroxy-2-methyl-1phenyl-1-propane) and 4265 (the combination of

2,4,6trimethylbenzoyldiphenyl-phosphine oxide and 2-hydroxy 2-methyl-1-phenyl-propan-1-one); photoinitiators vailable commercially from Union Carbide Chemicals and Plastics Co. Inc., Danbury, Conn. under the "CYRACURE". The







PCT/US00/24620

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 11

list of photoinitiators, which clearly overlaps with the instantly claimed photoinitiators, is presented in col. 4, lines 41-67, col.5, lines 1-24.

Although the predominant mechanism by which cyanoacrylate monomers undergo polymerization is an anionic one, free-radical polymerization is also known to occur in this regard under prolonged exposure to heat or light of an appropriate wavelength. See e.g., Coover et al., supra. Ordinarily, however, free-radical stabilizers, such as quinones or hindered phenols, are included in cyanoacrylate-containing adhesive formulations to extend their shelf life. Thus Example 1, col. 10, 11 teaches the following:

A photocurable composition in accordance with the present invention was prepared from about 95.9 grams of 2-ethyl cyanoacrylate, about 0.1 grams of ferrocene and about 4 grams of "DAROCUR" 1173 as a photoinitiator. Typically, commercially available cyanoacrylate-containing compositions (such as "PRISM" Adhesive 4061, commercially available from Loctite Corporation, Rocky Hill, Conn.) are stabilized against free-radical formation by the addition of an acidic material, such as boron trifluoride or methane sulfonic acid. In this example, therefore, the ethyl cyanoacrylate contained about 20 ppm of boron trifluoride as an acid anionic stabilizer.

Although not all the groups of photoinitiators are disclosed by WOJCIAK, he motivates one skilled in the art to utilize stabilizers, comprising complexes of boron tetrafluoride and stabilizers against free radical formation as instantly claimed.

Claims 112 meet the criteria set out in PCT Article 33(4) for industrial applicability, the compositions as claimed are used as cyanoacrylate adhesives.

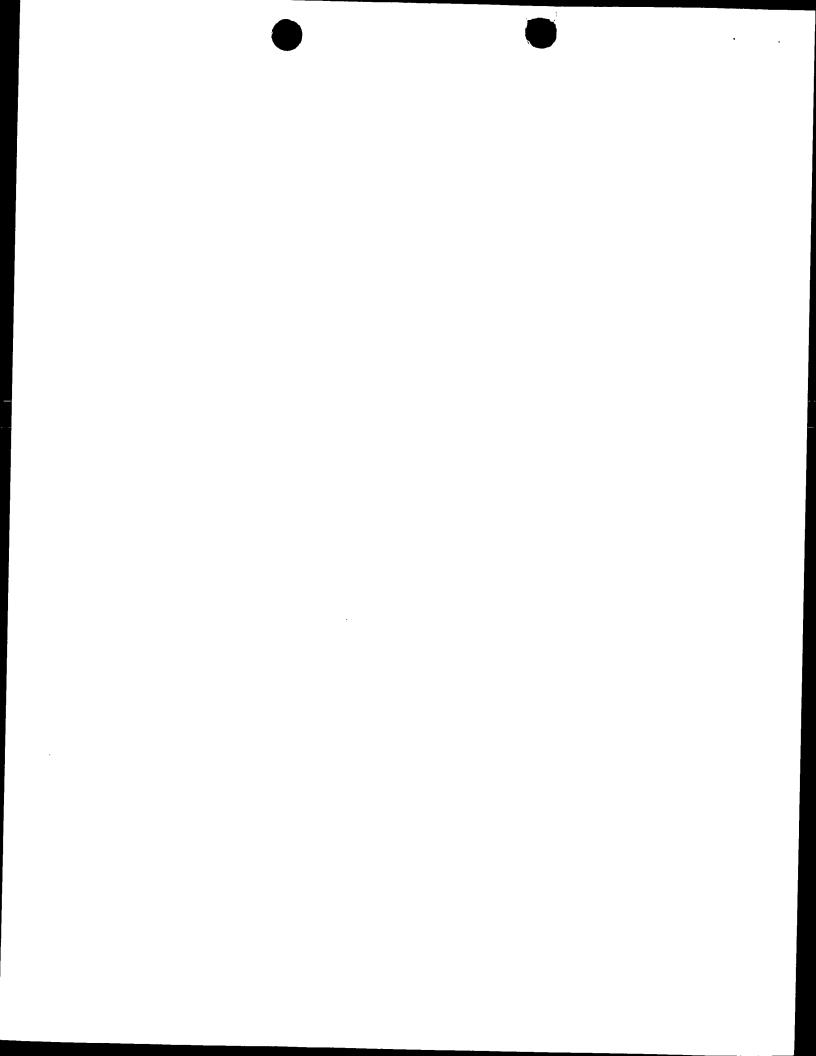
Response to Arguments

. Applicants' arguments were fully considred, but they are not persuasive. Since the reference to REICH was removed from the scope of rejection, Examiner does not address the arguments on this reference. In regard to McDONALD reference the very first word of the abstarct cites "curable adhesive compositions comprising cyanoacrylate monomer, combination of stabilizers including a compound capable of generating free radicals

As for Applicant's arguments about the intended use of the instant composition compare to that of McDonald, it is well set that a new use of otherwise known or obvious composition does not impact its patentability.

In regard to WOJCIAK reference, which in fact uses the mixture of a metallocene and a free radical component, Examiner notices, that first of all the transitional word "comprising", as per instant claim 1 leaves the possibility of the presence of any other components, even in the major amounts. Secondly, the rejection was made as an obviousness rejection, not as anticipation one, and as shown above reference itself suggests to one skilled in the art the particularities of the instantly claimed composition.

	NEW	CITATIONS	
NONE			



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includes monofunctional acrylates and acrylate esters, such as cyano-functionalized acrylates and acrylate esters, examples of which are expressed as 2-cyanoethyl acrylate (CH<sub>2</sub>=CHCOOCH<sub>2</sub>CH<sub>2</sub>CN) and 3-cyanopropyl acrylate (CH<sub>2</sub>=CHCOOCH<sub>2</sub>CH<sub>2</sub>CN). (See page 5, lines 19-26.)

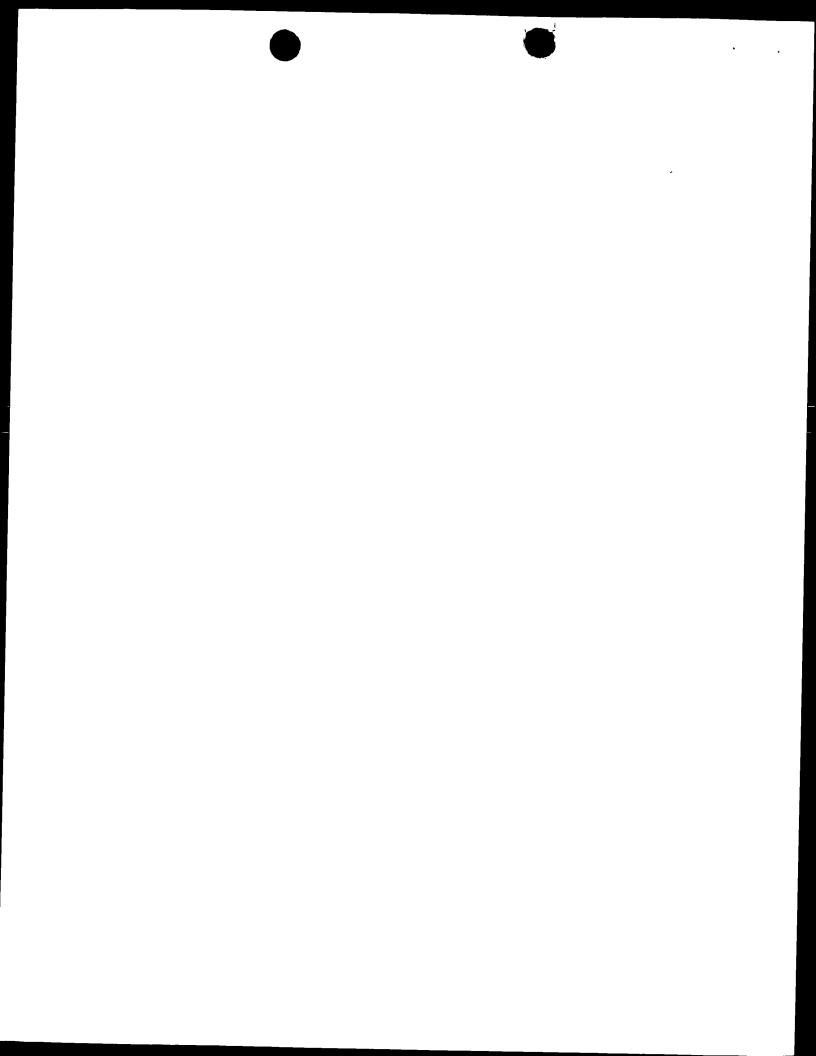
-3-

U.S. Patent No. 4,707,432 (Gatechair) speaks to a free radical polymerizable composition which includes (a) polymerizable partial esters of epoxy resins and acrylic and/or methacrylic, and partial esters of polyols and acrylic acid and/or methacrylic acid, and (b) a photoinitiator blend of a cyclopentadienyl iron complex and a sensitizer or photoinitiator, such as an acetophenone.

In C. Kutal, P.A. Grutsch and D.B. Yang, "A Novel Strategy for Photoinitiated Anionic Polymerization", Macromolecules, 24, 6872-73 (1991), the authors note that ethyl cyanoacrylate is "unaffected by prolonged (24-h) irradiation with light of wavelength >350 nm" whereas in the presence of NCS, cyanoacrylate is observed to solidify immediately, generating heat in the process. Though the NCS was not in that case generated as a result of irradiation, it was generated from the Reineckate anion upon ligand field excitation thereof with near-ultraviolet/visible light. See also U.S. Patent Nos. 5,652,280 (Kutal) 5,691,113 (Kutal) and 5,877,230 (Kutal).

International Patent Application PCT/US98/03819 describes photocurable compositions including a cyanoacrylate component, a metallocene component and a photoinitiator component.

European Patent Publication No. EP 769 721 Al describes a photocurable compositions of (a) an  $\alpha$ -cyanoacrylate and (b) a metallocene compound comprising a transition metal of group VIII of the periodic table and aromatic electron system ligands selected from  $\pi$ -arenes, indenyl, and  $\eta$ -cyclopentadienyl. The photocurable composition may further include (c) a cleavage-type photoinitiator. U.S. Patent No. 5,814,180 (Mikuni) describes such compositions in the context of a method of bonding artificial nails.



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describes such compositions in the context of a method of bonding artificial nails.

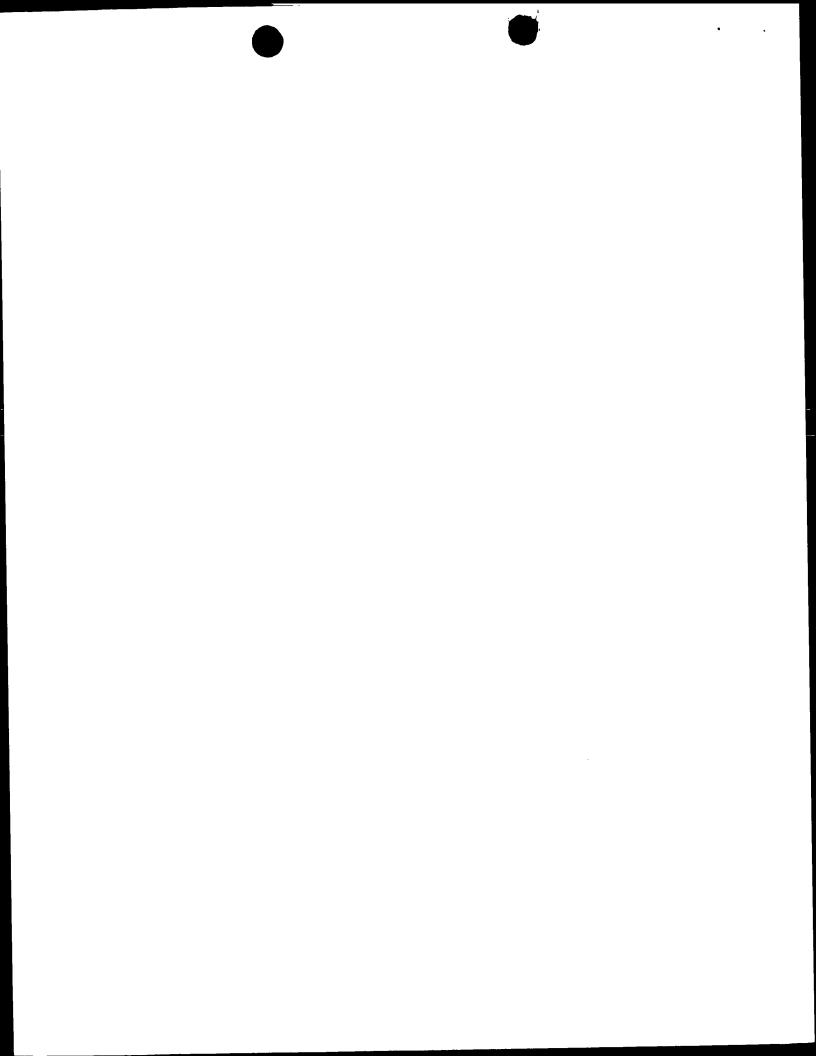
Although the predominant mechanism by which cyanoacrylate monomers undergo polymerization is an anionic one (which as noted above is typically initiated using a nucleophile), free-radical polymerization is also known to occur. Such free radical polymerization is however seen as troublesome since it tends to reduce shelf-life stability under prolonged exposure to heat or light of an appropriate wavelength. <u>See e.g.</u>, <u>Coover et al.</u>, <u>supra</u>. free-radical stabilizers, such as quinones or hindered phenols, are included in cyanoacrylate-containing adhesive compositions to consume free radicals that are generated by light and under typical non-airtight storage conditions, thereby extending the adhesive's shelf life. extent of any free-radical polymerization of commercial cyanoacrylate-containing adhesive compositions is especially undesirable for at least the reason stated and in practice is typically minimal due to the inclusion of such freeradical stabilizers.

It is not believed to date that a cyanoacrylate-based adhesive composition has been developed to rapidly cure through a photoinitiated free radical mechanism, while retaining commercially acceptable shelf life stability. Such a composition would be desirable as possessing the benefits and advantages of cyanoacrylate-containing compositions while curing through at least a photo-induced free radical polymerization mechanism.

### SUMMARY OF THE INVENTION

The present invention provides compositions which include a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoinitiated radical generating component and a photoinitiator component. Such compositions cure after exposure to radiation in the electromagnetic spectrum.

The photocurable compositions of this invention retain those benefits and advantages of traditional



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applications in which elevated temperature conditions may be experienced, such as with potting compounds particularly where large cure through volume is present and non-tacky surfaces are desirably formed in less than about five seconds.

The inclusion of such materials to a photocurable composition in accordance with the present invention may provide a formulation having particular advantages for certain applications, and should be appealing from a safety perspective as the possibility is decreased of splashing or spilling the composition on exposed skin of the user or bystanders.

Another desirable component to include in the inventive compositions is a photosensitizer to render the composition more reactive toward exposure to electromagnetic radiation. Desirable examples of such photosensitizers include benzophenone or dyes like xanthene dyes, acridinium dyes or phenazine dyes. Inclusion of such photosensitizers often lessens the intensity and/or duration of exposure to the electromagnetic radiation used to initiate cure.

The relative amount of the various components of the photocurable compositions according to this invention is a matter of choice left to those persons of skill in the art, depending of course on the identity of the particular components chosen for a specific composition.

As a general guide, however, it is desirable to include in the photocurable compositions a photoinitiated radical generating component, such as peroxide, perester, azo compounds, benzoin derivatives (e.g., DMPAP),  $\alpha$ -halo acetophenones (e.g., DC), acylphosphine oxides (e.g., DPTPO or related phospine oxide compounds), in an amount within the range of about 0.005% to about 4% or greater (desirably within the range of about 0.01% to about 1.5%) by weight of the total composition. It is also desirable for the compositions to include a photoinitiator component, such as substituted pyrylium salts or anthracene and derivatives thereof, e.g., substituted anthracenes, or anthraquinone or

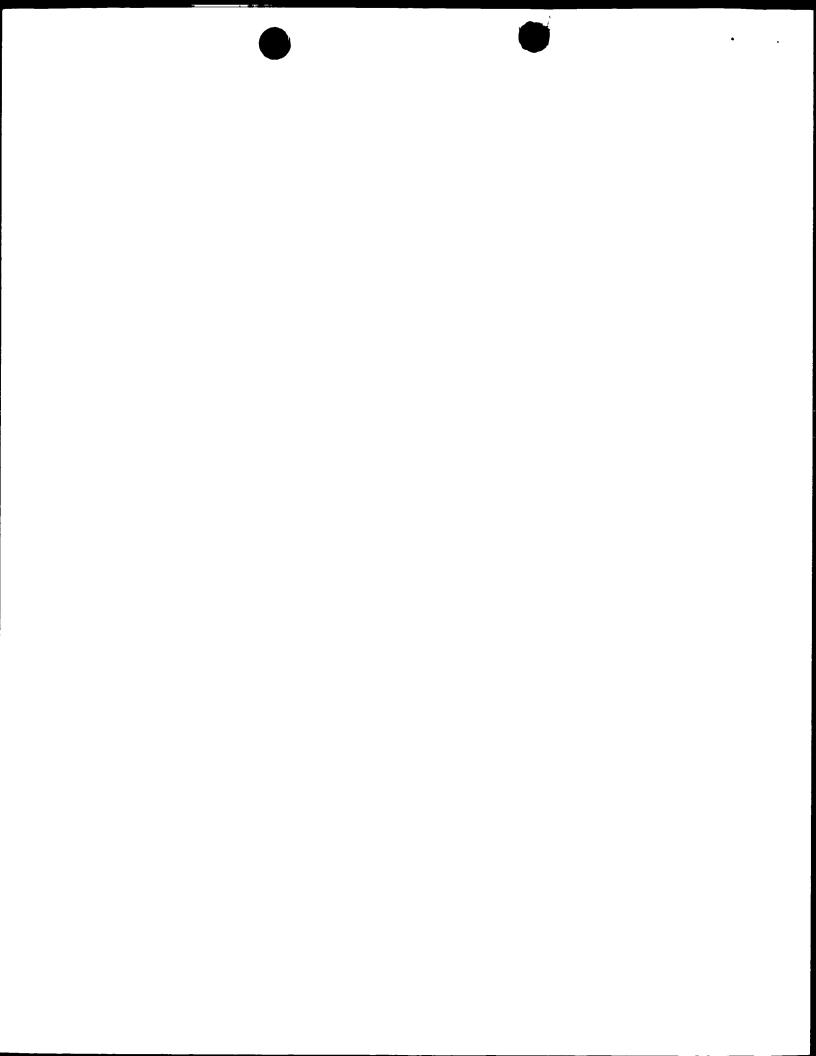


Table 1

Sample	DBPO	TPT	After Exposure
No.	[ppm]	[ppm]	to 1000 W light
			[30 secs]
1	0	1000	No curing
2	100	0	No curing
3	500	0	No curing
4	2500	0	No curing
5	10000	0	No curing
6	100	100	High viscous
7	100	1000	Tack-free curing
8	500	100	High viscous
9	500	1000	Tack-free curing
10	2500	100	High viscous
11	2500	1000	Cured
12	10000	100	High viscous
13	10000	1000	High viscous

The information shown in Table 1 indicates that the combination of the radical initiator component and the photoinitiator component (see Sample Nos. 6-13) enables the cyanoacrylate to cure when exposed to UV light, whereas when only one or the other of the radical initiator component or photoinititor component are present (see Sample Nos. 1-5), no curing of the cyanoacrylate occurs. It may also be seen that vast amounts of the photoinitiated radical generating component is not necessary to observe the behavior of the inventive compositions (see Sample Nos. 6-9).

#### Example 2

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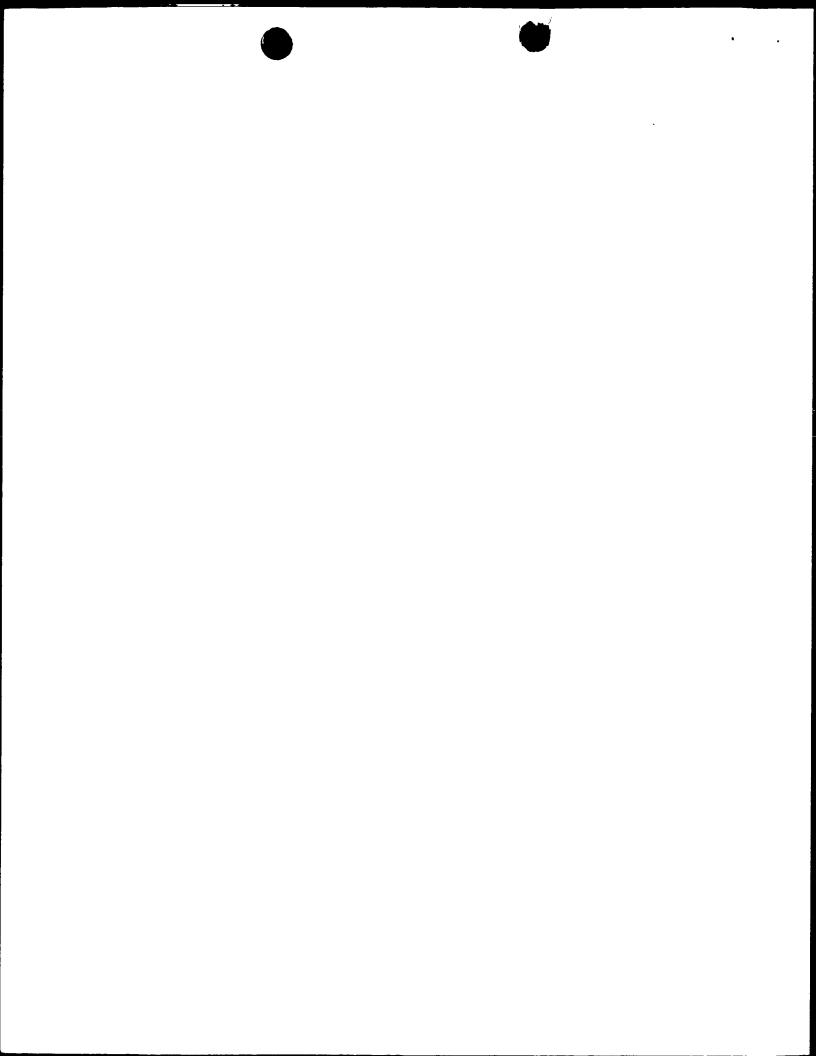
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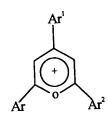
In this example, a variety of radical initiator components were used in the formulation.

Again starting with ethyl-2-cyanoacrylate, and keeping the photoinitiator component constant as "TPT", a variety of different radical initiator components were used in the amounts noted to determine whether and to what extent the so-prepared formulations would cure when exposed to UV light. Table 2 below sets forth the specific identity and amounts of the radical initiator components used in the samples. Table 2 also sets forth the curing speed attained in seconds when one drop of the sample was placed on a glass slide (from Smiths Glassware) and exposed to 1000 W of



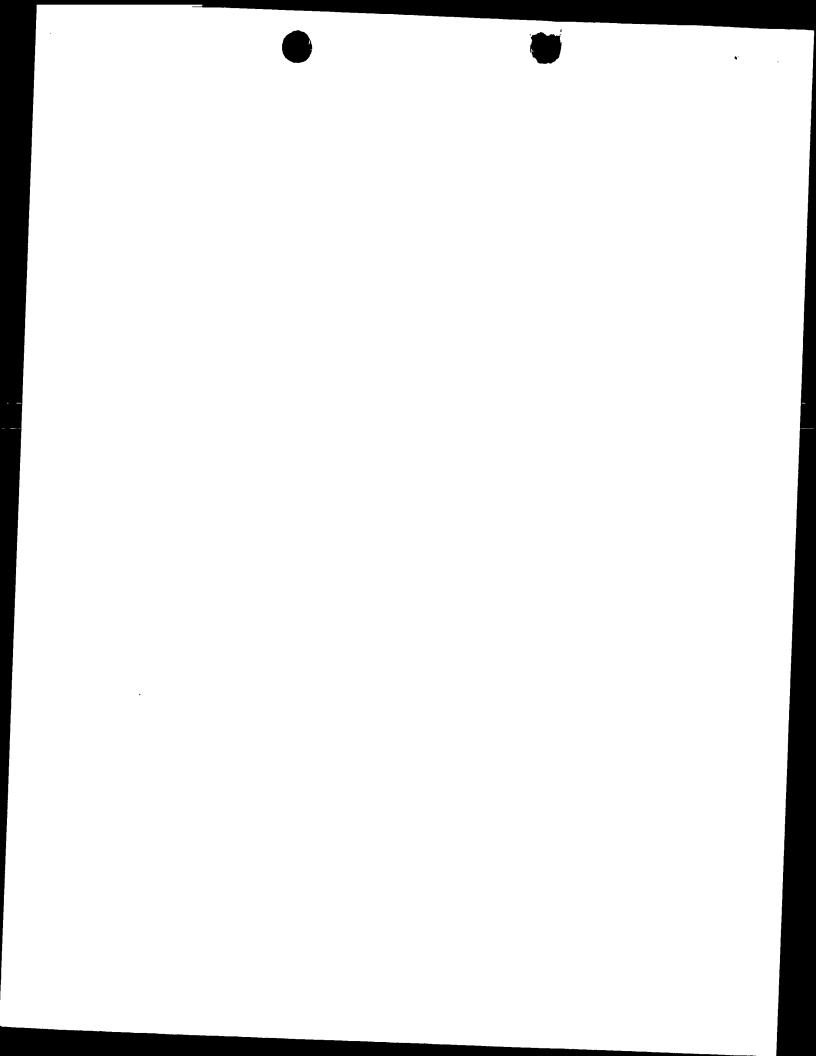
# What Is Claimed Is:

- 1. A photocurable composition comprising:
  - (a) a 2-cyanoacrylate component,
  - (b) a photoinitiated radical generating component, and
- (c) a photoinitiator component, wherein the photoinitator component is selected from the compounds within the following structure:

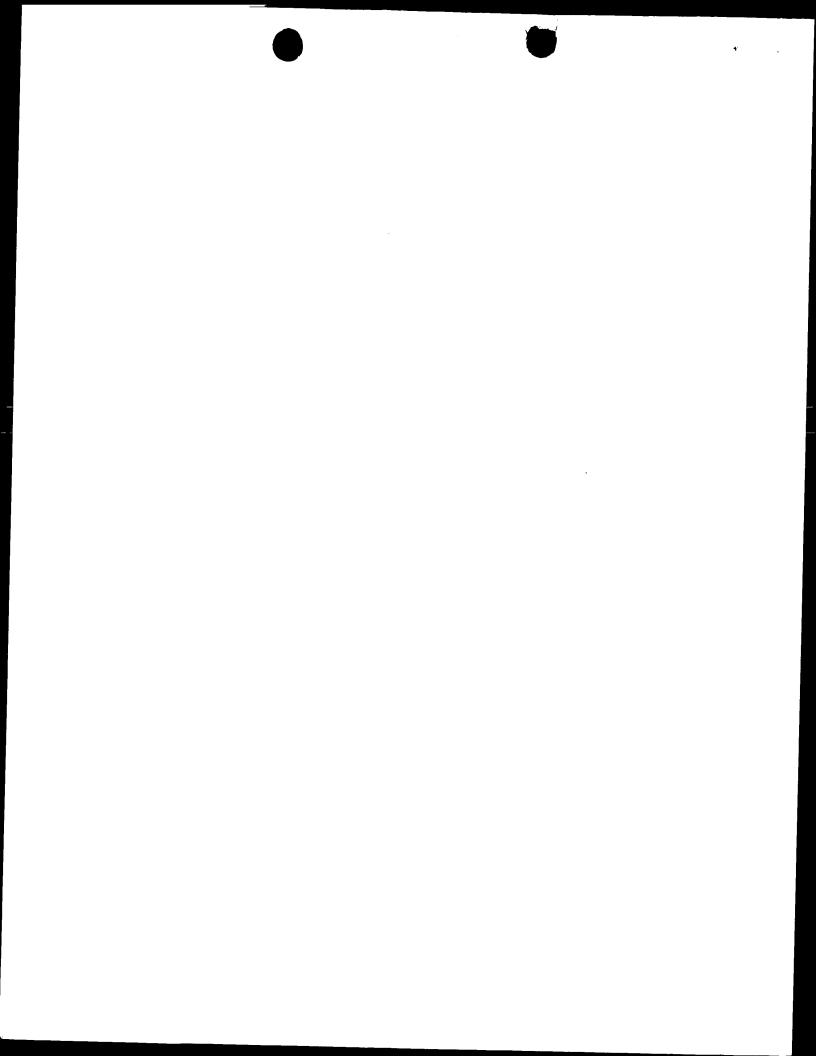


wherein each of Ar,  ${\rm Ar}^1$  and  ${\rm Ar}^2$  are aryl groups, with or without substitution, and  ${\rm X}^-$  is an anion.

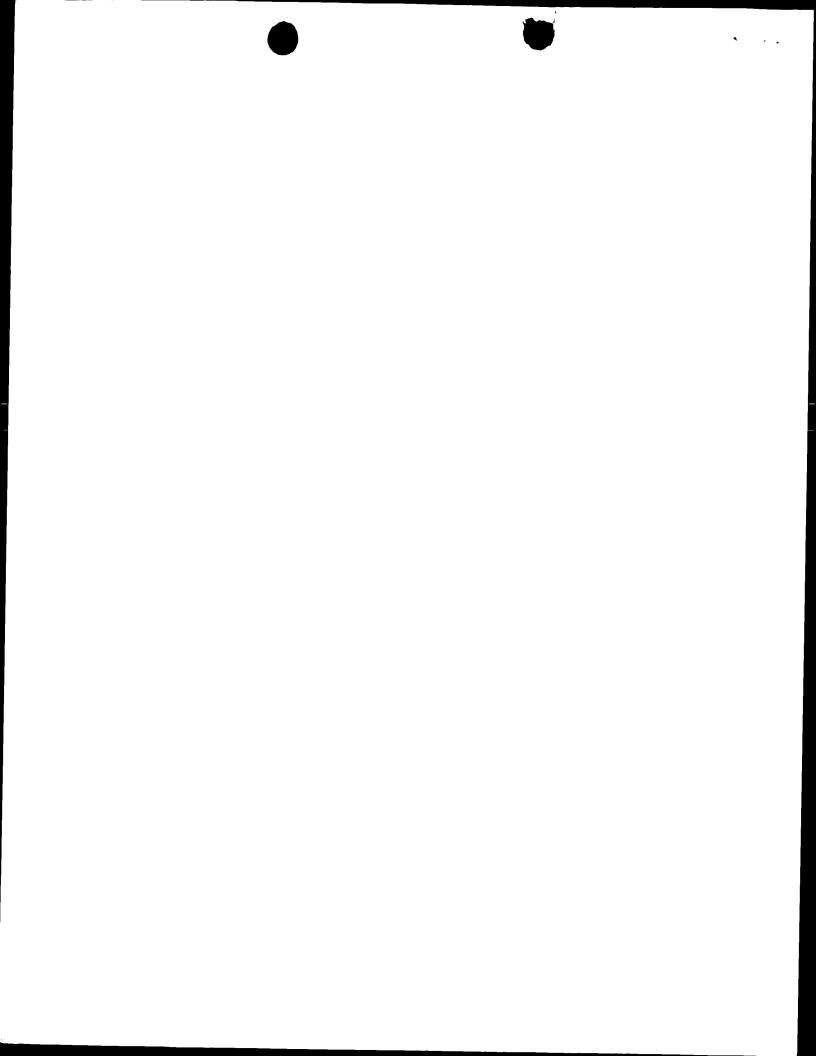
- 2. The composition according to Claim 1, wherein the cyanoacrylate component includes a cyanoacrylate monomer represented by  $H_2C=C(CN)-COOR$ , wherein R is selected from the group consisting of  $C_{1-15}$  alkyl, alkoxyalkyl, cycloalkyl, alkenyl, aralkyl, aryl, allyl and haloalkyl groups.
- 3. The composition according to Claim 2, wherein the cyanoacrylate monomer is selected from the group consisting of methyl cyanoacrylates, ethyl-2-cyanoacrylate, propyl-2-cyanoacrylates, butyl-2-cyanoacrylates, octyl-2-cyanoacrylates, allyl cyanoacrylate, ß-methoxyethyl cyanoacrylates, and combinations thereof.
- 4. The composition according to Claim 2, wherein the cyanoacrylate monomer is ethyl-2-cyanoacrylate.



- 5. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of  $\alpha$ -haloacetophenones, azo compounds, aromatic carbonyl compounds, peroxides, hydroperoxides, peresters, azoisobutyronitrile, and combinations thereof.
- 6. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of1,1'-azo-bis(cyclohexanecarbonitrile), 4,4'-azo-bis(4-cyanovaleric acid), 1,1'-(azodicarbonyl)-dipiperidine, 1,1-bis(t-butylperoxy)cyclohexane, 2,5-bis(t-butylperoxy)-2,4-
- 7. (Amended) The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of 1-hydroxycyclohexyl phenyl ketone, 2-methyl-1-[4-(methylthio)phenyl]-2-morpholino propan-1-one, benzophenone, 2-benzyl-2-N,N'-dimethylamino-1-(4-morpholinophenyl)-1-butanone, 2,2-dimethoxy-2-phenyl acetophenone, bis(2,6-dimethoxybenzoyl-2,4-trimethyl pentyl phosphine oxide, 2,4,6-trimethylbenzoyldiphenyl-phosphine oxide, 2-hydroxy 2-methyl-1-phenyl-propan-1-one, and combinations thereof.
- 9. The composition according to Claim 1, wherein the photoinitiator component is selected from the group consisting of 2,4,6-triphenylpyrylium tetrafluoroborate, 1,4-phenylene-4,4'-bis-(2,6-diphenyl-4-pyrylium tetrafluoroborate), 2,4-diphenylnaphto-(1,2-B) pyrylium tetrafluoroborate, 2,4,6-triphenyl-pyrylium trifluoromethane sulfonate, 2,6-diphenyl-4(p-tolyl)-pyrylium tetrafluoroborate and combinations thereof.



- 10. The composition according to Claim 1, further comprising (d) a non-cyanoacrylate radical curable component.
- 11. The composition according to Claim 8, wherein the non-cyanoacrylate radical curable component is a member selected from the group consisting of styrene and derivatives thereof, (meth)acrylates, and combinations thereof.
- 12. The composition according to any one of Claims 1-11, wherein radiation in the electromagnetic spectrums appropriate for photocuring the composition is selected from the group consisting of ultraviolet light, visible light, electron beam, x-rays, infrared radiation and combinations thereof.
- 13. The composition according to Claim 1, further comprising a member selected from the group consisting of viscosity-modifying agents, rubber toughening agents, thixotropy conferring agents, thermal-stabilizing agents, and combinations thereof.
- 14. The composition according to Claim 1, wherein the composition is useful as an adhesive, a sealant or a coating.
- 15. A method of polymerizing a photocurable composition, said method comprising the steps of:
- (a) providing an amount of the photocurable composition according to Claim 1; and
- (b) subjecting the composition to radiation in the electromagnetic spectrum effective to cure the composition.
- 16. The composition according to Claim 1 in a two-part formulation.



- 17. The composition according to Claim 1 in a one-part formulation.
- 18. A composition comprising a reaction product formed from the composition according to Claim 1 after exposure to radiation in the electromagnetic spectrum.
- 19. The composition according to Claim 1, for use in the manufacture of articles having porous substrates and/or substrates with gaps greater than about 0.5 mils therebetween.

